3.4 Basis and Dimension

Definition

The vectors v₁, v₂, ..., v_n form a basis for a vector space V if and only if
(i) v₁, ..., v_n are linearly independent.
(ii) v₁, ..., v_n span V.

EXAMPLE 1 The *standard basis* for \mathbb{R}^3 is $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$; however, there are many bases that we could choose for \mathbb{R}^3 . For example,

$$\left\{ \begin{bmatrix} 1\\1\\1 \end{bmatrix}, \begin{bmatrix} 0\\1\\1 \end{bmatrix}, \begin{bmatrix} 2\\0\\1 \end{bmatrix} \right\} \quad \text{and} \quad \left\{ \begin{bmatrix} 1\\1\\1 \end{bmatrix}, \begin{bmatrix} 1\\1\\0 \end{bmatrix}, \begin{bmatrix} 1\\0\\1 \end{bmatrix} \right\}$$

are both bases for \mathbb{R}^3 . We will see shortly that any basis for \mathbb{R}^3 must have exactly three elements. STUDENTS-HUB.com **EXAMPLE 2** In $\mathbb{R}^{2\times 2}$, consider the set $\{E_{11}, E_{12}, E_{21}, E_{22}\}$, where

$$E_{11} = \left(\begin{array}{cc} 1 & 0 \\ 0 & 0 \end{array} \right), \qquad E_{12} = \left(\begin{array}{cc} 0 & 1 \\ 0 & 0 \end{array} \right),$$

$$E_{21} = \left[\begin{array}{cc} 0 & 0 \\ 1 & 0 \end{array} \right], \qquad E_{22} = \left[\begin{array}{cc} 0 & 0 \\ 0 & 1 \end{array} \right]$$

If

$$c_1 E_{11} + c_2 E_{12} + c_3 E_{21} + c_4 E_{22} = 0$$

then

$$\left(\begin{array}{cc} c_1 & c_2 \\ c_3 & c_4 \end{array}\right) = \left(\begin{array}{cc} 0 & 0 \\ 0 & 0 \end{array}\right)$$

so $c_1 = c_2 = c_3 = c_4 = 0$. Therefore, E_{11}, E_{12}, E_{21} , and E_{22} are linearly independent. If A is in $\mathbb{R}^{2 \times 2}$, then

$$A = a_{11}E_{11} + a_{12}E_{12} + a_{21}E_{21} + a_{22}E_{22}$$

STUDENTS-HUB.com Thus, $E_{11}, E_{12}, E_{21}, E_{22}$ span $\mathbb{R}^{2 \times 2}$ and hence form a basis for $\mathbb{R}^{2 \times 2}$. Uploaded By: Rawan Fares

Standard Bases

In Example 1, we referred to the set $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ as the *standard basis* for \mathbb{R}^3 . We refer to this basis as the standard basis because it is the most natural one to use for representing vectors in \mathbb{R}^3 . More generally, the standard basis for \mathbb{R}^n is the set $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$. The most natural way to represent matrices in $\mathbb{R}^{2\times 2}$ is in terms of the basis $\{E_{11}, E_{12}, E_{21}, E_{22}\}$ given in Example 2. This, then, is the standard basis for $\mathbb{R}^{2\times 2}$.

The standard way to represent a polynomial in P_n is in terms of the functions $1, x, x^2, \ldots, x^{n-1}$, and consequently, the standard basis for P_n is $\{1, x, x^2, \ldots, x^{n-1}\}$.

$$A = \left(\begin{array}{rrrr} 1 & 1 & 1 & 0 \\ 2 & 1 & 0 & 1 \end{array} \right)$$

Solution

Using Gauss–Jordan reduction to solve $A\mathbf{x} = \mathbf{0}$, we obtain

The reduced row echelon form involves two free variables, x_3 and x_4 .

