

# Exchange Standards: Document exchange

## *HL7v3-Clinical Document Architecture (CDA)*

# HL7: Exchange Standards

## HL7 Versions

- HL7 Version 2.x messaging
- HL7 Version 3 messaging
- HL7 Clinical Document Architecture (CDA)
- HL7 Fast Healthcare Interoperability Resources (FHIR)

# Exchange is a Need in Healthcare



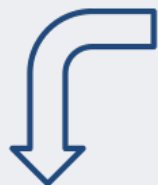
Vast amounts of patient data collected through direct clinical interactions

Medical information such as vitals, orders, prescriptions, discharge summaries, etc. dictated or recorded by hand



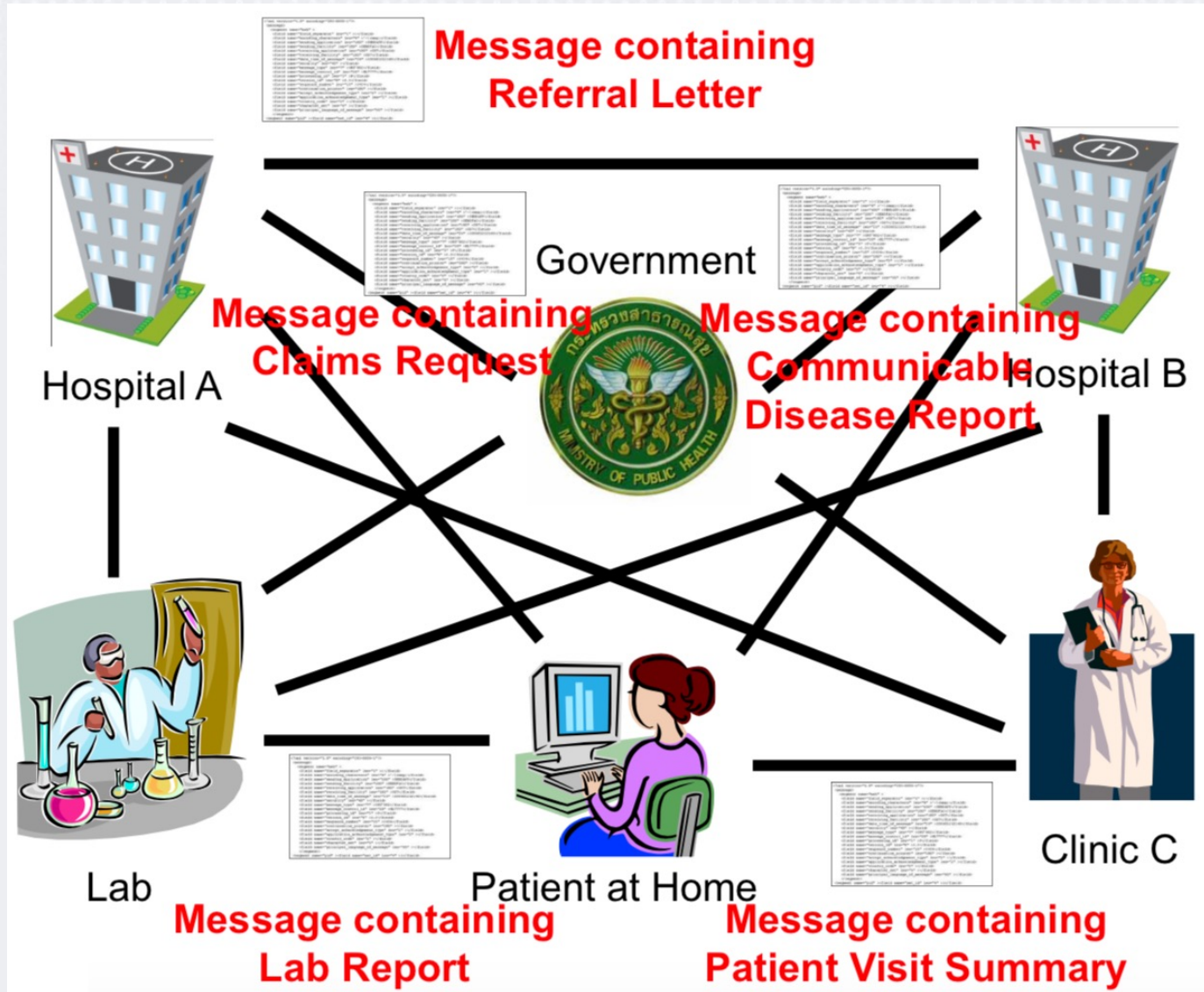
All of this clinical data was stored as paper records (documents) at each point of care

