

### 1.3 : Completeness Axiom

DF ①: Let  $E \subseteq \mathbb{R}$  be a nonempty set,  $E \neq \emptyset$ . Then

(i)  $E$  is <sup>bdd</sup> bounded above iff  $\exists$  an  $M \in \mathbb{R}$  s.t.  $x \leq M, \forall x \in E$ ,  
 $M$  is called an upper-bound of  $E$ .  $(-\infty, 1), M=2, M=101$   
not unique

(ii) A number  $\beta$  is called a <sup>unique</sup> supremum of  $E$  iff  $\beta$  is an upper bound and  $\beta \leq M$ , for all upper bounds  $M$  of  $E$ .  
supremum upper bound

We say  $E$  has a finite supremum and we write  $\sup E = \beta$ .

every supremum is upper bound, The opposite is not true

RMKs: (i)  $\sup E$  (if it exists) is the smallest (least) upper bound.

(2) to prove  $\sup E = \beta$ , we prove two things:

(i)  $\beta$  is an upper bound of  $E$  (i.e.,  $x \leq \beta, \forall x \in E$ ).

(ii) If  $M$  is any upper bound of  $E$  then  $\beta \leq M$ .

exp: let  $E = [0, 1]$ , prove that  $\sup E = 1$ .  $\rightarrow$  By def of interval.

(i) By Def, 1 is an upper bound of  $E$ . ( $x \leq 1, \forall x \in [0, 1]$ )

(ii) Let  $M$  be any upper bound of  $E$ , i.e.  $x \leq M, \forall x \in E = [0, 1]$ .

In particular, take  $x=1 \rightarrow 1 \leq M$

$\therefore 1$  is least upper bound of  $E$

$\therefore \sup E = 1$  #

exp: let  $E_1 = \mathbb{R}^- = \{x : x \leq 0\}$

$E_2 = \mathbb{Z}^- = \{\dots, -3, -2, -1\}$

Then  $\sup E_1 = 0$  and  $\sup E_2 = -1$  prove that.

① By Defn of interval  $0$  is an upper bound of  $E_1$  ( $x \leq 0, \forall x \in E_1$ ).

② we need to show  $0$  is the smallest upper bound.

let  $M$  be any upper bound of  $E$  we need to show  $0 \leq M$

In particular, take  $x = 0$  then  $0 \leq M$

implies  $0 \leq M$  so  $0$  is its least upper bound,  $\sup E_1 = 0$ .

part 2:  $E_2 = \mathbb{Z}^-$ ,  $\sup E_2 = -1$ .

① its clear is an upper bound of  $E$ . ( $x \leq -1, \forall x \in E$ ).

② If  $M$  is an upper bound of  $E$  then  $(-1 \leq M)$   $\Rightarrow$   $\exists q \in \mathbb{Z}^-$  such that  $q > M$ .

let  $M$  be an upper bound of  $E$  (ie  $x \leq M, \forall x \in E$ )

In particular, take  $x = -1$  then  $-1 \leq M$ .

so  $-1$  is a least upper bound of  $E$ .

so  $\sup E_2 = -1$ .

②

**Note:** supremum Not always belong to set.

RMK :

① If a set has one upperbound, it has infinitely many upperbounds.

② If  $\sup E = \beta$  exists then it is unique.

pf :

① If  $M_0$  is an upperbound of a set  $E$ , then so is  $M$  for any



② let  $\beta_1$  and  $\beta_2$  be two supremum of  $E$ , then both  $\beta_1$  and  $\beta_2$  are upperbound of  $E$ . Hence, by def  $\beta_1 \leq \beta_2$  and  $\beta_2 \leq \beta_1$ .

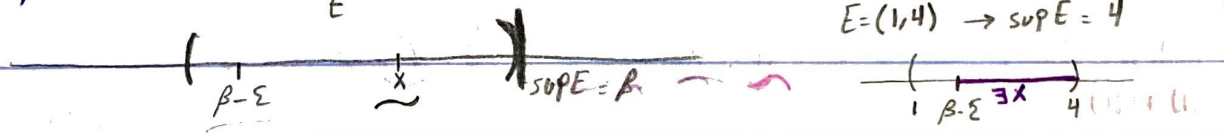
we conclude,  $\beta_1 = \beta_2 \Rightarrow$  uniqueness. #  
By Trichotomy property

**Thm 1 : Approximation property for supremum :**

If  $\sup E = \beta < +\infty$  and  $\varepsilon > 0$  then  $\exists$  a point  $x \in E$  s.t  $\beta - \varepsilon < x \leq \beta$ .