$$\begin{aligned}
 x_1 &= x_3 - x_4 \\
 x_2 &= -2x_3 + x_4
 \end{aligned}$$

STUDENTS-HUB.com

Thus, if we set $x_3 = \alpha$ and $x_4 = \beta$, then

$$\mathbf{x} = \begin{pmatrix} \alpha - \beta \\ -2\alpha + \beta \\ \alpha \\ \beta \end{pmatrix} = \alpha \begin{pmatrix} 1 \\ -2 \\ 1 \\ 0 \end{pmatrix} + \beta \begin{pmatrix} -1 \\ 1 \\ 0 \\ 1 \end{pmatrix}$$

Remark:

In Example 9 of Section 3.2, we saw that N(A) is the subspace of \mathbb{R}^4 spanned by the vectors

$$\begin{bmatrix} 1 \\ -2 \\ 1 \\ 0 \end{bmatrix}$$
 and
$$\begin{bmatrix} -1 \\ 1 \\ 0 \\ 1 \end{bmatrix}$$

STUDENTS-HUB.com

Theorem 3.4.1 If $\{v_1, v_2, ..., v_n\}$ is a spanning set for a vector space V, then any collection of m vectors in V, where m > n, is linearly dependent.

Corollary 3.4.2 If both $\{\mathbf{v}_1, \ldots, \mathbf{v}_n\}$ and $\{\mathbf{u}_1, \ldots, \mathbf{u}_m\}$ are bases for a vector space V, then n = m.

Proof Let $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ and $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_m$ both be bases for V. Since $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ span V and $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_m$ are linearly independent, it follows from Theorem 3.4.1 that $m \le n$. By the same reasoning $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_m$ span V, and $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ are linearly independent, so $n \le m$.

In view of Corollary 3.4.2, we can now refer to the number of elements in any basis for a given vector space. This leads to the following definition.

Definition

Let V be a vector space. If V has a basis consisting of n vectors, we say that V has **dimension** n. The subspace $\{0\}$ of V is said to have dimension 0. V is said to be **finite dimensional** if there is a finite set of vectors that spans V; otherwise, we say that V is **infinite dimensional**.

STUDENTS-HUB.com

Example (Dimensions of Some Familiar Vector Spaces).

$$dim(\mathbb{R}^n) = n$$
$$dim(\mathbb{P}_n) = n$$
$$dim(M_{mn}) = mn$$

Example (Dimension of span(S)). If $S = {\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_r}$ is <u>linear independent</u> and W = span(S), then $\dim(W) = r$

EXAMPLE 3 Let *P* be the vector space of all polynomials. We claim that *P* is infinite dimensional. If *P* were finite dimensional, say of dimension *n*, any set of n + 1 vectors would be linearly dependent. However, $1, x, x^2, ..., x^n$ are linearly independent, since $W[1, x, x^2, ..., x^n] > 0$. Therefore, *P* cannot be of dimension *n*. Since *n* was arbitrary, *P* must be infinite dimensional. The same argument shows that C[a, b] is infinite dimensional. STUDENTS-HUB.com

Theorem 3.4.3 If V is a vector space of dimension n > 0, then

(I) any set of n linearly independent vectors spans V.(II) any n vectors that span V are linearly independent.

EXAMPLE 4 Show that
$$\left\{ \begin{bmatrix} 1\\2\\3 \end{bmatrix}, \begin{bmatrix} -2\\1\\0 \end{bmatrix}, \begin{bmatrix} 1\\0\\1 \end{bmatrix} \right\}$$
 is a basis for \mathbb{R}^3 .

Solution

Since dim $\mathbb{R}^3 = 3$, we need only show that these three vectors are linearly independent. This follows, since

$$\begin{vmatrix} 1 & -2 & 1 \\ 2 & 1 & 0 \\ 3 & 0 & 1 \end{vmatrix} = 2$$

STUDENTS-HUB.com

Theorem 3.4.4 If V is a vector space of dimension n > 0, then (*) no set of larger than n vectors can be linearly independent.

