

COMP3342: Health Systems Interoperability and Integration

Interoperability Data Exchange Standards

Time: **Mon/Wed** : 14:00-15:20

Location: **Masri304**

Section: **1**

HiCure

Excellence in Health Informatics Integrated Curricula

Prof Adel Taweel
Birzeit University

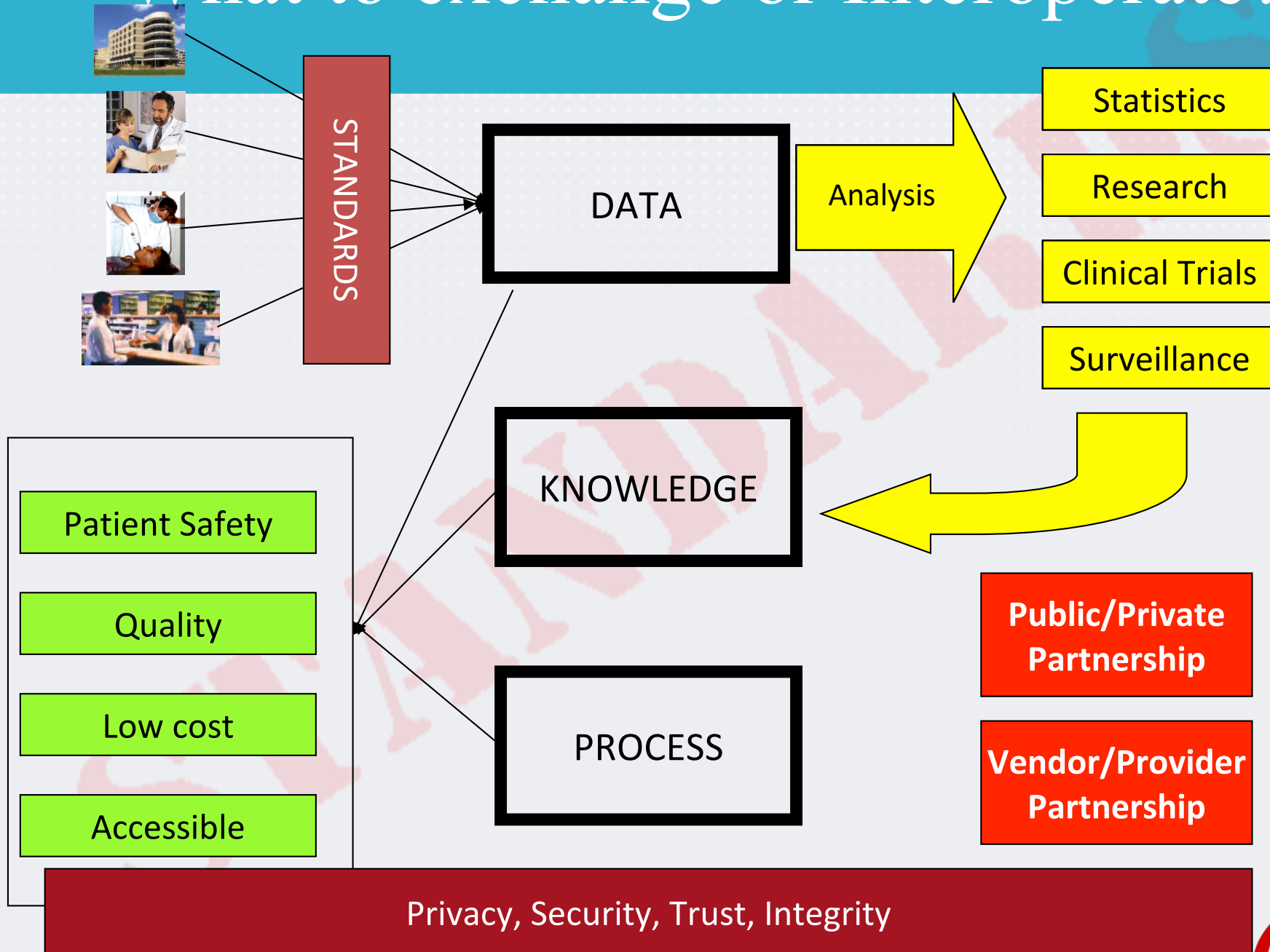


Medical Terminologies: Coding Standards

Learning Objectives:

1. Identify and understand the purpose data exchange related standards and their purpose:
 1. HL7: v2.x, v3.x
 2. CDA
 3. IHE
 4. DICOM
2. Understand the function and use of communication interoperability server - Mirth connect

What to exchange or Interoperate?