$E = (1, 4) \rightarrow \sup E = 4$

pf:  
contradiction



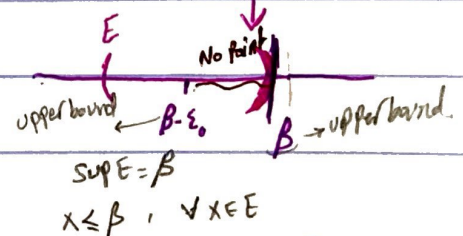
spse the thm is false Then  $\exists \varepsilon_0 > 0$  s.t No element of  $E$  lies between  $\beta - \varepsilon_0$  and  $\beta$ .

since  $\sup E = \beta$  is an upperbound of  $E$ , it follows  $x \leq \beta - \varepsilon_0, \forall x \in E$ .

ie :  $\beta - \varepsilon_0$  is an upperbound of  $E$ , That is,  $\beta \leq \beta - \varepsilon_0$ .

if follows  $\varepsilon_0 \leq 0$ , contradiction

#



$\sup E = \beta$   
 $x \leq \beta, \forall x \in E$

ex.  $E = \mathbb{Z} = \{\dots, -3, -2, -1\} \rightarrow \sup E = -1 \in \mathbb{Z}$

**Thm 2:** If  $E \subset \mathbb{Z}$  has a supremum, then  $\sup E \in \mathbb{Z}$ .

In particular, if the sup of a set which contains only integers exists, then that sup must be an integer.

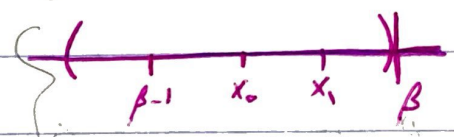
pf: suppose that  $\sup E := \beta$  and apply the approximation property for supremum,  $\epsilon$

$$\exists x_0 \in E \text{ s.t. } \beta - 1 < x_0 \leq \beta$$

Case 1, If  $\beta = x_0$ , then  $\beta \in E \subset \mathbb{Z} \Rightarrow \beta \in \mathbb{Z}$ .

Case 2,  $\beta - 1 < x_0 < \beta$ , we can apply the approx. property,

$$\exists x_1 \in E \text{ s.t. } x_0 < x_1 < \beta$$



$\exists$  an  $x_1 \in E$  s.t.  
 $x_0 < x_1 < \beta$   
 $-x_0 \quad -x_0 \quad -x_0$

$$\Rightarrow 0 < x_1 - x_0 < \beta - x_0 \quad (i)$$

since  $-x_0 < 1 - \beta \quad (ii)$  we have

it follows that,  $(i) + (ii) \xrightarrow{x \in E} 0 < x_1 - x_0 < \beta + (1 - \beta) = 1 \rightarrow 0 < x_1 - x_0 < 1$   
 $\Rightarrow x_1 - x_0 \in (0, 1)$   
 and  $x_1 - x_0 \in \mathbb{Z}$

Thus,  $x_1 - x_0 \in \mathbb{Z} \cap (0, 1)$

We conclude  $\beta \in E$   
 $\beta \in \mathbb{Z} \quad \#$

which is impossible

integer is  $\in \mathbb{Z}$ ,  $x_1 - x_0 \in \mathbb{Z}$   
 $(0, 1) \cap \mathbb{Z} = \emptyset$  integer

Postulate 3 (Completeness Axiom):

If  $E$  is a nonempty subset of  $\mathbb{R}$  that is bdd<sup>2</sup> above, then  $E$  has a finite supremum.

**RMK:** From Postulate 1, 2 and 3, we say that  $\mathbb{R}$  is a complete ordered field.

**Thm 3:** Archimedean property:  $\{x \in \mathbb{R} : x > 0\}$

Given  $a, b \in \mathbb{R}$  with  $a > 0$ ,  $\exists$  an integer  $n \in \mathbb{N}$  s.t.  $b < na$ .

$$a, b \in \mathbb{R} \rightarrow b < a \text{ or } b \geq a.$$

pf: If  $b < a$ , set  $n=1 \Rightarrow b < 1 \cdot a$  was already done.