If patient health records needed to be shared between providers, they usually required manual exchange (e.g. fax, "snail mail")



- Coordination of care between providers slow, costly; patient outcomes inconsistent
- Duplicative healthcare services (e.g. labs imaging) frequent

# Clinical Document Exchange





# Clinical Documents



8/11/17 **Ex. A-1**

**THE MEDICAL CENTER OF CENTRAL MASSACHUSETTS**

**EMERGENCY**  
MEDICAL RECORD COPY

ROOM: \_\_\_\_\_ ROOM: \_\_\_\_\_

PATIENT'S LAST NAME: **Thomas** FIRST: \_\_\_\_\_ MIDDLE: \_\_\_\_\_ MAIDEN NAME: \_\_\_\_\_ SEX: **M** RACE: **C** AGE: **43Y** ACCOUNT NO.: **9062373** MED. REC. NO.: **304292**

PATIENT'S ADDRESS: **129 Crescent St Shrewsbury MA 01545** ZIP: \_\_\_\_\_ PHONE NO.: **000000** MAR: **X** DATE OF BIRTH: **09/12/74** SOCIAL SECURITY NO.: **014409215** FIC: **B**

EMPLOYER'S ADDRESS: \_\_\_\_\_ CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_ PHONE NO.: \_\_\_\_\_

RELATIONSHIP: **Known** NAME: **MARY Werner** ADDRESS: \_\_\_\_\_ CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_ PHONE NO.: **888-3130-515** DATE/TIME OF ARRIVAL: **09/29/17 02:00**

OCCUPATION: \_\_\_\_\_ ATTENDING PHYSICIAN: **Misc** (298190) REL. ASSG: \_\_\_\_\_

ATTENDING: \_\_\_\_\_ PTP: **E** SERVICE: **ER** OCC (REL. CO.): **11** REG. BY: **914 NG** SUBSCRIBER/CO.: **Unknown** LINE: \_\_\_\_\_

INSURANCE CO. 1: **Blue Cross** GROUP NO.: \_\_\_\_\_ CERTIFICATE NO.: **009379759** SUBSCRIBER: **King Thomas** SEX: **M** REL: **PT**

INSURANCE CO. 2: \_\_\_\_\_ GROUP NO.: \_\_\_\_\_ CERTIFICATE NO.: \_\_\_\_\_ SUBSCRIBER: \_\_\_\_\_ SEX: \_\_\_\_\_ REL: \_\_\_\_\_

INSURANCE CO. 3: \_\_\_\_\_ GROUP NO.: \_\_\_\_\_ CERTIFICATE NO.: \_\_\_\_\_ SUBSCRIBER: \_\_\_\_\_ SEX: \_\_\_\_\_ REL: \_\_\_\_\_

PHYSICIAN NAME: **King Thomas** ADDRESS: **129 Crescent St Shrewsbury M A 01545** CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_ PHONE NO.: **000000**

ALLERGIES: **IVP, Dye**

HEALTHY SOCIAL HR: **carpal tunnel, sensitive**

CHIEF COMPLAINT: **Someone poked his decision nail thru my eyeball - leaking viscous fluid.**

HISTORY OF PRESENT ILLNESS: **Dr O'Connell in to evaluate pt immediately - eye shield applied. #20 started with difficulty - AS locked. Alight hung - WPD into interview pt is: sister in a pt. Dr. ... into exam - pt's eye. 3pm Discharge instructions given and pt left ambulatory 2 ... and cousin. Johnson RN**

PHYSICIAN: \_\_\_\_\_ TIME: **2A** TETANUS STATUS: **5-6 yrs ago** LUMP: \_\_\_\_\_ WGT: \_\_\_\_\_ BP: **138/82** HR: **98** RR: **24** R: \_\_\_\_\_ L: \_\_\_\_\_

