

## Chapter 5:

- o Maximum CPU utilization obtained with multiprogramming
  - I/O burst cycle -
- o CPU process execution consists of a cycle of CPU execution and I/O wait
  - ↳ CPU burst followed by I/O burst
- o Short term scheduler: Selects among the processes in the ready queue, and allocates the CPU to one of them.
  - ↳ Queue may be ordered
- o CPU scheduling decisions may take place when a process:
  - ↳ 1) Switches from running to waiting state.
  - 2) Switches from running to ready state.
  - 3) Switches from waiting to ready
  - 4) Terminates
- o In 1 and 4 the process stopped by her own (nonpreemptive) while in the rest, it was forced to stop (time slice ended, need new, high priority state ready)- preempt lower priority) are and called preemptive
- o Dispatcher: gives control of the CPU to the process selected by the short-term scheduler
  - ↳ 1) Switching context [Store old PCB, reload new PCB]
  - 2) Switching to user mode
  - 3) Jumping to the proper location in the user program to restart that program [defined by PC]
- dispatch latency: time it takes to the dispatch to stop one process and start another running.

- o CPU time: time process needs from the CPU to finish
- o I/O time: time a process needs to do all its I/O
- o Wait time: time the process spends outside the CPU (I/O + Ready)
- o Start time: time the process entered ready queue.
- o Finish time: time the process exits the system (terminated)
- o TurnAround (TA) time: Finish time - Start time
- o wait(W) time: TA - Total time

### Scheduling Criteria

1) CPU utilization - keep the CPU Busy as Possible = CPU work time

Max : CPU work time / CPU work time + CPU wait time

2) Throughput: # of processes that complete their execution per time unit

Max : per process duration of processes completed

3) Turnaround time: amount of time to execute a particular process.

Min : duration of

4) Waiting time: amount of time a process has been waiting in the ready queue

(Min: process has not yet begun executing but is in the queue)

5) Response time: amount of time it takes from when a request was submitted until the first response is produced, not output

(for time-sharing environment)

### o First-come, First-Served (FCFS) Scheduling

Process	Burst Time	Arr-time	Duration	Finish	TA	WTA (Waited Turnaround)
P <sub>1</sub>	24	0	24	24	24	1
P <sub>2</sub>	3	10	3	13	13	9
P <sub>3</sub>	4	0	4	30	30	10

note: at start of burst, if a process changes priority, then its current process is terminated.

- Consider one CPU-bound and many I/O-bound processes
- Conway effect: Short process behind long process
- Shortest Job First (SJF) Scheduling (non-preemptive)
  - Associate with each process the length of its next CPU burst.
  - IS optimal - gives minimum average waiting time for a given set of processes.
  - Has a difficulty in knowing the length of the next CPU request.  
(could ask the user)
- Shortest remaining time first (preemptive version of SJF)

- Priority Scheduling: a priority number is associated with each process.
  - the CPU is allocated to the process with the highest priority  
(preemptive or nonpreemptive)
  - SJF is priority scheduling where priority is the inverse of predicted next CPU burst time.
  - Has a problem (Starvation) - low priority processes may never execute
    - a solution (Aging) - as time progresses increase the priority of the process.
  - Determine length of next CPU burst.
    - How to determine shortest job? Reheat (known); Predict (stochastic)
      - can only estimate the length - should be similar to the previous one.
        - then pick process with shortest predicted next CPU burst.
      - can be done by using the length of the previous CPU burst, using
        - $t_n$  = actual length of  $n^{\text{th}}$  CPU burst.
        - $y_{n+1}$  = predicted value for the next CPU burst.
        - $\alpha$ ,  $0 \leq \alpha \leq 1$  / Commonly  $\alpha$  set to  $1/2$
        - $y_{n+1} = \alpha t_n + (1-\alpha)y_n$
      - If  $\alpha=0$ , Recent history does not count.
      - If  $\alpha=1$ , Only the actual last CPU burst counts

- Since both  $\alpha$  and  $(1-\alpha)$  are less than or equal to 1, each successive term has less weight than its predecessor.
- Mono-programming (the process had all CPU time)
- Round Robin (RR): Each process gets a small unit of CPU time (time quantum), after this time has elapsed, the process is preempted and added to the end of the ready queue. [time usually 10-100 millisecond]
- when having  $n$  processes in the ready queue, and the time quantum is  $q$ , each process gets  $1/n$  of the CPU time in chunks of at most  $q$  time unit at once. [no process waits more than  $(n-1)q$  time unit]
- Timer interrupts every quantum to schedule next process.
- Performance:
  - If  $q$  is large  $\rightarrow$  FIFO
  - if  $q$  is small  $\rightarrow$  "Overhead is high"  $q$  must be large with respect to context switch time
  - Context Switch and time quantum:
    - Context Switch = 1ms + time quantum = 1  $\rightarrow$  waste 5%
    - Context Switch = 1ms + time quantum = 9  $\rightarrow$  waste 10%
    - Context Switch = 1ms + time quantum = 99  $\rightarrow$  waste 17%
  - RR is higher average turnaround than SJF, but better response
  - RR with Similar Processes: # of processes with same ratio of CPU and Wait times
    - degree of MP = # of processes
  - $q$  Should be large compared to context switch time:  $q \in [10, 100] \text{ ms}$ , context switch  $\approx 1 \text{ ms}$
  - Multilevel queue:  $\Rightarrow$  Ready queue is partitioned into Separate queues:
    - 1) foreground (interactive) 2) background (batch)