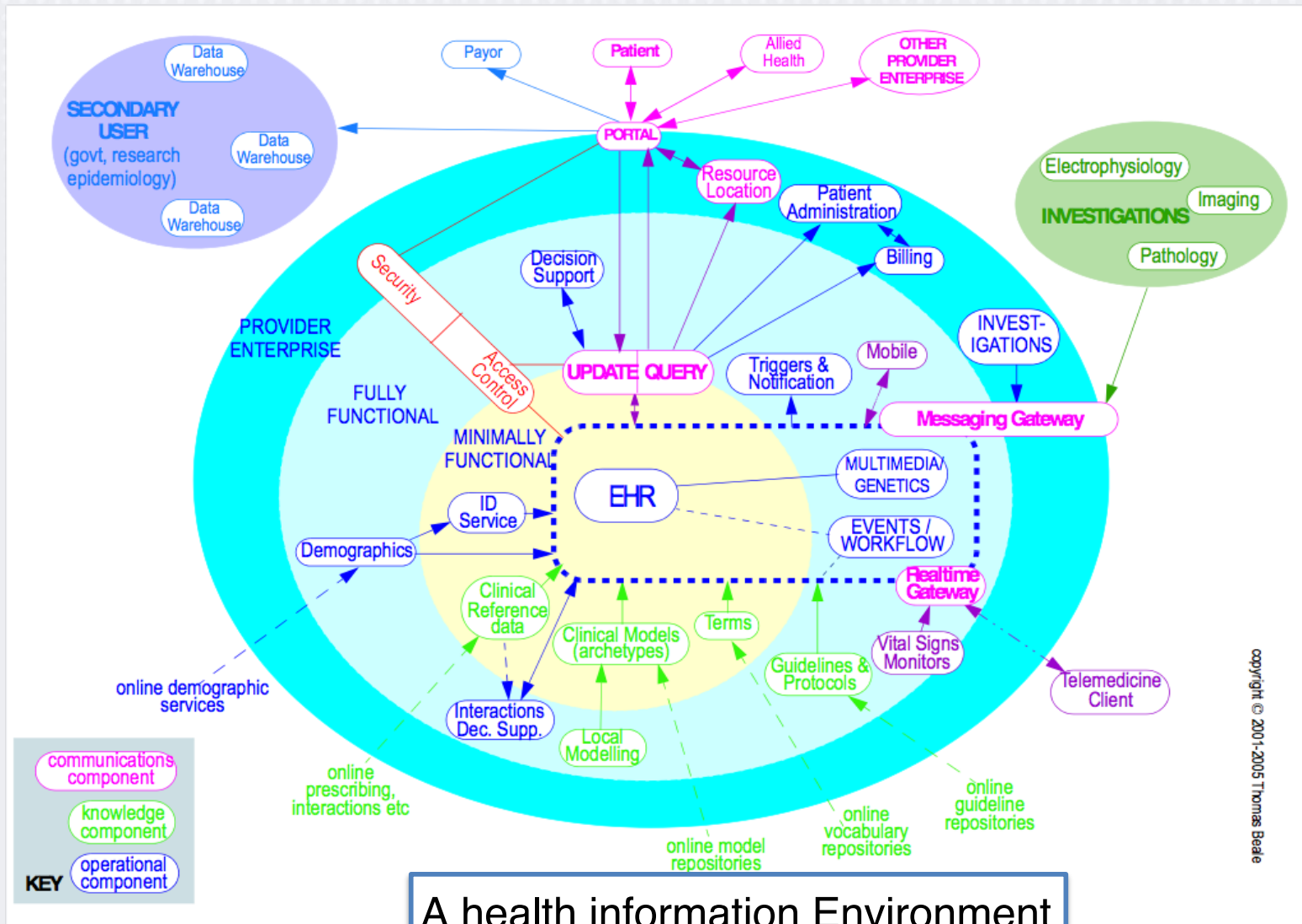
Interoperability Standards

Data exchange standards

A view of the healthcare world

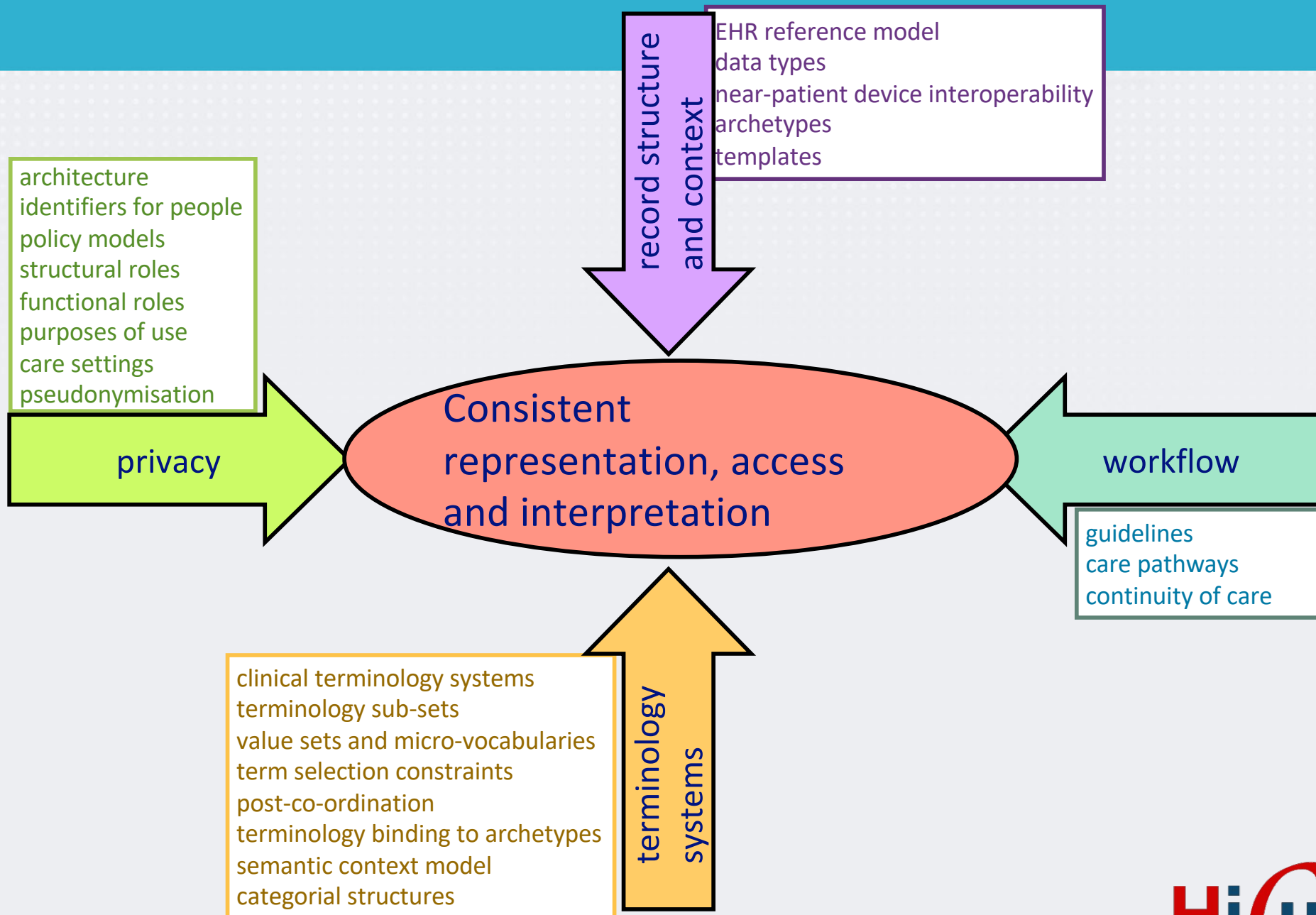
| | | | | | | | | | | | |
|------------------|------------------|---------|----------|---------------------|-----------|-----------|----------|-----------|------------------|-------------|-----------|
| Genomic Medicine | Preventive Care | | | Acute Care | | | | | Chronic Care | | |
| | Data | | | Data | | | | | Data | | |
| | Normal | Concern | Abnormal | Symptoms | Diagnoses | Treatment | Outcomes | Treatment | Control | Performance | |
| | | | | Outpatient | Specialty | Emergency | Hospital | | | | Intensive |
| | | | | Nursing Homes, etc. | | Home Care | | | | | |
| | Decision Support | | | Decision Support | | | | | Decision Support | | |

Health Information Environment



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Clinical Information and Processes



Standards relevant to Data Exchange

Business

ISO 18308 EHR Architecture Requirements
HL7 EHR Functional Model

Information
models

EHR interoperability Reference Model ISO/EN 13606-1
HL7 Clinical Message Interoperability V2.x
HL7 Clinical Message Interoperability V3.x
HL7 Clinical Document Architecture (CDA)
IHE Integration of Healthcare Enterprise Profiles
Cross-Enterprise Document Sharing -XDS.b
Patient Identifier Referencing - PIX
DICOM: For representing and transmitting Radiology Image

SERVICES

HL7 SDA Retrieve, Locate, and Update Service DSRU

Security

EHR Communication Security ISO/EN 13606-4
ISO 22600 Privilege Management and Access Control
ISO 14265 Classification of Purposes of Use of Personal Health Information

Clinical knowledge

Terminologies: SNOMED CT, etc.
Clinical data structures: Archetypes etc.