CURRENT MEDS: **penicillin, ethamoxone**

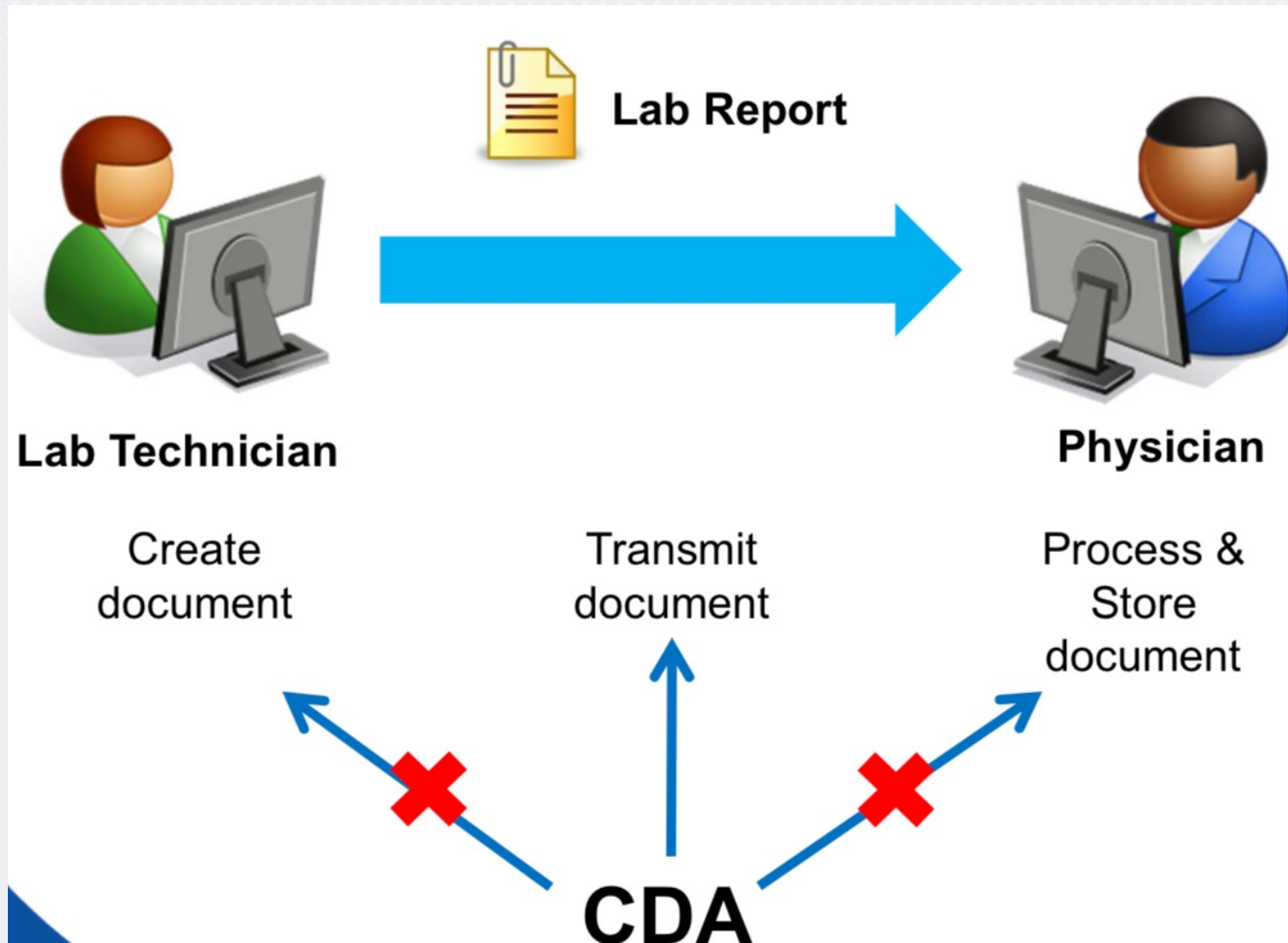
TIME	BP	P	R	TEMP	PULSE	OX

DATE	TIME	TEST	RESULT	DATE	TIME	TEST	RESULT
		EXG				MONITOR	
		MONITOR				O <sub>2</sub>	
		DEXSTICK					

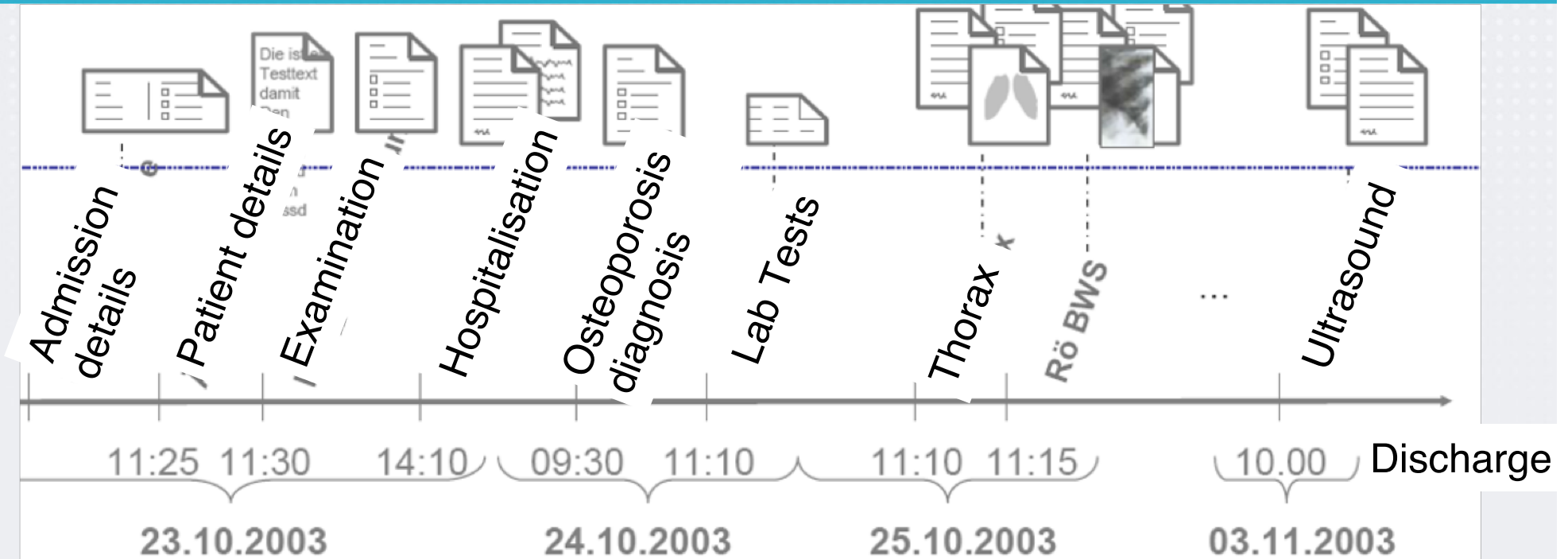
MEDICATION DOSE & ROUTE: **Kefzol 1 gm IV 2A RFA, Tylenol 500 mg PO 2A H, Tylenol 500 mg IM 2A H**

PHYSICIAN'S SIGNATURE: **Johnson RN 118**

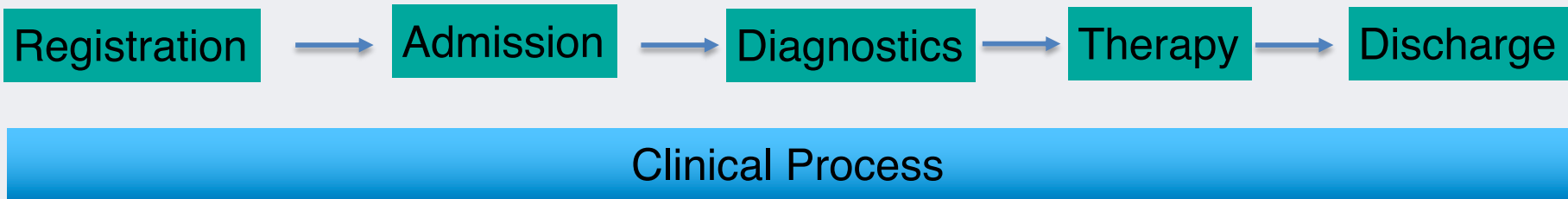
# Clinical Documents



# Clinical Process vs Clinical Documents



Documents in the clinical process from admission to discharge



# HL7: Document Exchange Standards

- HL7 CDA (Clinical Document Architecture)
  - CDA has Release 1 and 2.
  - Provides an **exchange model** for clinical documents e.g. discharge summaries and progress clinical notes
  - Aims at bringing a real-world view to patient medical records in which:
    - Healthcare providers can understand
    - Healthcare applications can **atomically process**
  - HL7 CDA is a **subset** of HL7 v2.x or HL7 v3 message