If  $b \geq a$  and  $a > 0$ , consider the set

$$E := \{k \in \mathbb{N} : ka \leq b\}$$

$E \neq \emptyset$  since  $1 \in E$  ✓

$$(1 \cdot a \leq b \text{ (b.p.)})$$

Let  $k \in E$ , That is  $ka \leq b$ , since  $a > 0$

$$k \leq \frac{b}{a}, \quad \forall k \in E.$$

This proves  $E$  is bdd above by  $\frac{b}{a}$ . ✓

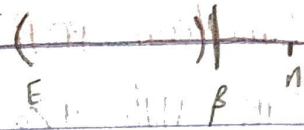
Thus, by the completeness Axiom property,  $E$  has a finite sup.

say  $\sup E = \beta < +\infty$

By Thm 2  $\Rightarrow \beta \in \mathbb{Z}$

set  $n = \beta + 1$  Then  $n \in \mathbb{N}$  and  $n > \beta$ ,

it follows that  $n \notin E = \{x \mid x \leq b\}$



Thus,  $n > b$   $\leftarrow$   $n \notin E$  since

$b < n$   $\square$

**RMK:**  $\sup E$  is not always belong to  $E$ .

**exp:** let  $A = \{1, \frac{1}{2}, \frac{1}{4}, \dots\}$ ,  $B = \{\frac{1}{2}, \frac{2}{3}, \frac{3}{4}, \dots\}$  prove that

$\sup A = \sup B = 1$ ,  $\sup A \in A$ ,  $\sup B \notin B$ .

pf:  $\sup A = 1$ :

It is clear that 1 is an upperbound of  $A$ . ( $x \leq 1, \forall x \in A$ )

let  $M$  be any upperbound of  $A$ , i.e.,  $M \geq a, \forall a \in A$  we need to show that  $1 \leq M$

In particular, take  $a = 1$

$1 \leq M$

$\therefore 1$  is the least upperbound  $\therefore \sup A = 1$ .



contradiction فلا يمكن أن يكون  $M < 1$  لأن  $1 \in A$



**Thm 4 [Density of Rationals]**

The Rational numbers  $\mathbb{Q}$  are dense in  $\mathbb{R}$ . That is,

$$\forall a, b \in \mathbb{R}, \text{ with } a < b \quad \exists q \in \mathbb{Q} : a < q < b \quad | < 1.09 < 1.1$$

pf:

Case 1: suppose that  $a > 0$   $\rightarrow$   $(0 < a < b)$

since  $b - a > 0$ , use Archimedean property,

$$\exists n \in \mathbb{N} \text{ s.t. } \max \left\{ \frac{1}{a}, \frac{1}{b-a} \right\} < n \rightarrow a = 1$$

مع القيمة فقط ونقول كيف نجيب

$$\Rightarrow \frac{1}{a} < n \text{ and } \frac{1}{b-a} < n$$

consider the set,

$$E := \left\{ k \in \mathbb{N} : \frac{k}{n} \leq a \right\} = \left\{ k \in \mathbb{N} : k \leq na \right\}$$

since  $1 \in E$ , then  $E \neq \emptyset$

since  $k \leq na$ ,  $\forall k \in E$  then  $E$  is bdd above

By Thm 2,  $K_0 := \sup E$  exists and  $K_0 \in E$ , in particular  $K_0 \in \mathbb{N}$ .  
+ comp axiom

set  $m = K_0 + 1$  and  $q = \frac{m}{n}$

since  $K_0 = \sup E$ , then  $m \notin E$  Thus,  $q = \frac{m}{n} = \frac{K_0 + 1}{n} > a$

$E$  ليس فيه  $m = K_0 + 1$  بل  $\sup$  هو  $K_0$  في

$$\Rightarrow a < q$$

on the other hand, since  $K_0 \in E$ ,  $b = a + (b - a) > \frac{K_0}{n} + \frac{1}{n} = \frac{m}{n} = q$

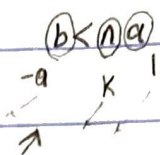
Rational

$$\Rightarrow b > q \quad 0 < a < b$$

i.e.,  $\exists q \in \mathbb{Q} : a < q < b, a > 0$



Case 2:  $a \leq 0$  ( $-a \geq 0$ )



By Arch. prop,  $\exists K \in \mathbb{N}$  s.t.  $-a < K$ , Then

$0 < K+a < K+b$  and By case 1,  $\exists r \in \mathbb{Q}$ :

بالنسبة لكل  $a < b$  يوجد عدد راسي  $r$  في  $(a, b)$

s.t.  $K+a < r < K+b$   $\Leftrightarrow$

$\Rightarrow a < \underbrace{r-K}_{\text{Rational}} < b$  Therefore,

$$q := r - K \in \mathbb{Q}$$

and satisfies  $a < q < b$   $\neq$

p1.3.3

**H.W:** If  $a, b \in \mathbb{R}$  with  $a < b$ ,  $\exists$  an irrational  $\alpha$  s.t.  $a < \alpha < b$ . That is, the irrationals are dense in  $\mathbb{R}$ .

