## Block Cipher Modes, Integrity, and Authenticated Encryption

#### ENCS4320 - Applied Cryptography

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#### **Presentation Outline**

#### More on Block Cipher

Block Cipher Modes

#### $\diamond$ ECB

 $\diamond$  CBC

#### $\diamond$ CTR

- Integrity
- Authenticated Encryption



#### More on Block Cipher

- Block cipher can be used for many other tasks:
  - ♦ Different types of block-based encryption schemes
  - ♦ Stream cipher
  - ♦ Pseudo-random generators (PRNG)
  - ♦ Hash function
  - ♦ Message authentication codes, which are also knowns as MACs
  - ♦ Key establishment protocol

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#### **Presentation Outline**

- ✤ More on Block Cipher
- Block Cipher Modes
  - $\diamond$  ECB
  - $\diamond$  CBC
  - $\diamond$  CTR
- Integrity
- Authenticated Encryption



## Multiple Blocks

- How to encrypt a long message (multiple blocks) using a primitive that only applies to n-bit blocks?
- Do we need a new key for each block?
   As bad as (or worse than) a one-time pad!
- Encrypt each block independently?
- Make encryption depend on previous block?
   That is, can we "chain" the blocks together?
- How to handle partial blocks?
  - $\diamond$  We won't discuss this issue

#### Modes of Operation

Many modes (ECB, CBC, CTR, OFB, CFB)



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#### **Presentation Outline**

- ✤ More on Block Cipher
- Block Cipher Modes

#### ♦ ECB

- $\diamond$  CBC
- $\diamond$  CTR
- Integrity
- Authenticated Encryption



#### Electronic Codebook (ECB) Mode

- Notation: C = Enc(P, K)
- Message is broken into independent blocks of *BlockSize* bits
   Given plaintext P<sub>1</sub>, P<sub>2</sub>, ..., P<sub>m</sub>, ...
- Most obvious way to use a block cipher:
  - EncryptionDecryption $C_1 = Enc(P_1, K)$  $P_1 = Dec(C_1, K)$  $C_2 = Enc(P_2, K)$  $P_2 = Dec(C_2, K)$
  - $C_i = Enc(P_i, K)$   $P_i = Dec(C_i, K)$  for i = 1, 2, ...

Each block encrypted/decrypted separately

- For fixed key K, this is "electronic" version of a codebook cipher (without additive)
  - $\diamond$  With a different codebook for each key

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 $Pec_K$ 

 $P_i$ 

 $Enc_K$ 

#### Electronic Codebook (ECB) Mode

Block cipher  $\mathcal{E}: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$ 



Note: || refers to string concatenation

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#### ECB Cut and Paste

#### Suppose plaintext is

Money for Alice is \$1000 Money for Trudy is \$2

✤ Assuming 64-bit blocks and 8-bit ASCII:

$$P_1$$
="Money fo",  $P_2$ ="r Alice ",  $P_3$ ="is \$1000",  $P_4$ ="Money fo",  $P_5$ ="r Trudy ",  $P_6$ ="is \$2"

✤ Trudy cuts and pastes:  $C_1$ ,  $C_2$ ,  $C_6$ ,  $C_4$ ,  $C_5$ ,  $C_3$ 

Decrypts as

Money for Alice is \$2 Money for Trudy is \$1000

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#### ECB Weakness

- $\clubsuit$  Suppose  $P_i = P_j$
- ✤ Then,  $C_i = C_j$  and Trudy knows  $P_i = P_j$
- This gives Trudy some information, even if she does not know P<sub>i</sub> or P<sub>j</sub>
- However, Trudy might know P<sub>i</sub>
  - ♦ Suppose Trudy know that there are only two possible messages, for example, Vote: Yes or No
- ✤ Is this a serious issue?
  - ♦ Votes M<sub>1</sub>, M<sub>2</sub> ∈ {Yes, No} are ECB encrypted, and Trudy sees ciphertexts C<sub>1</sub> = Enc(M<sub>1</sub>, K) and C<sub>2</sub> = Enc(M<sub>2</sub>, K)
  - ♦ Trudy may have cast the first vote and thus knows M<sub>1</sub>; say M<sub>1</sub> = Yes, M<sub>1</sub> = Dec(C<sub>1</sub>, K). Then, Trudy can figure out M<sub>2</sub>
  - $\diamond$  If  $C_2 = C_1$  then  $M_2$  must be Yes, else  $M_2$  must be No

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#### Alice Hates ECB Mode

Alice's uncompressed image, and the same image encrypted in ECB mode



- Why does this happen?
  - ♦ Because Enc is deterministic, same plaintext yields same ciphertext!