Exchange Standards

Define what and how to exchange data between EHRs

Exchange of Clinical Data between EHRs

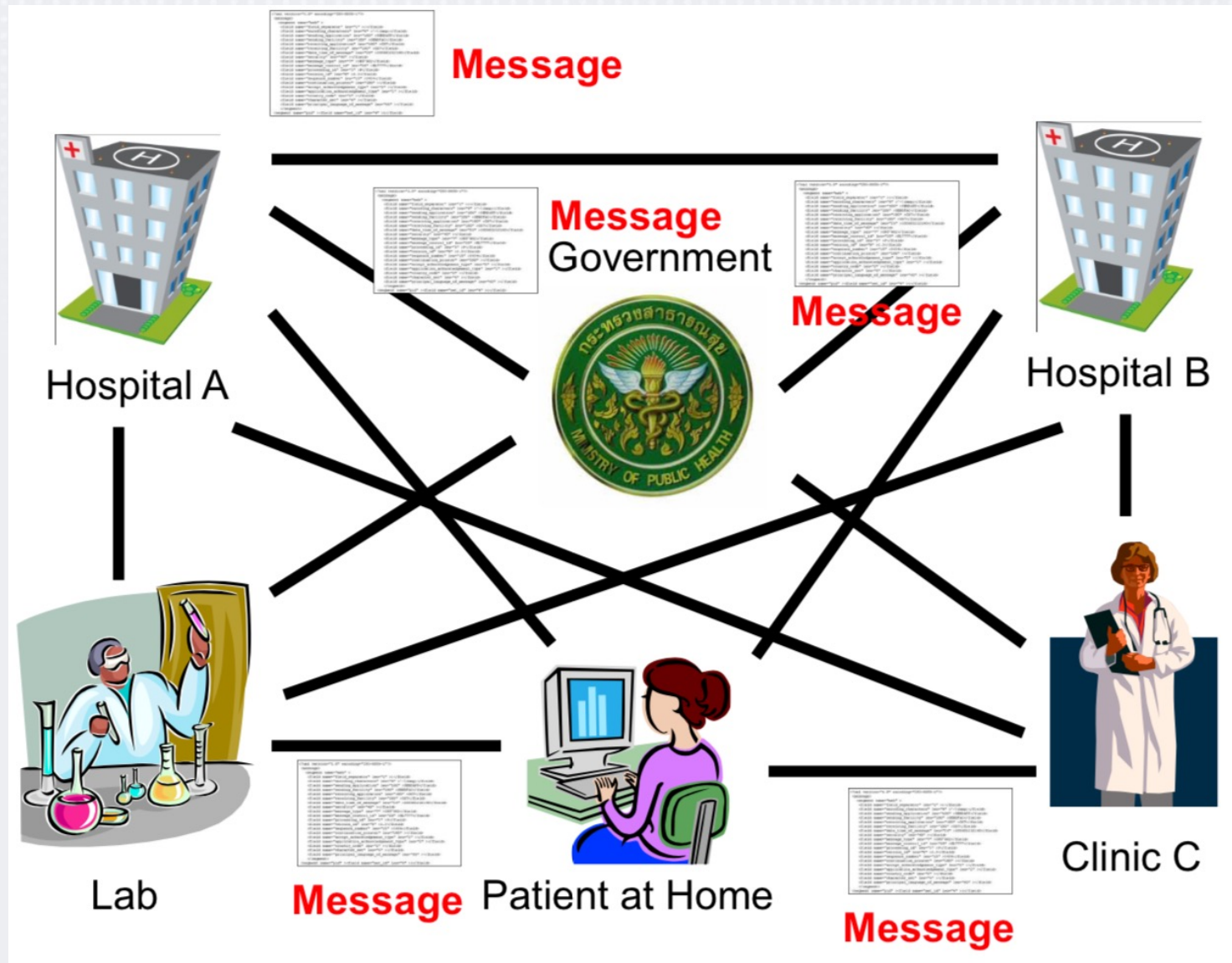
Message Exchange

- Goal: Specify format for exchange of data
- Internal vs. external messages
- Examples
 - HL7 v.2
 - HL7 v.3 Messaging
 - DICOM
 - NCPDP