$$a < b \text{ implies } a - \sqrt{2} < b - \sqrt{2}$$

choose  $r \in \mathbb{Q}$  s.t.  $a - \sqrt{2} < r < b - \sqrt{2}$  (Thm 4)

Then  $a < r + \sqrt{2} < b$

We know  $r + \sqrt{2}$  is irrational

Thus,  $\alpha = r + \sqrt{2}$

so  $a < \alpha < b$



## \* Infimum of a set :

DF 2: Let  $E \subset \mathbb{R}$  be nonempty.

(i) The set  $E$  is said to be bounded below iff  $\exists m \in \mathbb{R}$  s.t.  $m \leq x$   
 $\forall x \in E$ , in this case,  $m$  is said to be a lower bound of  $E$ .

(ii) A number  $\alpha$  is called an infimum of the set  $E$  iff  $\alpha \leq x, \forall x \in E$ .  
Lower bound of  $E$  and  $\alpha \geq \gamma$  for all lower bounds  $\gamma$  of  $E$ .  
In this case we shall say that  $E$  has an infimum  $\alpha$  and write  $\inf E = \alpha$ .

(iii)  $E$  is said to be bounded iff it is bounded above and below.

(That is  $\exists m, M$  s.t.  $m \leq x \leq M, \forall x \in E$ ).

OR  $\exists M > 0$  s.t.  $|x| \leq M, \forall x \in E$ ).

Note:

$\sup E = \beta$   $\begin{cases} x \leq \beta, \forall x \in E \\ \text{let } M \text{ be upper bound show } \beta \leq M. \end{cases}$

$\inf E = \alpha$   $\begin{cases} \alpha \leq x, \forall x \in E \\ \text{If } \gamma \text{ is a lower bound of } E, \text{ then } \alpha \geq \gamma. \end{cases}$

exp: prove, Bounded nonempty set  $E$  has a unique supremum and unique infimum. moreover  $\inf E \leq \sup E$  Give necessary and sufficient conditions for equality.

→ let  $s_1$  and  $s_2$  be supremum of  $A$ .

Then by def,  $s_1$  and  $s_2$  upper bound of set  $A$ .

So  $s_1$  is the least upper bound i.e.  $s_1 \leq s_2$

But  $s_2$  is the least upper bound i.e.  $s_1 \leq s_2 \leq s_1$

$$\rightarrow s_1 = s_2 = s_1$$

so we proved the set has unique supremum

→ let  $m_1$  and  $m_2$  be infima of  $A$

Then by def,  $m_1$  and  $m_2$  are lower bound of set  $A$

So  $m_1$  is the greatest lower bound i.e.  $m_1 \geq m_2$

But  $m_2$  is the greatest lower bound i.e.  $m_1 \geq m_2 \geq m_1$

$$\rightarrow m_1 = m_2 = m_1$$

we proved the set has unique infimum

→ let  $\sup A$  and  $\inf A$  Both exists, and  $x \in A$

then  $m \leq x \leq M$ , where  $m$  is greatest lower bound and  $M$  lower upper bound

then  $\inf A \leq x \leq \sup A$

Thus,  $\inf A \leq \sup A$

$\square$

**Rmk:** When a set  $E$  contains its supremum, we write  $\max E = \sup E$ .  
Similarly, if  $\inf E \in E$ , we write  $\inf E = \min E$ .

ex:  $E = [0, 1]$        $\sup E = \max E = 1$   
                          $\inf E = \min E = 0$ .

**Thm 5: [Reflection principle]** Let  $E \subset \mathbb{R}$  be nonempty:

(i)  $E$  has a supremum iff  $-E$  has an infimum, in which case  
 $\inf(-E) = -\sup E$ .  
 $\hookrightarrow = \{-x; x \in E\}$ .

(ii)  $E$  has an infimum iff  $-E$  has a supremum, in which case  
 $\sup(-E) = -\inf(E)$ .

pf: (i)

$\Rightarrow$  spt. that  $\sup E = \beta$  exists

since  $\beta$  is an upper bound of  $E$ , then  $x \leq \beta, \forall x \in E$ .

This gives  $-\beta \leq -x, \forall x \in E$ , i.e.  $-\beta$  is a lower bound of  $-E$ .

spt. that  $m$  is any lower bound of  $-E$ , then  $m \leq -x, \forall x \in E$ .