- (i) no set of fewer than n vectors can span V.
- (ii) any subset of fewer than n linearly independent vectors can be extended to form a basis for V.
- (iii) any spanning set containing more than n vectors can be pared down to form a basis for V.

EXAMPLE The following three sets in \mathbb{R}^3 show how a linearly independent set can be enlarged to a basis and how further enlargement destroys the linear independence of the set. Also, a spanning set can be shrunk to a basis, but further shrinking destroys the spanning property.

$$\left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 2\\3\\0 \end{bmatrix} \right\} \quad \left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 2\\3\\0 \end{bmatrix}, \begin{bmatrix} 4\\5\\6 \end{bmatrix} \right\} \quad \left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 2\\3\\0 \end{bmatrix}, \begin{bmatrix} 4\\5\\6 \end{bmatrix}, \begin{bmatrix} 7\\8\\9 \end{bmatrix} \right\}$$

inearly independent A basis Spans \mathbb{R}^3 but is

STUDENTS-HUB.com

A basis for \mathbb{R}^3

Spans \mathbb{R}^3 but is linearly dependent uploaded By: Rawan Fares

SECTION 3.4 EXERCISES

3. Consider the vectors

$$\mathbf{x}_1 = \left(\begin{array}{c} 2\\1 \end{array}\right), \qquad \mathbf{x}_2 = \left(\begin{array}{c} 4\\3 \end{array}\right), \qquad \mathbf{x}_3 = \left(\begin{array}{c} 7\\-3 \end{array}\right)$$

- (a) Show that \mathbf{x}_1 and \mathbf{x}_2 form a basis for \mathbb{R}^2 .
- (b) Why must $\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3$ be linearly dependent?
- (c) What is the dimension of $\text{Span}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3)$?

$$\mathbf{x}_1 = \begin{bmatrix} 2\\1\\3 \end{bmatrix}, \qquad \mathbf{x}_2 = \begin{bmatrix} 3\\-1\\4 \end{bmatrix}, \qquad \mathbf{x}_3 = \begin{bmatrix} 2\\6\\4 \end{bmatrix}$$

- (a) Show that $\mathbf{x}_1, \mathbf{x}_2$, and \mathbf{x}_3 are linearly dependent.
- (b) Show that \mathbf{x}_1 and \mathbf{x}_2 are linearly independent.
- (c) What is the dimension of $\text{Span}(\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3)$?

STUDENTS-HUB.com

 $S' = Span(x_1, x_2, x_3) = Span(x_1, x_2)$ Basis $X = Span(x_1, x_2)$ Basis $X = Span(x_1, x_2)$ is dem S' = zUploaded By: Rawan Fares

 $\chi_1 \neq \lambda \chi_2 \quad \forall \lambda \in \mathbb{R}$

3. (a) Since

$$\begin{vmatrix} 2 & 4 \\ 1 & 3 \end{vmatrix} = 2 \neq 0$$

it follows that \mathbf{x}_1 and \mathbf{x}_2 are linearly independent and hence form a basis for \mathbb{R}^2 .

- (b) It follows from Theorem 3.4.1 that any set of more than two vectors in R^2 must be linearly dependent.
- **5.** (a) Since

$$\begin{vmatrix} 2 & 3 & 2 \\ 1 & -1 & 6 \\ 3 & 4 & 4 \end{vmatrix} = 0$$

it follows that \mathbf{x}_1 , \mathbf{x}_2 , \mathbf{x}_3 are linearly dependent. (b) If $c_1\mathbf{x}_1 + c_2\mathbf{x}_2 = \mathbf{0}$, then

$$2c_1 + 3c_2 = 0$$

$$c_1 - c_2 = 0$$

$$3c_1 + 4c_2 = 0$$

and the only solution to this system is $c_1 = c_2 = 0$. Therefore \mathbf{x}_1 and STUDENTS-HUB.co \mathbf{x}_2 are linearly independent. Uploaded By: Rawan Fares