# Clinical Documents: Different formats

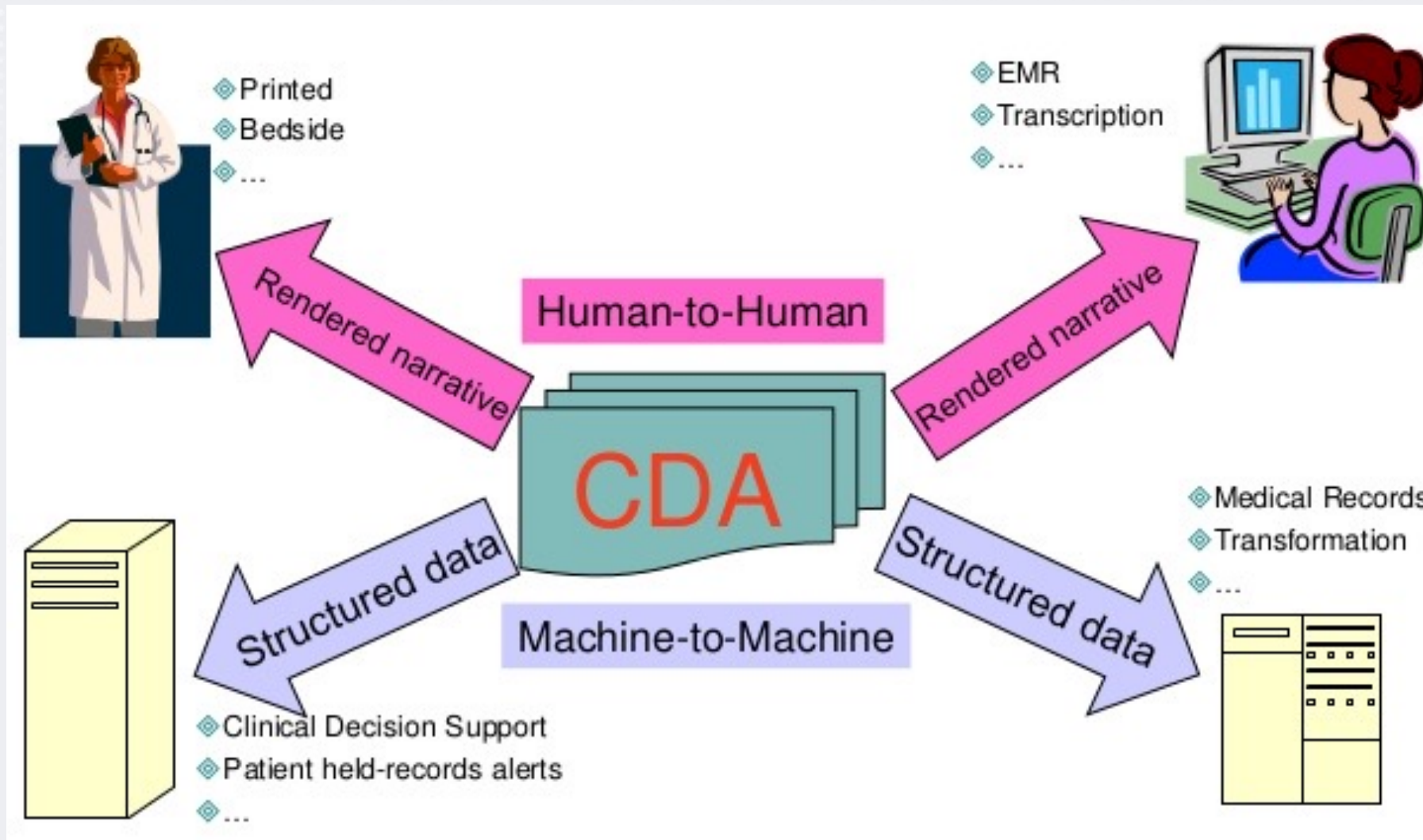
- This is a CD
- and this
- and this
- and this
- and this
- and this



# HL7: Document Exchange Standards

- HL7 CDA (Clinical Document Architecture)
    - “ A **document markup standard** that specifies structure & semantics of **clinical documents** for the purpose of exchange”
    - Focuses on **document exchange**,
    - A document is packaged in a message during exchange
    - A patient medical record as an XML-based documents
- ⇒ CDA is not designed for document storage, just exchange?!