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#### ECB Weakness

- Is this avoidable?
  - ♦ Yes, if Enc is probabilistic (non-deterministic)
    - That is, coins flipped internally by the Enc algorithm
  - ♦ Or, if Enc is nonce-based encryption algorithm
    - That is, encryption scheme itself is deterministic, non-determinism injected from the outside
  - ♦ Thus, if the same message is encrypted twice, we are likely to get back different answers

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✤ If so, how can we decrypt?

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 $\diamond$  We will see examples soon

 $\mathcal{E}_{K} \qquad \qquad \mathcal{C}_{2} \qquad \qquad \mathcal{D}_{K} \rightarrow M$   $\mathcal{C}_{s} \qquad \mathcal{D}_{K} \rightarrow M$   $\mathcal{D}_{k} \rightarrow M$ 

## **ECB** Properties

#### Deterministic

The same data block gets encrypted the same way; this reveals patterns of data when a data block repeats

- ✤ Malleable
  - ♦ Reordering ciphertext results in reordered plaintext
- Errors in one ciphertext block do not propagate
- Usage

Not recommended to encrypt more than one block of data

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- More on Block Cipher
- Block Cipher Modes
  - $\diamond$  ECB
  - $\diamond$  CBC
  - $\diamond$  CTR
- Integrity
- Authenticated Encryption



## Cipher Block Chaining (CBC) Mode

- Blocks are "chained" together, next input depends upon previous output
- ✤ An initialization vector (IV) is required to initialize CBC mode

Encryption Decryption

- $C_{\rm O} = IV$ ,  $IV = C_0$  $C_1 = \text{Enc}(C_0 \oplus P_1, K),$  $P_1 = C_0 \oplus Dec(C_1, K),$ ... ...
- $P_i = C_{i-1} \oplus Dec(C_i, K)$  for i = 1, 2, ... $C_i = \text{Enc}(C_{i-1} \oplus P_i, K)$
- Analogous to classic codebook with additive
- IV is usually randomly generated at encryption time and sent (or stored) as the first <u>"ciphertext" block</u> (IV is random, but not secret), it should be "nonce" = "number used only once" Uploaded By: Dana Rafi

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#### Block cipher $\mathcal{E}: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$



Note: || refers to string concatenation

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- ♣ Suppose we encrypt  $M^{(i)}$ ,  $M^{(j)} \in \{ \text{Yes}, \text{No} \}$
- \* Trudy sees  $C^{(i)} = C^{(i)}_0 C^{(i)}_1$  and  $C^{(j)} = C^{(j)}_0 C^{(j)}_1$
- Suppose Trudy knows M<sup>(i)</sup> = Yes
- ❖ Can Trudy determine whether M<sup>(j)</sup> = Yes or M<sup>(j)</sup> = No?
  ♦ No, Trudy cannot determine M<sup>(j)</sup>
- Identical plaintext blocks yield different ciphertext blocks this is good!
- So, CBC is better than ECB. But is it perfectly secure?

♦ No, CBC is not perfectly indistinguishable

 $\diamond$  Observation:

if 
$$C_i = C_j$$
, then  $Enc(M_i \oplus C_{i-1}) = Enc(M_j \oplus C_{j-1})$   
thus,  $M_i \oplus C_{i-1} = M_j \oplus C_{j-1}$   
thus,  $M_i \oplus M_j = C_{i-1} \oplus C_{j-1}$ 

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♦ If  $C_1$  is garbled to, say,  $G \neq C_1$  then

 $P_1 \neq C_0 \oplus Dec(G, K), P_2 \neq G \oplus Dec(C_2, K)$ 

♣ But  $P_3 = C_2 \oplus Dec(C_3, K)$ ,  $P_4 = C_3 \oplus Dec(C_4, K)$ , ...