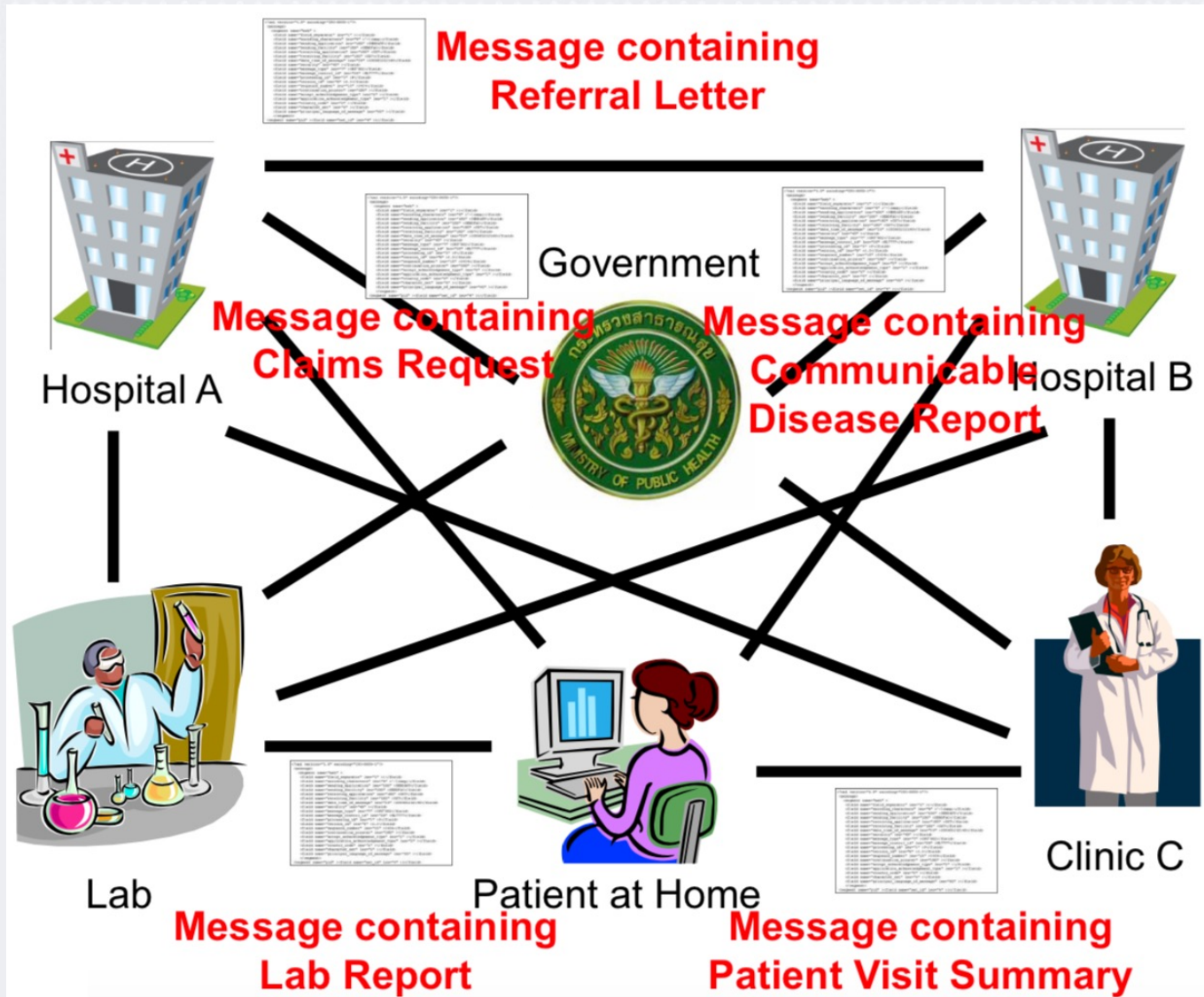
Document Exchange

- Goal: Specify format for exchange of “documents”
- Examples
 - HL7 v.3 Clinical Document Architecture (CDA)
 - ASTM Continuity of Care Record (CCR)
 - HL7 Continuity of Care Document (CCD)

Message Exchange



Clinical Document Exchange



Exchange Standards

Health Level 7 (HL7)

Introduction Video

<https://vimeo.com/8830861>

HL7: Health Level Seven

– HL7:

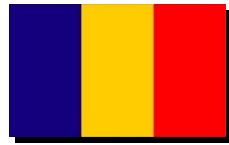
- is a framework and a set of standards for
 - **Exchanging, integration, sharing, and retrieval** of electronic health information across various healthcare applications
 - Across different departments in a hospital and Across chain of hospitals,
 - Across regional, national, and international healthcare orgs.
- Founded in 1987, is an all-volunteer, not-for-profit organization involved in development of international healthcare standards
- is one of several American National Standards Institute (ANSI) - accredited Standards Developing Organizations (SDOs)
- Focuses on both **clinical** and **administrative** data .
- is the global authority on standards for interoperability of health information technology with members in more than **55** countries.



More than 55 HL7 International Affiliates / Countries



Argentina



Romania



Puerto Rico



Philippines



Pakistan



Norway



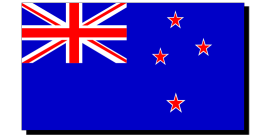
Australia



Russia

And growing

New Zealand



Austria



Singapore



Uruguay

Malaysia



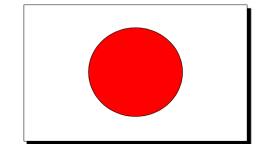
Bosnia and Herzegovina



South Korea

United States

Japan

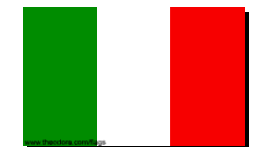


Brazil



Spain

Italy



Canada



Sweden

United Kingdom

India



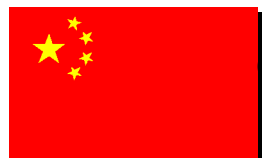
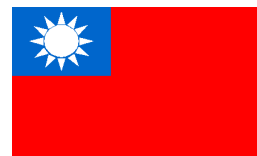
Switzerland

Taiwan

The Netherlands

Turkey

Hong Kong



China

Croatia

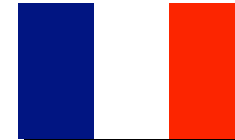
Czech Republic

Finland

France

Germany

Greece



Health Level Seven (HL7)

- HL7 refers to
 - the **seventh level** of the International Organization for Standardization (ISO) seven-layer communications model for Open Systems Interconnection (OSI) - the **application level**.
- HL7 provides standards for interoperability with aims to
 - improve care delivery, optimize workflow, reduce ambiguity and enhance (medical) knowledge transfer between all parties: patients, government, healthcare providers and vendors.
- HL7 supports various functions in healthcare settings:
 - Patient Administration
 - Clinical Laboratory and Observation Reporting
 - Medical Record Management