# HL7: Document Exchange Standards



# A Clinical Document

- A CDA document is a complete information record that can include:
  - Text
  - Images
  - Sounds
  - Other information or media
- Clinical content of the document is defined by the HL7 V3 RIM – CDA only standardizes/defines the structure required to exchange documents.



# CDA

- CDA = Clinical Document Architecture
  - CDA is a HL7-standard
  - XML-standard to exchange structured documents
- 
- A key distinction between **HL7 messages** and **HL7 CDA** documents
    - **messages** are packets of data sent from one system to another, get incorporated into the receiving system.
    - **documents** are basically electronic versions of physical clinical documents

# CDA

- An electronic equivalent of a paper document
- Has an author/attester
- Represents a point in time view of data
- Persists as an artifact over time
- Supports simple to very complex document types

ProVation MultiCaregiver

ProVation Medical Center  
GI Nurse Note  
Procedure(s): Colonoscopy

Patient Name: Martin, Rebecca  
Patient ID: 56564567889  
Exam Date: 7/17/2013  
Account#:

Level of Consciousness: **Alert and Oriented x 4**  
Respiratory assessment: **Breath sounds clear / equal**  
Skin assessment: **Warm, Dry, Pink**  
Abdominal exam: **Soft**  
IV started: **YES**  
Attempts: **1**  
IV site: **Right hand**  
Size: **18 gauge**  
IV solution: **Saline Lock, Normal Saline (NS)**  
IV rate: **TKO**  
Inserted by: **MS**  
Time started: **07/18/2013 10:17**

**DISCHARGE**

User: msmith

Patient transferred by and report received from: **ii**  
Siderails up on bed upon receipt of patient? **YES**  
Transportation after procedure: **YES**  
Driver location: **Waiting Room**  
Driver's name/Relationship/Phone: **John/husband/ 891-2712**  
May we share the results of the procedure with your driver? **YES**  
May we contact you tomorrow for a follow-up call? **YES**

Level of Consciousness: **Alert and Oriented x 4**  
Skin assessment: **Warm, Dry, Pink**  
Abdominal exam: **Soft**  
Does the patient currently have pain? **NO**  
Bowel sounds: **Present**  
Passing flatus? **YES**

**DISCHARGE CRITERIA**

Oxygen saturation on room air >=94% or equal to pre-sedation state? **YES**  
Able to ambulate independently (or at baseline)? **YES**  
Able to take PO fluids? **YES**  
IV discontinued: **YES**  
IV site assessment: **Drv, intact**  
IV removed by: **MS**  
Time removed:  
Amount IV fluids infused:  
Comments:  
Patient's valuables returned/reviewed? **YES**  
Patient valuables returned to: **Patient**

**Patient belongings removed/reviewed in Pre-Procedure**

Patient Belongings Removed/Reviewed: **YES**  
Patient items removed: **Contact lenses, Hearing Aid**  
Patient belongings stored: **Stored with patient**  
Patient meets discharge criteria as set by physician and approved by facility? **YES**  
Discharge instructions given to: **Patient, Spouse**  
Discharged to: **Home**  
Discharged via: **Ambulatory**  
Discharged under the care of: **Spouse**

