Chapter 8 :- Indexing

- Database Managment System abstracts data as a collection of records stored in a file.
- file is a set of Pages (Blocks), each contain a set of records
- ? example of what is file
  ⇒ Suppose a file for employees (name, age and salary)
- ? What is the storage device in DBMS & Data Structure
  ⇒ DBMS : the primary storage device is the "Hard Disks"
  Data Structure : the storage device is the Memory
- Pages : Blocks : the unit of information read from or written from the disk. It's 4KB or 8KB
- Hard Disk : is a group of files.

records inside → Pages Blocks inside → file inside → Hard Disk

Figure of DBMS

Heap file : is the simplest file organization
⇒ records are stored Randomly across the Pages/Blocks

Rid : each Record has this a unique identifier
Rid used to identify Page/Record address (Block address + location in Block)

Note Disks have fixed cost per page.

Heap file : to get (retrieval) all records
or certain record we need rid.

(?) Now, what is Index ... ?

⇒ Is a data structure that allows fast retrieval of data records
It based on Search Key

* We can create several indexes for same data file each with different Search Key

* When we create a Primary Key, Indexes also created ~~automatically~~ Automatically.

mple: Consider employee records, using indexes

⇒ We can store the records in a file organized as an index on employee عمر الموظف age

also, we can create another index file based on Salary to speed up operations that involve retrieving employee based on Salary

First File : actual employee record

Second File : data entries

data entries : associated with Key (K) & contains enough info. to locate data record.

So, In Search ① Search an Index to find the desired data entries.
② use data entries to locate the data records

When we used indexing ...?

When we want to access a collection of records in a multiple ways.

(?) When we want to read or write ...?

We read/write a Pages (4KB or 8KB)

(?) What is the mean of (I/O) ...?

I : input from disk to main memory

O : output = memory to Disk

(?) Disk & Tape

Disk : We can sort data

Tapes : it force us to read Page after Page.

(?) Rid : Record identifier (unique)

We can identify disk address of Page containing the record.

(?) Memory & Disk

Memory : Processing data [when reading]

Disk : Persistent (حفظ مستمر) storage [when written] by layer called buffer manager.

(?) How to Process Page ..?

1) ask the buffer manager to fetch the Page.

2) Specifying the Pages Rid

3) Buffer Manager fetch the Page from disk if it is not in memory

(?) Disk Space Manager according to DBMS

بتعاملنا ع مجال الفايل [illegible] لا ([illegible])

Scan: operation allow us to setup through all records in the file one at a time.

File layer: stores record in a file in a collection of disk Pages.

Data entry: used to refer to the records stored in an index file.

Notes: Data entry + Search Key (K) ⇒ Denote as K*
⇒ Contains enough info. to locate records by wig search key

To store data entry in an index
① data entry K* } to store actual data
② = = (K, Rid) pair,
③ = = (K, Rid-list) pair, ← Better space than 2.
list of record id.

② If we want to use more than index on a collection of data one of these index must be K* (to avoid storing data multiple times)

Clustered index: order of data record close to data entries in some index
⇒ otherwise, unclustered

Note: alternative 1: always clustered.
2 & 3 clustered only if sorted on search key.
otherwise unclustered (sorting files is expensive)

Primary Index: Alternative. 1
Secondary Index: Alternative. 2 & 3
duplicates: two data entry have same value for search key
* Primary Index guaranteed not to contain duplicate.

Secondary ⇒ duplicate is exist, if not ⇒ Search Key contain Some Candidate Key ⇒ Unique index

Indexing Techniques : ① Hash ② Tree.

Hash :⇒ files grouped as buckets, where buckets contain a Primary Page. & Some times additional Page Linked in a chain

⇒ Using Hash function (to search Key) we can determine primary Page bucket in one or two disk I/Os

Hash Search : if Search Key is Known

⇒ Hash function used to identify the bucket

if Search Key is not Known

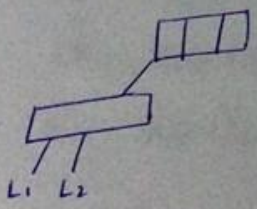
& index on sth, we have to Scan all Pages

اما بتنزلي في هذا الشابتر انو معنا Known

Tree : contain Sorted data

⇒ leaf level contain data entry

Top Most Node called Root



Next to L_1 is L_2

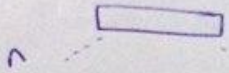
⇒ Pointer

B^+ Tree : 1- More than 2 Nodes

2- Same length for all Path from root to leaf [Balanced height for all node]

Fan-out : average number of children for a non-leaf (F)

* example :- if every non leaf node has n-children a tree of height h has ? node



$\Rightarrow n^h$

Nodes don't have same # of children but usually F of n give us a good approximation to number of leaf Page

at least 100 $\leftarrow F^h$ if height : h = 4

100^4 = 100 million leaf Pages

We need 4 I/O $\Rightarrow \log_4 100\,000\,000 = 25$

In BST $\log_2 100\,000\,000 = 25$ I/O

example employee

1. ~~Heap file~~ / ~~randomly ordered file~~ / unsorted file
2. Sorted file
3. Clustered B^+ Tree with search Key (age, sal)
4. ~~Heap file~~ with an unclustered B^+ index on (age, sal)
5. Heap file ~~= =~~ : hash : : :

which one to use ?