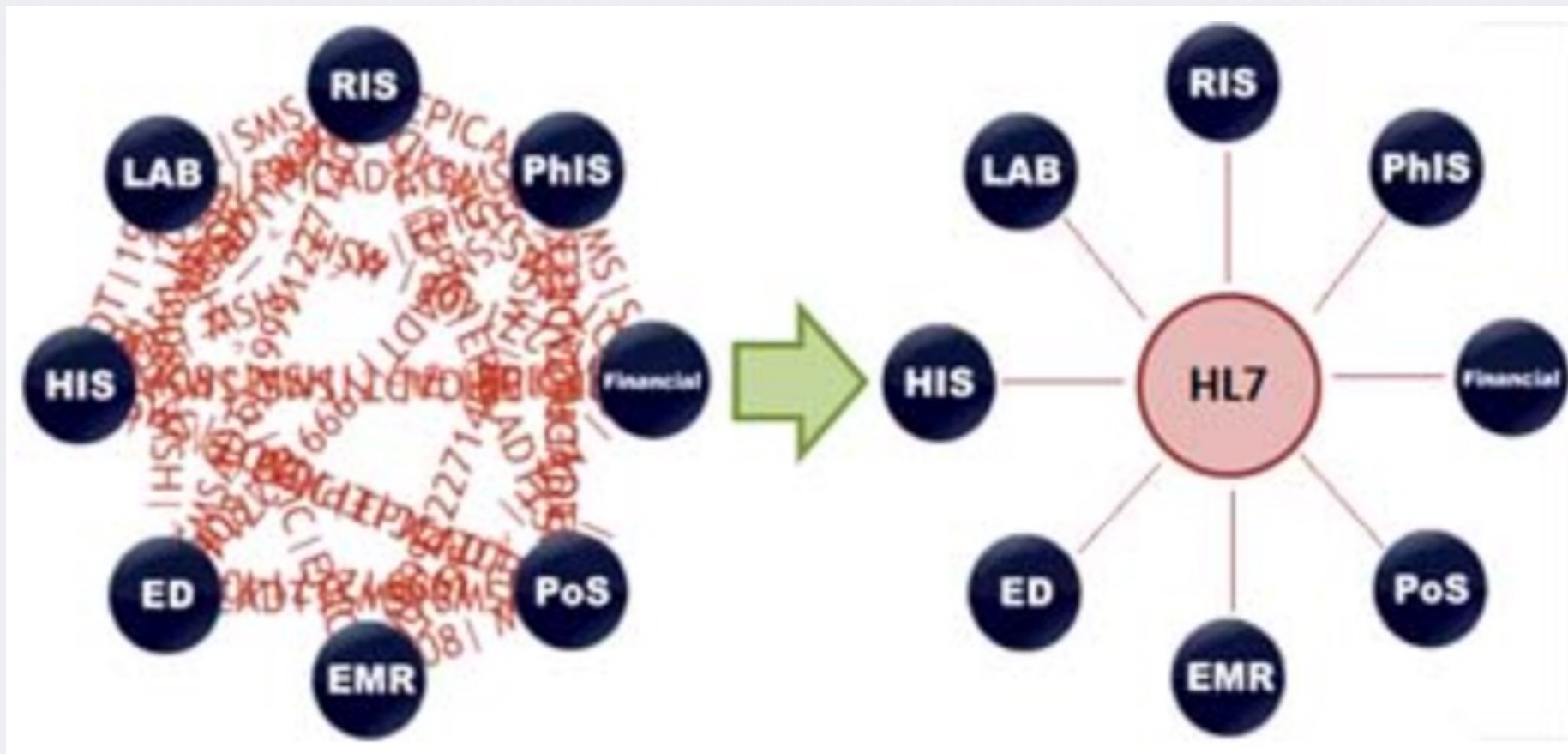


HL7: Types of Standards

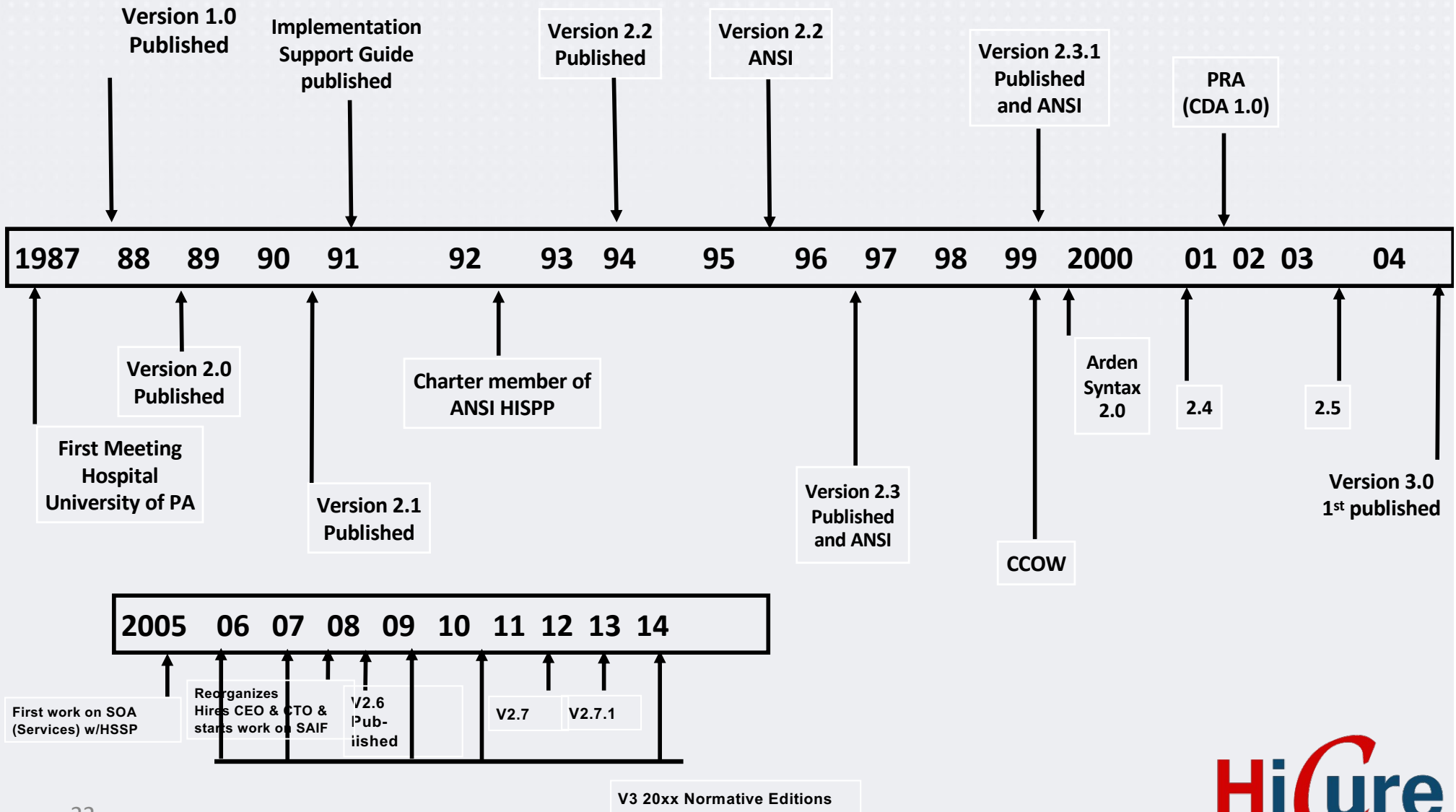
- Clinical Messaging exchange Standards (e.g., [HL7 v2.x](#) and [v3.0](#)).
 - Very important-they define how healthcare information is packaged and communicated from one party to another.
- Clinical Conceptual Standards (e.g. [HL7 V3 RIM](#)): they define **structure** of the clinical **content** (of messages and documents)
- Clinical Document (Architecture) Standards (e.g., [HL7 CDA](#)) : structure of the clinical **document**
- Clinical Application Standards (e.g., [HL7 CCOW](#), [HL7 FHIR](#)).

HL7: Purpose

- HL7 enables exchanges of medical data between both health systems within the same health organisation and across different health organisations



History of HL7



HL7: Message Exchange Standards

- HL7 v2.x
 - Supports a hospital **workflow**
 - Supports electronic exchange of healthcare data across various healthcare applications
 - Uses **textual**, a **non-XML**, encoding syntax based on segments
- HL7 v3
 - Extension to v2.x, supports ALL healthcare **workflow**
 - Provides more information about **messages** being exchanged
 - Specifies the **roles** of message **sender** and **receiver**
 - Specifies **actions** that have to be taken in **response to message**
 - Allows message exchange **during patient care delivery**
 - HL7 v3 is an **XML** based messages
 - XML (**eXtensible Markup Language**), is an information/data formatting/structure language used to exchange data over the Web, in a format both human-readable and machine-readable.