**CARE PLANS**

User: j Jones

**PRE-PROCEDURE**

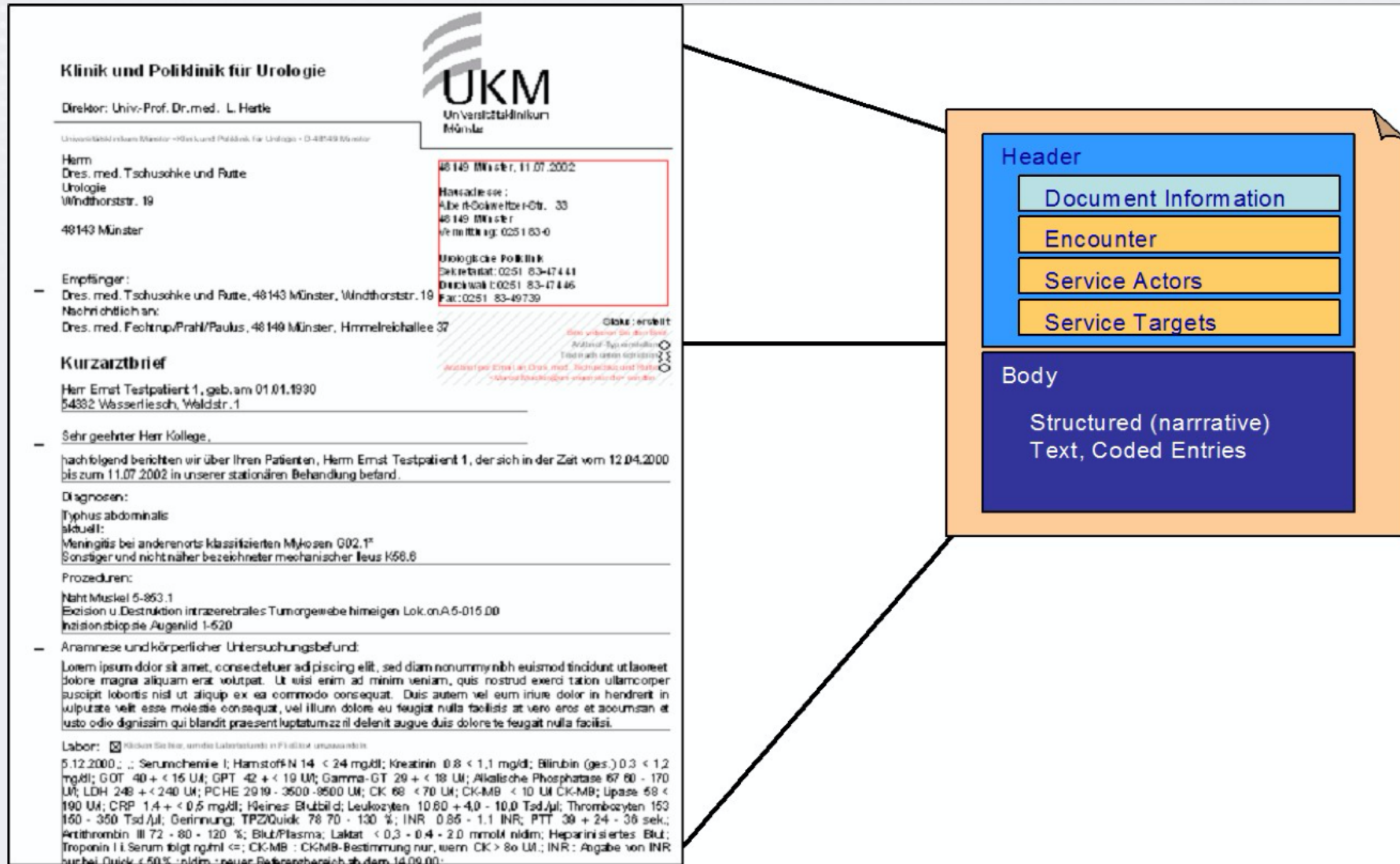
1. Anxiety regarding impending procedure.

Actions: Assess patient for non-verbal clues, listen, clarify questions. Allow use of coping mechanisms. Refer to support system.  
Outcomes: Expresses decreased anxiety and increased understanding of procedure:  
Status: **MET**