Automatically recovers from errors!

Each plaintext block only depends on two consecutive ciphertext blocks, so errors do not propagate beyond two blocks

#### Alice Likes CBC Mode

Alice's uncompressed image, and the same image encrypted in CBC mode



- Why does this happen?
  - Because Enc is non-deterministic, same plaintext yields different ciphertext!

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## **CBC** Properties

- Probabilistic encryption: repeated text gets mapped to different encrypted data
  - Can be proven to be "secure" assuming that the block cipher has desirable properties and that <u>random IV's</u> are used
- A ciphertext block depends on all preceding plaintext blocks; reorder affects decryption
- ✤ Errors in one block propagate to two blocks
  ♦ One bit error in C<sub>j</sub> affects all bits in M<sub>j</sub> and one bit in M<sub>j+1</sub>
- Sequential encryption, cannot use parallel hardware
- Usage: chooses random IV and protects the integrity of IV

Suppose that we use a block cipher to encrypt according to the rule

 $C_1 = IV \oplus Enc(P_1, K), C_2 = C_1 \oplus Enc(P_2, K), C_3 = C_2 \oplus Enc(P_3, K), ...$ 

- a) What is the corresponding decryption rule?
- b) Give two security disadvantages of this mode as compared to CBC mode.
- Solution:
  - a)  $P_1 = \text{Dec}(C_1 \oplus IV, K)$ , and  $P_i = \text{Dec}(C_i \oplus C_{i-1}, K)$  for i = 2, 3, ...
  - b) If  $P_i = P_j$  for some i and  $j \Rightarrow Enc(P_i, K) = Enc(P_j, K) \Rightarrow C_i \bigoplus C_{i-1} = C_j \bigoplus C_{j-1} \Rightarrow$  Same problems as with ECB (i.e., cut-and-paste attack, revealing the original plaintext contents from ciphertext, ...)

Bob wishes to encrypt some plaintext and store the resulting ciphertext on his hard drive. Specifically, he wants the ciphertext to be the same length as the original plaintext. For this purpose, he employed the **ciphertext stealing (CTS)** mode, the implementation of which is shown in the figure in the next page. Initially, the plaintext is divided into independent blocks of length S bits, giving the plaintext blocks  $P_1, P_2, \dots, P_N$ . Assume that the last block of plaintext (i.e.,  $P_N$ ) is L bits long, where L < S. The encryption sequence is as follows:

- 1) Encrypt the first (N-2) blocks using the traditional cipher block chaining (**CBC**) technique.
- 2) XOR  $P_{N-1}$  with the previous ciphertext block  $C_{N-2}$  to create  $Y_{N-1}$ .
- 3) Encrypt  $Y_{N-1}$  to create  $E_{N-1}$ .
- Select the first L bits of  $E_{N-1}$  to create  $C_N$ . 4)
- 5) Pad  $P_N$  with (S L) zeros at the end and exclusive-OR with  $E_{N-1}$  to create  $Y_N$ .
- 6) Encrypt  $Y_N$  to create  $C_{N-1}$ . Block Cipher Modes, Integrity, and Authenticated Encryption

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- a) Describe how to decrypt the ciphertext  $(C_1, ..., C_{N-1}, C_N)$ , that is, show the decryption sequence.
- b) If a single-bit error occurs in the storage of ciphertext  $C_i$ , which plaintext blocks, if any, will be correctly restored by the decryption algorithm? Explain your answer.