HL7 International Version 2.x

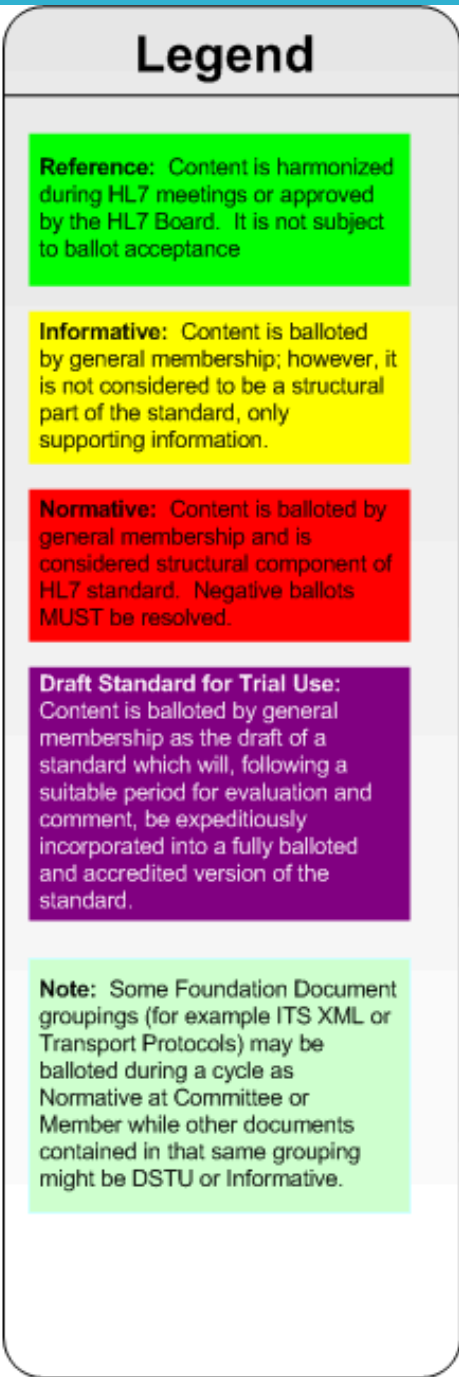
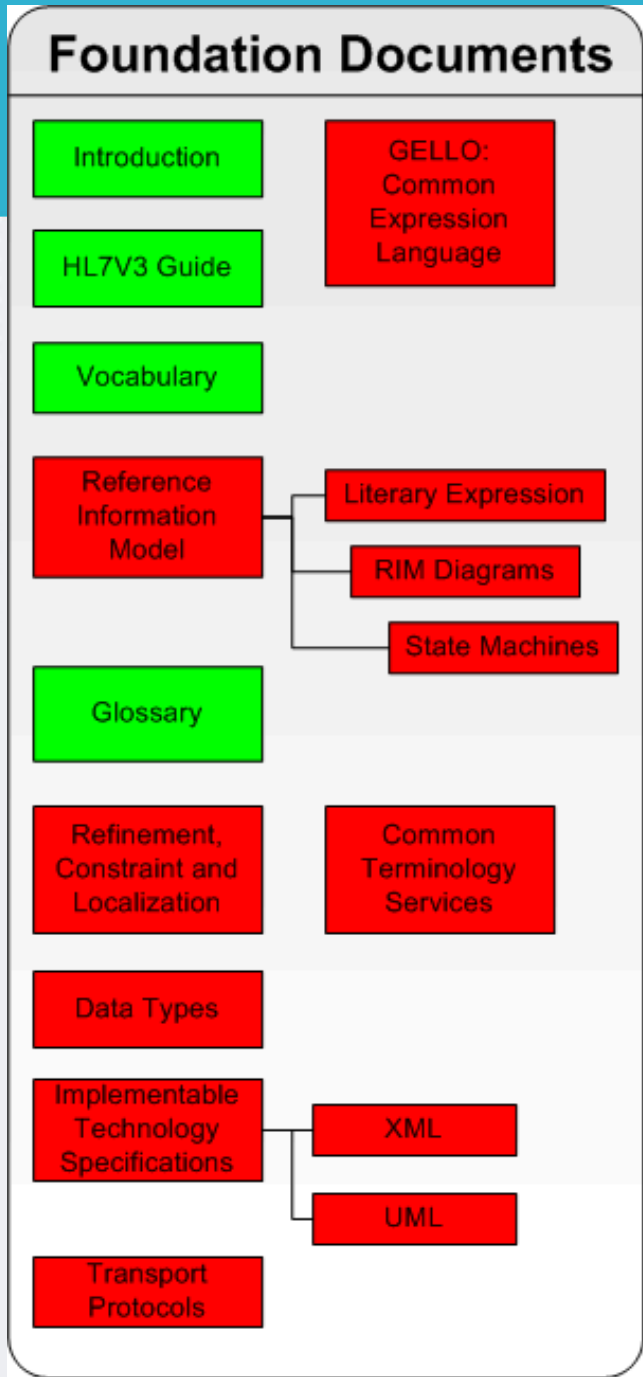
- First widely used version 2.1 published in **1991**
- Used in **>90%** provider organisations in the US and widely supported by vendors in Europe.
- Generally requires bi-lateral negotiations between communicating parties.
- Backwards-fitted (opposite strategy/approach for V3 HL7 International Reference Information Model (RIM))
- Not well **normalised** –i.e. **not semantically** correct.
- Not designed to define **processes**.
- Most implementations are a mix of versions ranging from 2.1 to 2.3 (current version is 2.8)