- 7. Find a basis for the subspace *S* of \mathbb{R}^4 consisting of all vectors of the form $(a + b, a b + 2c, b, c)^T$, where *a*, *b*, and *c* are all real numbers. What is the dimension of *S*?
- 8. Given $\mathbf{x}_1 = (1, 1, 1)^T$ and $\mathbf{x}_2 = (3, -1, 4)^T$: (a) Do \mathbf{x}_1 and \mathbf{x}_2 span \mathbb{R}^3 ? Explain. dim $|\mathcal{R}|^2 = 3 \cdot \{\mathcal{H}_1, \mathcal{H}_1\}$ Con't span $|\mathcal{R}|^3$ since Twice number 15 Less than 3.
 - (b) Let \mathbf{x}_3 be a third vector in \mathbb{R}^3 and set $X = (\mathbf{x}_1 \ \mathbf{x}_2 \ \mathbf{x}_3)$. What condition(s) would X have to satisfy in order for $\mathbf{x}_1, \mathbf{x}_2$, and \mathbf{x}_3 to form a basis for \mathbb{R}^3 ?
 - (c) Find a third vector \mathbf{x}_3 that will extend the set $\{\mathbf{x}_1, \mathbf{x}_2\}$ to a basis for \mathbb{R}^3 .

$$\mathcal{X}_{3} = \begin{pmatrix} a \\ b \\ c \end{pmatrix}$$
 $|X| = \begin{pmatrix} 1 & 3 & a \\ 1 & -1 & b \\ 1 & 4 & c \end{pmatrix} =$

STUDENTS-HUB.com

 $1R^{4} = \{(a, b, c, d)^{T} : a, b, c, d \in IR\}$ $S = \left((a_{+}b, a_{-}b_{+}2c, b, c) : a, b, c \in IP \right) \subset IP^{4}$ $\begin{vmatrix} a + b \\ a - b + 2c \\ b \\ c \\ \end{vmatrix} = a \begin{vmatrix} 1 \\ + b \\ -1 \\ + b \\ \end{vmatrix} + c \begin{vmatrix} 2 \\ -1 \\ + c \\ 0 \\ \end{vmatrix}$ $S = span(V_1, V_2, V_3)$ AX=0

STUDENTS-HUB.com

0 . (1=(2=(3=0 : EV, , V2, V3 3 is L. I. set B= ZV, V, V3 is a basis for S. $\dim S = 3$. Uploaded By: Rawan Fares STUDENTS-HUB.com

- 8 (a) Since the dimension of R^3 is 3, it takes at least three vectors to span R^3 . Therefore \mathbf{x}_1 and \mathbf{x}_2 cannot possibly span R^3 .
 - (b) The matrix X must be nonsingular or satisfy an equivalent condition such as $det(X) \neq 0$.

(c) If
$$\mathbf{x}_3 = (a, b, c)^T$$
 and $X = (\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3)$ then

$$\det(X) = \begin{vmatrix} 1 & 3 & a \\ 1 & -1 & b \\ 1 & 4 & c \end{vmatrix} = \underline{5a - b - 4c}$$
If one chooses $a, b,$ and c so that

$$5a - b - 4c \neq 0$$
then $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$ will be a basis for R^3 .

STUDENTS-HUB.com

 $\mathcal{R}_{3} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \checkmark$

 $\mathcal{H}_{3=}\left(\begin{array}{c} 0\\ i\end{array}\right)$

 $\gamma_{3} = \begin{pmatrix} \rho \\ \rho \\ \rangle$

10. We must find a subset of three vectors that are linearly independent. Clearly \mathbf{x}_1 and \mathbf{x}_2 are linearly independent, but

 $B = EV, V_2$, dim S = 2

$$x_3 = x_2 - x_1$$

so \mathbf{x}_1 , \mathbf{x}_2 , \mathbf{x}_3 are linearly dependent. Consider next \mathbf{x}_1 , \mathbf{x}_2 , \mathbf{x}_4 . If $X = (\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_4)$ then

$$\det(X) = \begin{vmatrix} 1 & 2 & 2 \\ 2 & 5 & 7 \\ 2 & 4 & 4 \end{vmatrix} = 0$$

so these three vectors are also linearly dependent. Finally if we set $X = (\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_5)$ then

$$\det(X) = \begin{vmatrix} 1 & 2 & 1 \\ 2 & 5 & 1 \\ 2 & 4 & 0 \end{vmatrix} = -2$$

so the vectors \mathbf{x}_1 , \mathbf{x}_2 , \mathbf{x}_5 are linearly independent and hence form a basis for \mathbb{R}^3 .