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#### $\bullet$ Solution – (a):

- Decrypt the first (N − 2) ciphertext blocks (C<sub>1</sub>, ..., C<sub>N−2</sub>) using the traditional cipher block chaining (CBC) technique ,
   P<sub>i</sub> = C<sub>i−1</sub> ⊕ Dec(K, C<sub>i</sub>), ∀ i ∈ [1, N − 2], C<sub>0</sub> = IV
- 2) Decrypt  $C_{N-1}$  to create  $Y_N$ ,  $Y_N = Dec(K, C_{N-1})$
- 3) Select the first *L* bits of  $Y_N$  and exclusive-OR with  $C_N$  to calculate  $P_N$ ,  $P_N = Y_N[1:L] \oplus C_N$
- 4) Concatenate  $C_N$  with the last (S L) bits of  $Y_N$  to create  $E_{N-1}$ ,  $E_{N-1} = C_N || Y_N[L + 1:S]$
- 5) Decrypt  $E_{N-1}$  to create  $Y_{N-1}$ ,  $Y_{N-1} = Dec(K, E_{N-1})$
- 6) XOR  $Y_{N-1}$  with the previous ciphertext block  $C_{N-2}$  to calculate  $P_{N-1}$ ,  $P_{N-1} = Y_{N-1} \oplus C_{N-2}$

#### $\bullet$ Solution – (b):

If  $C_i$  is garbled to, say,  $G \neq C_i$  then

 $P_i \neq C_{i-1} \oplus Dec(K,G)$ ,  $P_{i+1} \neq G \oplus Dec(K,C_{i+1})$ 

But  $P_{i-1} = C_{i-2} \oplus Dec(K, C_{i-1})$ ,  $P_{i-2} = C_{i-3} \oplus Dec(K, C_{i-2})$ ... and

 $P_{i+2} = C_{i+1} \oplus Dec(K, C_{i+2}), P_{i+3} = C_{i+2} \oplus Dec(K, C_{i+3}) \dots$ 

In other words, each plaintext block only depends on two consecutive ciphertext blocks, so errors do not propagate beyond two blocks.

Thus, all plaintext blocks except plaintext blocks  $P_i$  and  $P_{i+1}$  will be correctly restored by the decryption algorithm.



#### **Presentation Outline**

- ✤ More on Block Cipher
- Block Cipher Modes
  - $\diamond$  ECB
  - $\diamond$  CBC
  - $\diamond$  CTR
- Integrity
- Authenticated Encryption

## Counter (CTR) Mode

- Use the block cipher as a keystream generator
  - $\diamond$  Another way to construct PRNG using DES
  - $\diamond$  Can also be used for random access, with a significant limitation ...
- Counter (CTR) mode employs an initialization vector (IV), or a number used only once (nonce), referred to as ctr Encryption
  - $C_0 = ctr,$  $ctr = C_0,$  $C_1 = P_1 \oplus Enc(ctr + 1, K),$  $P_1 = C_1 \oplus Enc(ctr + 1, K),$

 $C_i = P_i \oplus Enc(ctr + i, K)$   $P_i = C_i \oplus Enc(ctr + i, K)$  for i = 1, 2, ...

- CTR mode allows parallelization of encryption. Thus, suited for highspeed implementations
- Sender and receiver share: ctr (does not need to be secret) and the secret key k

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## Counter (CTR) Mode

Block cipher  $\mathcal{E}: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$ 

In nonce-based encryption, the ctr will not be chosen at random. Instead, it will be an input to the Enc algorithm, i.e., Enc(K, M, Nonce). Thus, Enc becomes a deterministic function.

Note: (ctr + i) denotes the n-bit string formed by converting ctr to an integer, calculating  $(ctr_{int} + i)$  modulo  $2^n$ , and then converting the result back to an n-bit string **Alg** Enc(*K*, *M*) 1.  $\operatorname{ctr} \leftarrow \{0,1\}^n$ 2.  $C_0 \leftarrow \text{ctr}$ 3. for  $i = 1, \dots, m$  do 4.  $C_i \leftarrow \mathcal{E}_K(\operatorname{ctr} + i) \bigoplus M_i$ 5. return  $C_0 || C_1 || \cdots || C_m$ **Alg** Dec(*K*, *C*) 1.  $\operatorname{ctr} \leftarrow C_0$ 2. for i = 1, ..., m do 3.  $M_i \leftarrow \mathcal{E}_K(\operatorname{ctr} + i) \oplus C_i$ return  $M_1 || M_2 || \cdots || M_m$ 4.