2. Lack of understanding of procedure and medications.

GI Nurse Note Page 3 of 8

# Example- Physician Letter as a CDA



# Example- medical order as a CDA

**TEIL I für die Apotheke zur Verrechnung**

Krankenkasse bzw. Kostenträger

BVG  Apotheken-Nummer / IK

Name, Vorname des Versicherten geb. am

Zuzahlung  Gesamt-Brutto

Pharmazentralnummer Faktor Taxe

1. Verordnung

2. Verordnung

3. Verordnung

Kassen-Nr. Versicherten-Nr. Status

Betriebsstätten-Nr. Arzt-Nr. Datum

**Rp.** (Bitte Leerräume durchstreichen)

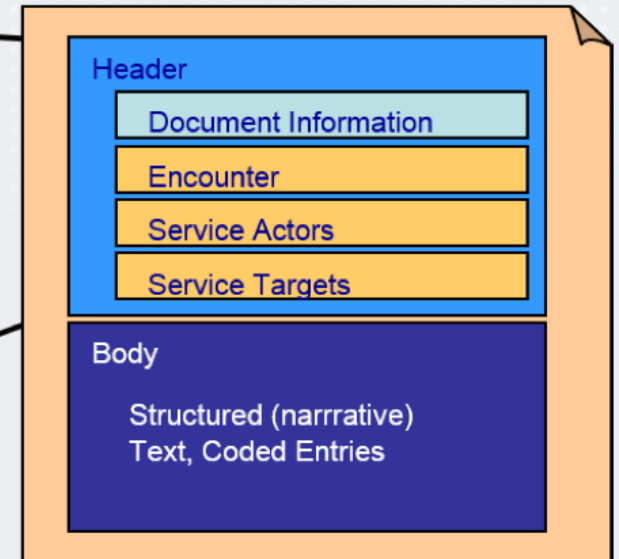
auf dem  Alle Sicherheitsbestimmungen gemäß der Fachinformation entsprechender Fertigarzneimittel werden eingehalten

auf dem  Dem/der Patient(in) wurde vor Beginn der Behandlung medizinisches Informationsmaterial entsprechend den Anforderungen der Fachinformation entsprechender Fertigarzneimittel sowie die aktuelle Gebrauchsinformation des entsprechenden Fertigarzneimittels ausgehändigt

auf dem  Behandlung erfolgt innerhalb der zugelassenen Anwendungsgebiete (In-Label)

auf dem  Behandlung erfolgt außerhalb der zugelassenen Anwendungsgebiete (Off-Label)

444 r Abgabedatum in der Apotheke:  T-Rezeptnummer:  Datum, Unterschrift des Arztes





# Example: CCD (Continuity of Care Document)

555,555-1010

<b>Document maintained by</b>	Good Health Clinic
<b>Contact info</b>	Work Place: 17 Daws Rd. Blue Bell, MA 02368, USA Tel: (555)555-1212

**Table of Contents**

- [Allergies, Adverse Reactions, Alerts](#)
- [Medications](#)
- [Problems](#)
- [Procedures](#)
- [Results](#)
- [Advance Directives](#)
- [Encounters](#)
- [Family history](#)
- [Immunizations](#)
- [Medical Equipment](#)
- [Insurance Providers](#)
- [Plan of Care](#)
- [Social History](#)
- [Vital Signs](#)

**Allergies, Adverse Reactions, Alerts**

Substance	Reaction	Status
Penicillin	Hives	Active
Aspirin	Wheezing	Active
Codeine	Nausea	Active

**Medications**

Medication	Directions	Start Date	Status	Indications	Fill Instructions
Proventil 0.09 MG/ACTUAT inhalant solution	2 puffs QID PRN wheezing	2011-03-01	Active	Bronchitis (32398004 SNOMED CT)	Generic Substitution Allowed

**Problems**

1. Pneumonia: Resolved in March 1998
2. ...

**Procedures**

# Example: CCD -underlying XML

```
- <!--
*****

CDA Body

*****

-->
- <component>
- <structuredBody>
  <!-- ***** -->
  - <!--

*****

Allergies, Adverse Reactions, Alerts

*****

-->
- <component>
- <section>
  <templateId root="2.16.840.1.113883.10.20.22.2.6.1" />
  <!-- Alerts section template -->
  <code code="48765-2" codeSystem="2.16.840.1.113883.6.1" />
  <title>Allergies, Adverse Reactions, Alerts</title>
  - <text>
  - <table border="1" width="100%">
    + <thead>
    - <tbody>
      - <tr>
        <td>Penicillin</td>
        - <td>
          <content ID="reaction1">Hives</content>
        </td>
        <td>Active</td>
      </tr>
    </tbody>
  </table>
  </text>
  </section>
</component>
-->
```