This implies  $x \leq -m, \forall x \in E$ , i.e.  $-m$  is an upper bound of  $E$ .

since  $\sup E = \beta$ , then  $-\beta \geq m$ .

Thus,  $-\beta$  is then  $\inf$  of  $-E$ . ( $-E$  has an  $\inf$ .)

and  $\sup E = \beta = -(-\beta) = -\inf(-E)$

$\Rightarrow \inf(-E) = -\sup E$



⇐ Conversely, suppose that  $-E$  has an inf. say  $\inf(-E) = \alpha$

By def  $\alpha \leq -x \Rightarrow \forall x \in E, x \leq -\alpha, \forall x \in E$ .

Thus,  $-\alpha$  is an upper bound of  $E$ , i.e.  $E$  is bounded above.

Since  $E \neq \emptyset$ , then  $E$  has a supremum by the completeness axiom.

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pf (ii) :

Thm 5: [Monotone property]

suppose that  $A \subseteq B$  are nonempty sets of  $\mathbb{R}$ :

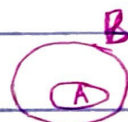
(i) If  $B$  has a supremum, then  $\sup A \leq \sup B$ .

(ii) If  $B$  has an infimum, then  $\inf A \geq \inf B$ .

ziff exp:  $A = [0, 1]$ ,  $B = [-1, 2]$ ,  $A \subseteq B$ :

$$\sup A = 1 \leq \sup B = 2$$

$$\inf A = 0 \geq \inf B = -1.$$



pf: (i) since  $A \subseteq B$ , any upperbound of  $B$  is an upperbound of  $A$ .  
Therefore,  $\sup B$  is an upperbound of  $A$ .

It follows that by the completeness Axiom that  $\sup A$  exists.

Thus, by def of  $\sup A$ ,  $\sup A \leq \sup B$ .

(ii) since  $A \subseteq B$ , then  $-A \subseteq -B$ .

By part (i),  $\sup(-A) \leq \sup(-B)$

By Thm 5, we have  $-\inf A \leq -\inf B$

$$\Rightarrow \inf A \geq \inf B \quad \#$$

**Thm 7:** [Approximation property for infimum]

If a set  $E \subseteq \mathbb{R}$  has a finite infimum  $\alpha$  and  $\varepsilon > 0$  is any positive number, then there is a point  $x \in E$  s.t.

$$\alpha + \varepsilon > x \geq \alpha$$

Q1.3.6 (A)

**pf:** let  $\varepsilon > 0$  and  $m = \inf E$ .

Since  $\varepsilon + m$  is not a lower bound of  $E$  there is an  $a \in E$

such that  $m + \varepsilon > a$

Thus  $m + \varepsilon > a \geq m$ .

## \* Completeness property for Infimum.

If  $E \subset \mathbb{R}$  is nonempty and bounded below, then  $E$  has a finite infimum. Q1.3.6 (b)

pf:

By Thm 1 there is an  $q \in E$  s.t.  $\sup(-E) - \varepsilon < -q \leq \sup(-E)$ .

Hence By Thm 5.  $\inf E + \varepsilon = -(\sup(-E) - \varepsilon) > 0 > -\sup(-E) = \inf E$ .

Check to order

"



\* The extended real numbers:

$$\overline{\mathbb{R}} := \mathbb{R} \cup \{\pm\infty\} = [-\infty, \infty].$$

Thus,  $x$  is an extended real number iff  $x \in \mathbb{R}$ ,  $x = \infty$  or  $x = -\infty$ .

\*  $\emptyset \neq E \subseteq \mathbb{R}$  is unbdd above if it has no upper bound and unbdd below if it has no lower bound.

\*  $\emptyset \neq E \subseteq \mathbb{R}$ , we define  $\sup E = \infty$ , if  $E$  is unbdd above and  $\inf E = -\infty$  if  $E$  is unbdd below.

\* we define  $\sup \emptyset = -\infty$ ,  $\inf \emptyset = \infty$ .

exp:  $E_1 = \underline{(-\infty, 2)}$ ,  $E_2 = \underline{(2, \infty)}$   
bdd above                      bdd below

$$\sup E_1 = 2, \quad \inf E_1 = -\infty$$

$$\sup E_2 = \infty, \quad \inf E_2 = 2.$$

exp:

$$\sup \mathbb{Z} = \infty, \quad \inf \mathbb{Z} = -\infty$$

$$\sup \mathbb{N} = \infty, \quad \inf \mathbb{N} = -1$$

$$\sup \mathbb{R} = \infty, \quad \inf \mathbb{R} = -\infty$$

sup