Note: || refers to string concatenation Block Cipher Modes, Integrity, and Authenticated Encryption ENCS4320 – Applied Cryptography

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#### Counter (CTR) Mode



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## **CTR** Properties

- Software and hardware efficiency
  - ♦ Different blocks can be encrypted/decrypted in parallel
- Preprocessing
  - The encryption part can be done offline and when the message is known, just do the XOR
- Random access
  - ♦ Decryption of a block can be done in random order, very useful for hard-disk encryption
- Messages of arbitrary length
  - ♦ Ciphertext is the same length with the plaintext
- Simplicity
  - Can use a family of functions Enc that is not required to be a block cipher as the CTR mode doesn't use Enc<sup>-1</sup>

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#### **CTR** Security

- Birthday attack:
  - $\diamond$  Suppose 1-block messages  $M^{(0)}$  and  $M^{(1)}$  are encrypted
  - $\diamond$  Then, with a CTR symmetric encryption scheme:

 $C^{(0)}_{0} C^{(0)}_{1} = \text{Enc}^{CTR}(K, M^{(0)})$  and  $C^{(1)}_{0} C^{(1)}_{1} = \text{Enc}^{CTR}(K, M^{(1)})$ 

 $\Rightarrow$  If  $C^{(0)}_{0} = C^{(1)}_{0}$ , referred to as <u>event collision</u>, then:

- $C^{(0)}_{1} = C^{(1)}_{1}$  if and only if  $M^{(0)} = M^{(1)}_{1}$
- $\mathcal{C}^{(0)}_{1} \oplus \mathcal{C}^{(1)}_{1} = \mathcal{M}^{(0)} \oplus \mathcal{M}^{(1)}$
- So, if adversary is lucky, he can detect message equality and violate the indistinguishability under chosen plaintext attack (IND-CPA)
- The CTR mode can be broken (in the IND-CPA sense) using the birthday attack in about 2<sup>(n/2)</sup> queries, where n is the block length of the underlying block cipher

#### **Block Cipher Modes**

#### Other Block Cipher Modes

♦ CFB

 $\diamond \mathsf{OFB}$ 

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#### Cipher Feedback (CFB) Mode

The message is XORed with the feedback of encrypting the previous block

#### Encryption

$$C_i = M_i \oplus Enc(C_{i-1}, K)$$
,  
with  $C_0 = IV$ 



# ✤ Decryption $M_i = C_i \oplus Enc(C_{i-1}, K),$ with $C_0 = IV$



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#### **CFB** Properties

- Probabilistic encryption
- Sequential encryption

 A ciphertext block depends on all preceding plaintext blocks; reorder affects decryption

- Errors propagate for several blocks after the error, but the mode is self-synchronizing (like CBC)
- Decreased throughput
  - Can vary the number of bits feedback, trading off throughput for ease of use

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#### Output Feedback (OFB) Mode

Constructs a Pseudo Random Number Generator using DES Enc function



#### **OFB** Properties

- Probabilistic encryption
- Sequential encryption, but pre-processing possible
- Error propagation limited
- Subject to limitations of stream ciphers

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#### **Presentation Outline**

- Block Cipher Modes
  - $\diamond$  ECB
  - $\diamond$  CBC
  - $\diamond \text{CTR}$
- Integrity
- Authenticated Encryption

#### Basic Goals of Cryptography

	Message Privacy	Message Integrity / Authentication
Symmetric Keys	Symmetric Encryption (private-key encryption)	Message Authentication Codes (MAC)
Asymmetric Keys	Asymmetric Encryption (public-key encryption)	Digital Signatures

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## Data Integrity

Integrity — detect unauthorized writing (i.e., modification of data)

Protecting:

- ♦ OS system files against tempering
- $\diamond$  Browser cookies stored by web servers
- ♦ Control signals in network management
- Example: Inter-bank fund transfers