STUDENTS-HUB.com

14. In each of the following, find the dimension of the subspace of (P_3) spanned by the given vectors: (a) $x, x - 1, x^2 + 1$ If $S = span(x, 2-1, x^2+1) \Rightarrow dim S' = 3$. (a) x, x = 1, x = 1(b) $x, x = 1, x^{2} + 1, x^{2} = 1$ $= 570n(x, x - 1, x^{2} + 1, x^{2} - 1)$ $= 570n(x, x - 1, x^{2} + 1) = 40n = 1$ W = 1 W = 1 W = 1 W = 1 W = 1 W = 1 W = 1 Z = 2(15.) Let S be the subspace of (P_3) consisting of all polynomials p(x) such that p(0) = 0, and let T be the $= 2 \left| \begin{array}{c} \chi & \chi - (\\ 1 & 1 \end{array} \right|$ subspace of all polynomials q(x) such that q(1) =0. Find bases for $= 2 \int \mathcal{R} - (\chi - 1) \int$ (a) S (b) T (c) $S \cap T$ = 2 = 40 $(5) p(0) = 0 = a_2 = 9$ { m, m-1, n2+12 is L.J. $(T) \quad q(\nu = 0 \implies \alpha_2 + \alpha_1 + \alpha_2 = 0 \implies \alpha_2 = -\alpha_2 - \alpha_1$ (SAT) p(0) = 0 $\Rightarrow a_0 = 0$ $\Rightarrow a_{z+q_1+q_0} = 0$ $\Rightarrow a_{z+q_1} = 0$ $\Rightarrow a_{z+q_1} = 0$ $\Rightarrow up for a ded By: Rawan Fares$

 $P_{2} = \left\{ \alpha_{2} \chi^{2} + \alpha_{1} \chi + \alpha_{2} : \alpha_{2}, \alpha_{1}, \alpha_{2} \in \mathbb{R} \right\}$ $S = \{ \alpha_1 \chi^2 + \alpha_1 \chi : \alpha_2, \alpha_1 \in \mathbb{R} \}$ $T = \{ a_2 \chi^2 + a_1 \chi - (a_1 + a_2) : a_2, a_1 \in |R\}$ SAT= { a2x2 - a2 x : a2ER } $a_2 \chi^2 + a_1 \chi = a_2 (\chi^2) + a_1 (\chi)$ (a) $\therefore \text{ span}(V_i, V_z) = S'$ ": {n, n? } is L. I set. : Ex, x2 3 is a bases for S. STUDENTS-HUB.com Uploaded By: Rawan Fares

 $a_{2} \chi^{2} + a_{1} \chi - (a_{1} + a_{2}) = a_{1} (\chi - 1) + a_{2} (\chi^{2} - 1)$ (b) $\overline{\nabla_{z}}$ $\therefore span(v_1, v_2) = T$ $\therefore \{ \mathcal{H}_{-1}, \mathcal{H}_{-1} \} \text{ is } L.I. \text{ set}$: {x-1, x-13 is a basis for T. $\alpha_{z} \chi^{2} - \alpha_{z} \chi = \alpha_{z} (\chi^{2} - \chi)$ (C) $:= span(v_i) = S \Lambda T$ $\frac{1}{2} \left\{ \chi^2 - \chi^2 \right\} \quad 1 \leq L \cdot I.$: {x²-x} is a bases for S'NT. STUDENTS-HUB.com Uploaded By: Rawan Fares