HL7 Version 3

HL7 International Version 3

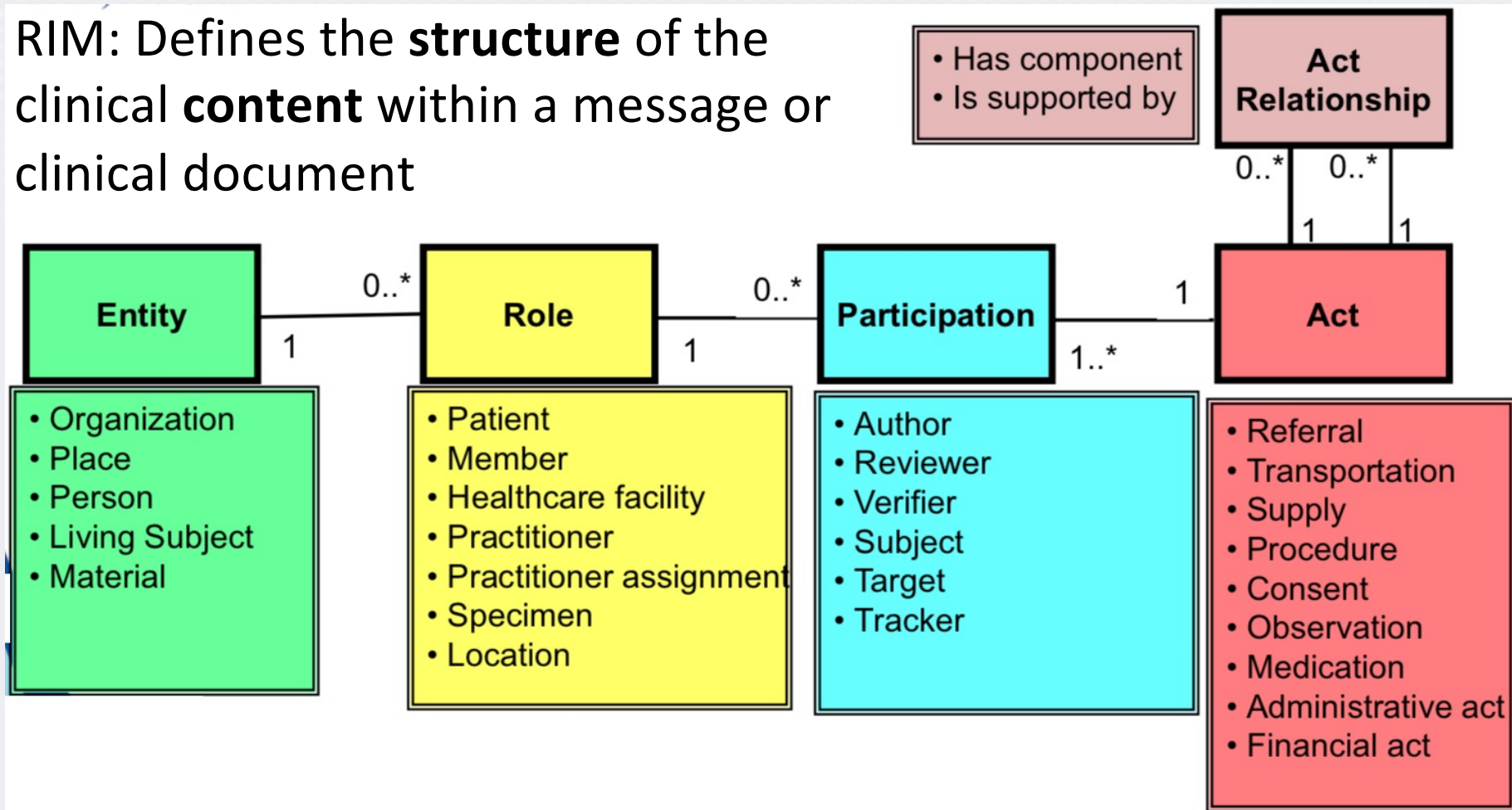
First approved for publication in September, 2004.

Usable version Published in 2010

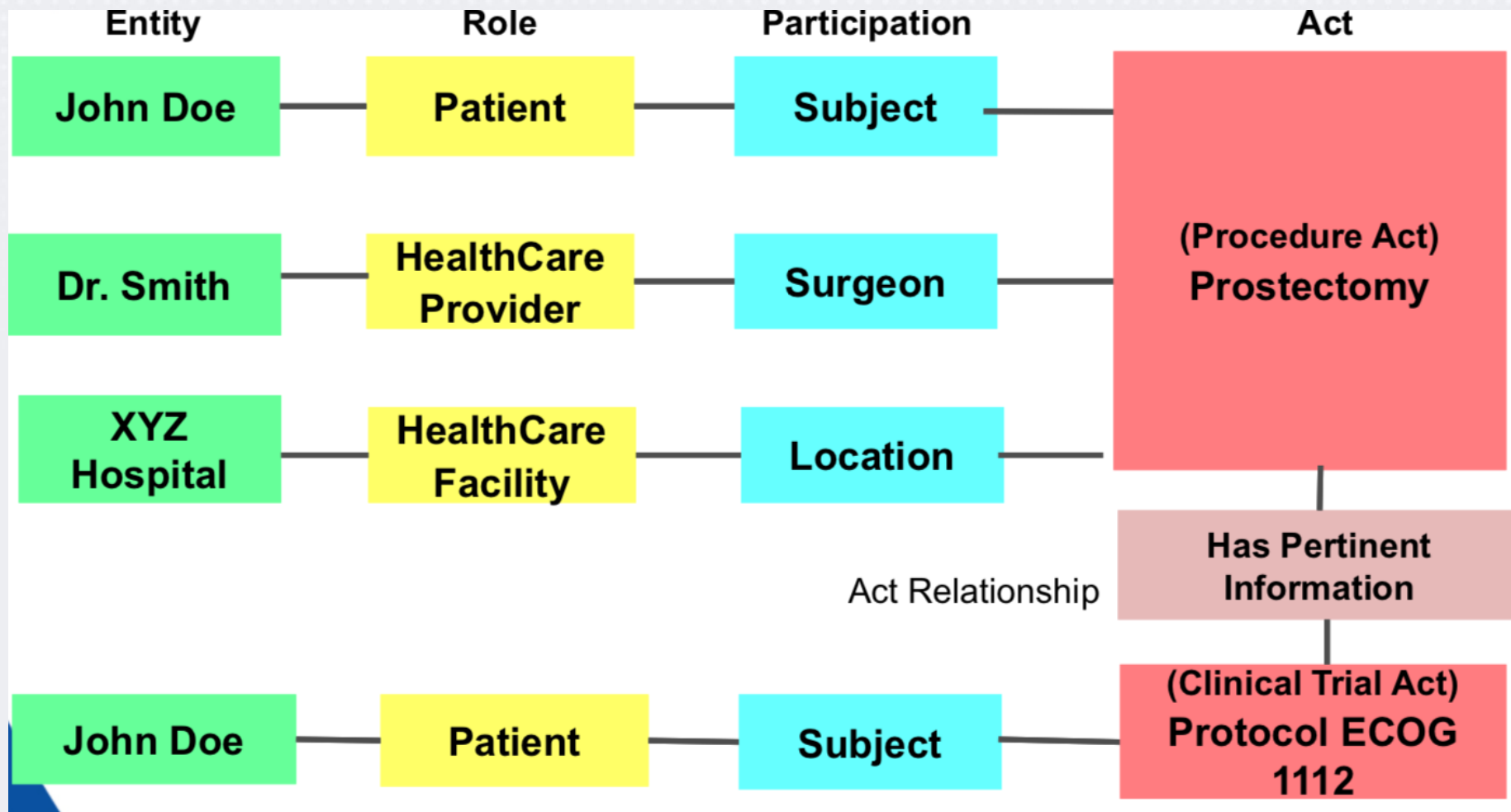


HL7 V3: Reference Information Model (RIM)