## Message Authentication Code (MAC)

- Encryption provides confidentiality (prevents unauthorized disclosure)
- Encryption alone does not provide integrity
  - ♦ One-time pad, ECB cut-and-paste, etc.
- Message Authentication Codes (MACs)
  - ♦ Also called the "cryptographic checksums"
  - ♦ Used for data integrity (Integrity not the same as confidentiality)



#### Properties of MACs

- Arbitrary input length
- Fixed output length
- Message Authentication
  - $\diamond$  Bob is certain that Alice sent the message
- Integrity
  - ♦ Manipulations in transit will be detected by Bob
- Non-repudiation is NOT given (Non-non-repudiation)
  - Offers no protection if Alice & Bob try to cheat each other



#### MAC Computation/Verification

#### \* MAC is computed as CBC residue

♦ That is, compute CBC encryption, saving only final ciphertext block

MAC computation (assuming N blocks)

 $C_0 = IV$ ,  $C_1 = Enc(C_0 \oplus M_1, K)$ , ...,  $C_N = Enc(C_{N-1} \oplus M_N, K) = MAC$ 



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#### MAC Computation/Verification

- MAC sent with IV and plaintext
  - $\diamond$  Remember that our goal here is integrity, but not confidentiality
- Correctness requirement: Vrfy(K, M, Tag(K, M)) = 1 (Valid)
- Receiver does same computation and verifies that result agrees with MAC (Note: receiver must know the key K)
  - If the computed "MAC" matches the received MAC, then we are satisfied with the integrity of the data
  - If the computed "MAC" does not match the received MAC, then we know that something is amiss
- Computing a MAC based on CBC encryption is not the only way to provide data integrity, another options
  - $\diamond$  Hashed MAC (HMAC) and digital signature (will be discussed later)

#### Does a MAC work?

- Suppose Alice has 4 plaintext blocks
- ✤ Alice computes

 $C_0 = IV , C_1 = Enc(C_0 \oplus P_1, K) , C_2 = Enc(C_1 \oplus P_2, K) ,$  $C_3 = Enc(C_2 \oplus P_3, K) , C_4 = Enc(C_3 \oplus P_4, K) = MAC$ 

✤ Alice sends IV, P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>, P<sub>4</sub> and MAC to Bob

✤ Suppose Trudy <u>changes</u> P<sub>2</sub> to X

Bob computes

 $C_0 = IV , \quad C_1 = Enc(C_0 \oplus P_1, K) , \quad C_2 = Enc(C_1 \oplus X, K) ,$  $C_3 = Enc(C_2 \oplus P_3, K) , \quad C_4 = Enc(C_3 \oplus P_4, K) = MAC \neq MAC$ 

- ✤ That is, error propagates into MAC
- Trudy can't make MAC == MAC without K

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#### Does a MAC work? (cont.)

- Suppose Alice has 4 plaintext blocks
- ✤ Alice computes

 $C_0 = IV , C_1 = Enc(C_0 \oplus P_1, K) , C_2 = Enc(C_1 \oplus P_2, K) ,$  $C_3 = Enc(C_2 \oplus P_3, K) , C_4 = Enc(C_3 \oplus P_4, K) = MAC$ 

✤ Alice sends IV, P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>, P<sub>4</sub> and MAC to Bob

Suppose Trudy <u>swaps</u> P<sub>2</sub> and P<sub>3</sub>

Bob computes

 $C_0 = IV , \quad C_1 = Enc(C_0 \oplus P_1, K) , \quad C_2 = Enc(C_1 \oplus P_3, K) ,$  $C_3 = Enc(C_2 \oplus P_2, K) , \quad C_4 = Enc(C_3 \oplus P_4, K) = MAC \neq MAC$ 

- ✤ That is, error propagates into MAC
- Trudy can't make MAC == MAC without K

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- Suppose that you know a MAC value X and the key K that was used to compute the MAC, but you do not know the original message
  - a) Show that you can construct a message M that also has its MAC equal to X. Note that we are assuming that you know the key K and the same key is used for both MAC computations.
  - b) How much of the message **M** are you free to choose?