## - Chapter 5 - Cont. #1

- o process permanently in a given queue (FG1 or BG1)

↳ each queue has its own scheduling algorithm

↳ FG1 → RR

↳ BG1 → FCFS

- o Scheduling must be done between the queues

↳ 1) Time slice: each queue gets a certain amount of CPU time which it can schedule amongst its processes.

2) Fixed priority: serve all from foreground and then background.

[possibility of starvation]

- o priorities from highest to lowest: (Multilevel queue scheduling)

1) System Processes

2) Interactive processes

3) Interactive editing processes

4) batch processes

5) student processes X

- o A process can move between the various queues, aging can be implemented this way

- o Multi-level feedback queue Scheduler

↳ 1) number of queues

2) scheduling algorithms for each queue

3) method used to determine when to upgrade a process.

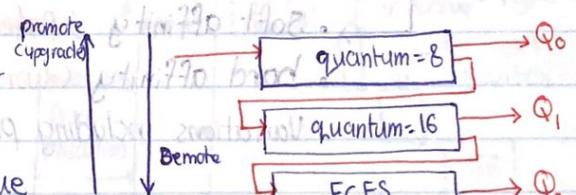
4) method used to determine when to downgrade a process.

5) method to determine which queue a process will enter

when that process needs service [initially joins which queue]

- o thread scheduling → distinction between user-level and kernel-level threads.

↳ when threads supported, threads scheduled not processes.

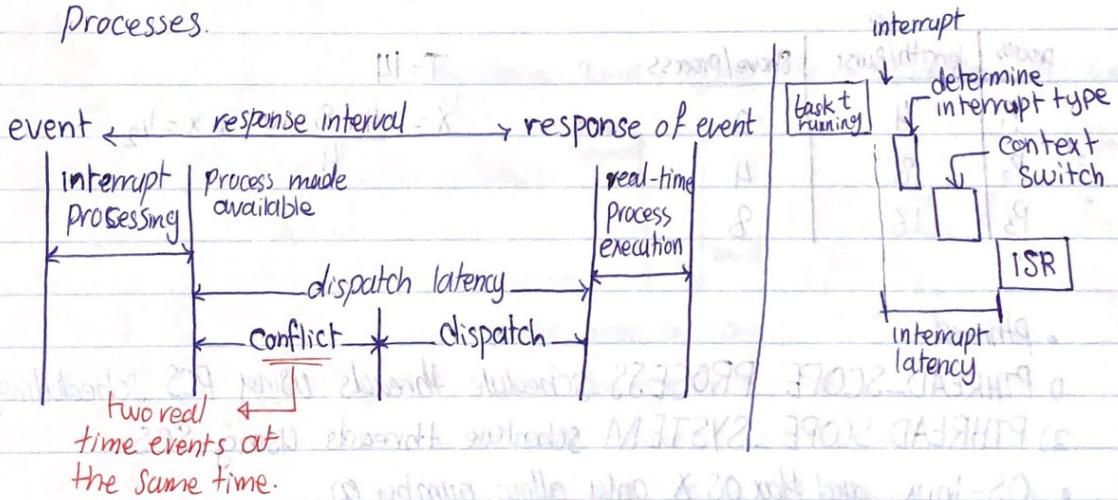


- In Many-to-one and Many-to-many models, thread library schedules user-level user threads to run on LWP. not global
  - ↳ Process-contention scope (PCS): Scheduling competition is within the process
    - done via priority set by programmer
- Kernel threads are scheduled into available CPU (System-contention Scope) (SCS): competition among all threads in the system.
- Pthread scheduling: API allows specifying PCS or SCS during thread creation.
  - CPU scheduling more complex when multiple CPUs are available
    - ↳ Homogeneous processor (they all are the same) within a multiprocessor
      - Asymmetric multiprocessor: only one processor accesses the system data structure, alleviating the need for data sharing.
      - Symmetric multiprocessor (SMP): each processor is self-scheduling, (currently most common) all processes in common ready queue, or each has its own private queue of ready processes.
  - processor affinity: process has affinity for processor on which it is currently running.
    - ↳ Soft affinity (prefers to work on a certain process if available)
    - hard affinity (work on a certain process only)
    - Variations including processor sets.
- Load Balancing in multiple-processor scheduling.
  - ↳ SMP need to keep all CPUs loaded for efficiency
    - 1) Load balancing: attempts to keep workload evenly distributed
    - 2) Push migration: Periodic task checks load on each processor, and if found pushes task from overloaded CPU to other CPUs.
    - 3) Pull migration: idle processor pull waiting tasks from busy processor