It depend on : Scan fetch all record
equality Search fetch all record that satisfy eq. selection
Range search : : : : : : range :
Insert Insert record, Fetch page to identify which one
Delete delete : : : : : : :

Cost Model :-

B : # of Blocks
R : # of Record Per Block
D : Average time to read or write one Block
C : [Compare Value to selection constant] ⇒ Average time to Access a record.

our focus on I/O cost
CPU : is speed
Memory : Huge Memory increase

Time of accessing Block ignored ⇒ In a single I/O request we read Blocks contiguous Age
⇒ C

Comparison of I/O cost

File Type	Scan	eq. Search	Range Search	Insert	Delete
File = Heap	BD	$\frac{1}{2}$BD	BD	* 2D	** Search + D
Sorted File	BD	$D\log_2 B$	$D\log_2 B$ + D	Search + BD	Search + BD
Clustered B^+	1.5BD	$D\log_F[1.5B]$	$D\log_F(1.5B)$ + D	Search + #D	Search + D
Unclustered B^+	BD(R+0.15) ##	$D(1+\log_F(0.15B))$	$D\log_F(0.15B)$ + # of matching Record	$D(3+\log_F 0.15B)$	Search + 2D
Unclustered Hash	BD(R+0.125)	2D	BD	4D	Search + 2D

Clustered : Pages are usually about 67 Percent occupancy
Number of Pages $\frac{3}{2}B$

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: Record to be insert will be last one always (so last Page must ferch)
So the cost is 1- add the record
2- write the Page back ? umm we change the rule !

** : Search + write modified ~~back~~ Page back

*** : BD after insert shift (rewrite..)

: D : write

: assumed data entry in index is tenth size of an employee

⇒ 0.1 (1SB) = 0.1SB
tenth

ample 1:-

Select e.dno
from employee e
where e.age > 40

In the following examples you have to decide which is the better index to make the query faster

Ans Choices 1- Heap (file) × default, we want to develop it
2- Clustered B^+
3- Unclustered B^+
4- Hash × Range exist

Ans unclustered B^+ tree on age
لانه عدد الموظفين عادة قليل وبيرجع الشرط
بقلل عدد الناس اللي هتقرأ، فبيصير نزل
على الهارد ديسك بس مرات قليلة

* example 2:-

Select e.dno, count(*)
from employee e
where e.age > 10
group by e.dno

Hint: Huge time caused because group by is exist ⇒ sorting

Answer Unclustered B^+ index on dno

example 3:-
Select * from employee

Answer In this case Index can not help
all thing are needed

Example 4:

```
Select  e.name , e.age
from    employee e
where   e.age > 40
```

Hint: index can be made on more than one column

Answer: choices — Unclustered index on name, age ✗

Unclustered index on age, name ✓

example 5:

```
Select  e.name , e.age
from    employee e
where   e.age = 40
```

Answer

Note: here equality search so type of index is hash

⇒ Unclustered hash on age

Example 6

```
Select  e.eid
from    employee
where   e.salary  between  3000  and  5000
and     e.age     between  20    and  30,
```

Answer 1 :

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Unclustered B^+, index on { salary, age / age, salary }

[حسب الداتا] Depend on least matches

اقل ماتش بالأول

Example 7:-

```
Select e.eid
from employee e
where e.salary between 3000 and 5000
and e.age = 25
```

Answer : Note we can't we hash ⇒ range is exist

1 ⇒ Unclustered B^+ on age, salary, eid

Clustered B^+ on age, salary

Example 8 :-

```
Select e.dno, count(*)
from employee e
where e.salary = 10000
group by e.dno
```

Answer : Hash on salary

Ex
```
select e.age
from employee e
where e.age < 19
```
⇒ unclust B^+ tree

Note index for Primary Key generate automatically

if where e.age < 30

⇒ Unclustered B^+ on age ✓ because select e.age age only

if
```
select e.age, e.name
from employee e
where e.age < 30
```

file only one clust., if one used we can't used cl

Ans unclust B^+ index : age, name

if select * ⇒ Clustered (كل الاندكس مع الفايل)

index ع column كل نحط غير مفهوم

Index on HD

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every thing on HD

only root node on memory

Q How to create index ?

Create index empNameIndex on employee
(Label) (Table name)
with structure = BTree, Key = (name);

hash/BTree ↑ search key ↑

⇒ Not alter

Ex Emp (eid, name, sal, did)
Dept (did, budget, floor, mgrl)
10 floors
Sal : 10,000 → 100 000
age : 20 → 80
each Dept has 5 emp on Avg
budget 10,000 → 1000 00

Query:- Print name, age, sal for all emp
3 from 5 all

Clustered on age, name, Sal or Unclustered name, age, sal

Clust only one list

Query :- Find did of department that are on 10^{th} floor with a budget < 15,000

Ans did , floor , budget ⇐ we need data from them

did will be last , not in query

[illegible]

first = ?

$\frac{15,000 - 10000}{1000000 - 10000} \approx \frac{5000}{1000000} = 0.005$

floor ⇒ 10%

So budget, floor, did

⇒ Unclustered budget , floor , did

or Unclustered Budget

لأن النسبة قليلة
إذا عند dept 1000
بجيب 5 منهم