#### Solution:

- a) Since we know K and MAC, then by using CBC mode we can set P<sub>n</sub> to be any desired message block, and choose C<sub>n-1</sub> = Dec(MAC, K) ⊕ P<sub>n</sub>. We can set P<sub>i</sub> to be any desired message block, and choose C<sub>i-1</sub> = Dec(C<sub>i</sub>, K) ⊕ P<sub>i</sub>, where 1 < i ≤ n 1. Finally, we can set P<sub>1</sub> to be any desired message block, and choose IV = Dec(C<sub>1</sub>, K) ⊕ P<sub>1</sub>.
- b) Given that there are no restriction on **IV**, then the compute message can be freely chosen.

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#### **CBC-MAC** Security

CBC-MAC is UF-CMA (unforgeability against chosen-message attacks) secure MAC for messages of a single <u>fixed-length</u>



#### Cipher-based MAC (CMAC)

- For <u>variable-length</u> messages, the CMAC (a.k.a. One-key CBC-MAC (OMAC)) is used to upgrade CBC-MAC
  - $\diamond$  The CMAC derives two keys ( $K_2$ ,  $K_3$ ) from the master key (K)
  - $ightarrow K_2$  is used when the message is a multiplicative of the block size, while  $K_3$  is used when the padding is needed (The final block is padded to the right with a 1 and as many 0s as necessary)

$$4 K_2 = 2 * E_K(0^n)$$
 and  $K_3 = 4 * E_K(0^n)$ , \* is the multiplication in GF(2<sup>n</sup>)



Can we use any of the following methods to compute the MAC?



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♦ No, there is a possible attack on each of the given attempts



#### **Presentation Outline**

- Block Cipher Modes
  - $\diamond$  ECB
  - $\diamond$  CBC
  - $\diamond$  CTR
- Integrity

#### Authenticated Encryption

#### Basic Goals of Cryptography

	Message Privacy	Message Integrity / Authentication
Symmetric Keys	Symmetric Encryption (private-key encryption)	Message Authentication Codes (MAC)
Asymmetric Keys	Asymmetric Encryption (public-key encryption)	Digital Signatures

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## Confidentiality, Integrity, and Authenticity

Authenticated encryption: privacy and integrity in one primitive

Encrypt with one key, and then, MAC with another key



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## Confidentiality, Integrity, and Authenticity

#### MAC is checked first

The ciphertext is discarded if the MAC is invalid

- Why not use the same key?
  - Reusing a single key introduces unnecessary risks, breaking cryptographic best practices and potentially leading to vulnerabilities
- Using different keys to encrypt and compute MAC works, even if keys are related
  - ♦ For instance, they can be derived from a single key K via K<sub>e</sub> = F<sub>K</sub>(0) and K<sub>m</sub> = F<sub>K</sub>(1), where F is a PRF such as a block cipher, the CBC-MAC, or HMAC
  - $\diamond$  But, twice as much work as encryption alone
  - ♦ Slow; needs 2 block cipher calls per message block
  - ♦ Can do a little better about 1.5 "encryptions"
    - Such as AES-GCM (Galois/Counter Mode, based on EtM) and AES-CCM (Counter with CBC-MAC, based on E&M), modern AE schemes

Confidentiality and integrity with same work as one encryption is

a research topic

Block Cipher Modes, Integrity, and Authenticated Encryption

#### Uses for Symmetric Crypto

#### Confidentiality

- ♦ Transmitting data over insecure channel
- ♦ Secure storage on insecure media
- ✤ Integrity (MAC)
- ✤ Authentication protocols (later...)
- Anything you can do with a hash function (upcoming chapter...)



## Slides Original Source

- Jonathan Katz and Yehuda Lindell, "Introduction to Modern Cryptography," Third Edition, 2021
- M. Stamp, "Information Security: Principles and Practice," John Wiley
- B. Forouzan, "Cryptography and Network Security," McGraw-Hill
- C. Paar and J. Pelzl, "Understanding Cryptography A Textbook for Students and Practitioners," Springer (www.crypto-textbook.com)