[ Could be for both SMP and AMP ]

- Multicore Processors
  - ↳ they start to replace multiple processors cores on same physical chip, this is faster and consumes power less, in addition to having multiple threads per core growing.
  - takes advantage of memory stall make progress on another thread while memory retrieve happens
- Real-time CPU Scheduling
  - ↳ 1) Soft real-time Systems: no guarantee as to when critical real-time process will be scheduled (ex: video, speech)
  - 2) Hard real-time Systems: task must be serviced by its deadline to work at no later time ex (power switch, car breaking)
- latencies that affect performance.
  - ↳ 1) interrupt latency: time from arrival of interrupt to start of routine that handles interrupt.
  - 2) dispatch latency: time for scheduler to take current process off CPU and switch to another.

- Conflict phase of dispatch latency:
  - ↳ 1) preemption of any process running on kernel mode.
  - 2) Release by low-priority process of resources needed by high-priority processes.



- Priority-based Scheduling: for real-time, scheduler must support preemptive, priority-based scheduling.
  - ↳ only guarantees soft real-time, no guarantee for in time work!
- For hard real-time must provide ability to meet deadlines.

- Processes have new characteristics

↳ periodic ones require CPU at constant intervals.

- 1. Has processing time  $t$ , deadline  $d$ , and a period  $P$ .  $0 < t \leq d \leq P$
- 2. Rate of periodic task is  $\frac{1}{P}$ .

- Rate Monotonic Scheduling: A priority is assigned based on the inverse of its period.

↳ Shortest period  $\rightarrow$  higher priority.

- Earliest Deadline First (EDF)

↳ the earlier the deadline, the higher the priority.

- Proportional Share Scheduling

↳ T shares are allocated among all processes in the system.

- an application receives  $N$  shares where  $N < T$ , this ensures each application will receive  $N/T$  of the total processor time.

process	length/Burst	Share/process	$T = 14$
$P_1$	4	2	$x = \frac{4+8+16}{4} \Rightarrow x = 1/2$ shares
$P_2$	8	4	
$P_3$	16	8	

- PTHREAD

1) PTHREAD\_SCOPE\_PROCESS schedule threads using PCS scheduling

2) PTHREAD\_SCOPE\_SYSTEM schedule threads using SCS

- OS-Linux and Mac OS X only allow number ②

↳ can undo good scheduling algorithm efforts of guests.

- Virtualization and scheduling: virtualization software schedules multiple guests

↳ Each guest doing its own sched onto CPUs

1) not knowing it doesn't own the CPU

2) can result in poor response time

3) can effect time-of-day clocks on guests.

## Ch5: Process Synchronization

- Processes can execute concurrently.
- Concurrent access to shared data may result in data inconsistency.
- Solution for the Consumer-Producer problem that fills a buffer
  - Initially, counter is 0
  - 1. ++ by the producer
  - 2. -- by the consumer
- Machine instruction: Atomic if cannot be interrupted
- Critical Section: Process may be changing common variables; updating shared table, writing shared file

→ the part of the program(process) that is accessing and changing shared data is called **Critical Section**

- Critical Section Problem is to design protocols to solve this, each process must:
  - ask permission to enter critical section in entry section.
  - may follow critical section with exit section.
  - Then perform the remainder section.

### Peterson's Solution.

- Solution to Critical Section Problem:
- Mutual Exclusion: if a process is executing in its critical section, no other processes can be executing in their critical sections
- Progress: If no process is executing in its critical section and there exist processes that wish to enter their critical section, then the selection of the next one cannot be postponed indefinitely
- Bounded waiting: a bound must exist on the number of times that the processes are allowed to enter their critical sections after a process has made a request to enter its critical section and before that request is granted

- test and Set instruction
  - ↳ Executed atomically [ cannot be interrupted ]
    - Returns the original value of passed parameter
    - Set the new passed parameter to "True"
- Compare-and-Swap instruction / int compare-and-Swap(int\* value, int expected, int new-value)
  - ↳ Executed atomically
  - Returns the original value
  - Set the variable "value" to the value of passed by parameter but only if  $\text{value} == \text{expected}$  (the swap takes place only under this condition)
- Semaphore: synchronization tool that provides more sophisticated ways (than mutex locks) for process to synchronize their activities
  - ↳ only accessed via two indivisible (atomic) operations (wait() and signal())
    - wait(): and signal()
  - Counting semaphore: integer value can range over an unrestricted domain
  - Binary semaphore: integer value can range only between 0 and 1
    - ↳ Same as mutex lock
- Deadlock: two or more processes are waiting indefinitely for an event that can be caused by only one of the waiting processes.
- Starvation-indefinite blocking: A process may never be removed from the semaphore queue in which it is suspended.
- Priority Inversion: Scheduling problem when lower-priority process holds a lock needed by higher-priority process.
  - Solved via priority-inheritance protocol