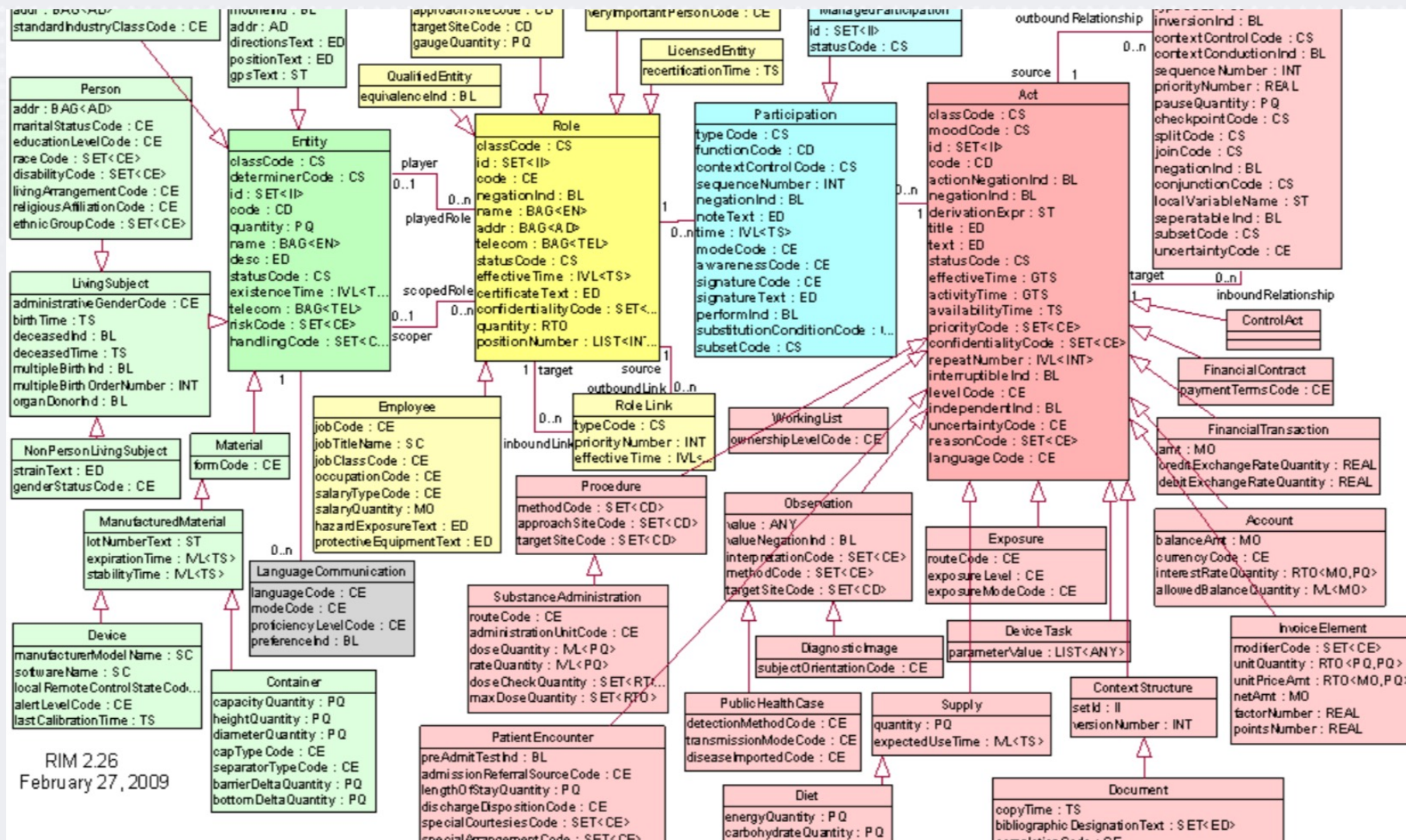
RIM: Defines the **structure** of the clinical **content** within a message or clinical document




HL7 V3: RIM UML Instance: Example



RIM: UML Model



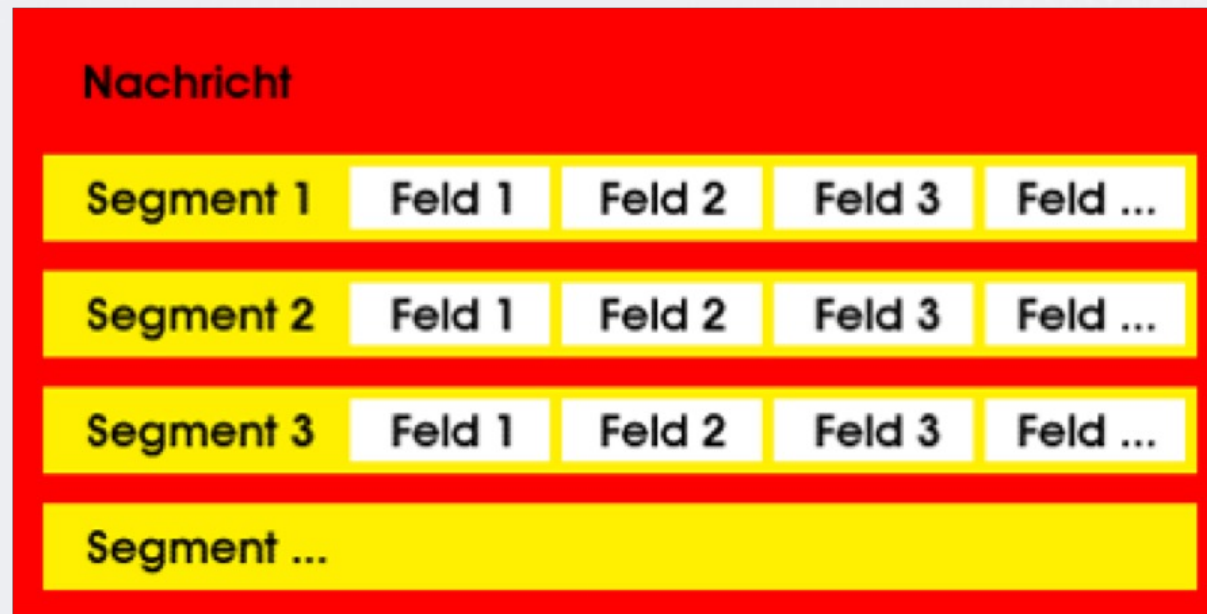
HL7 V3 message: Example



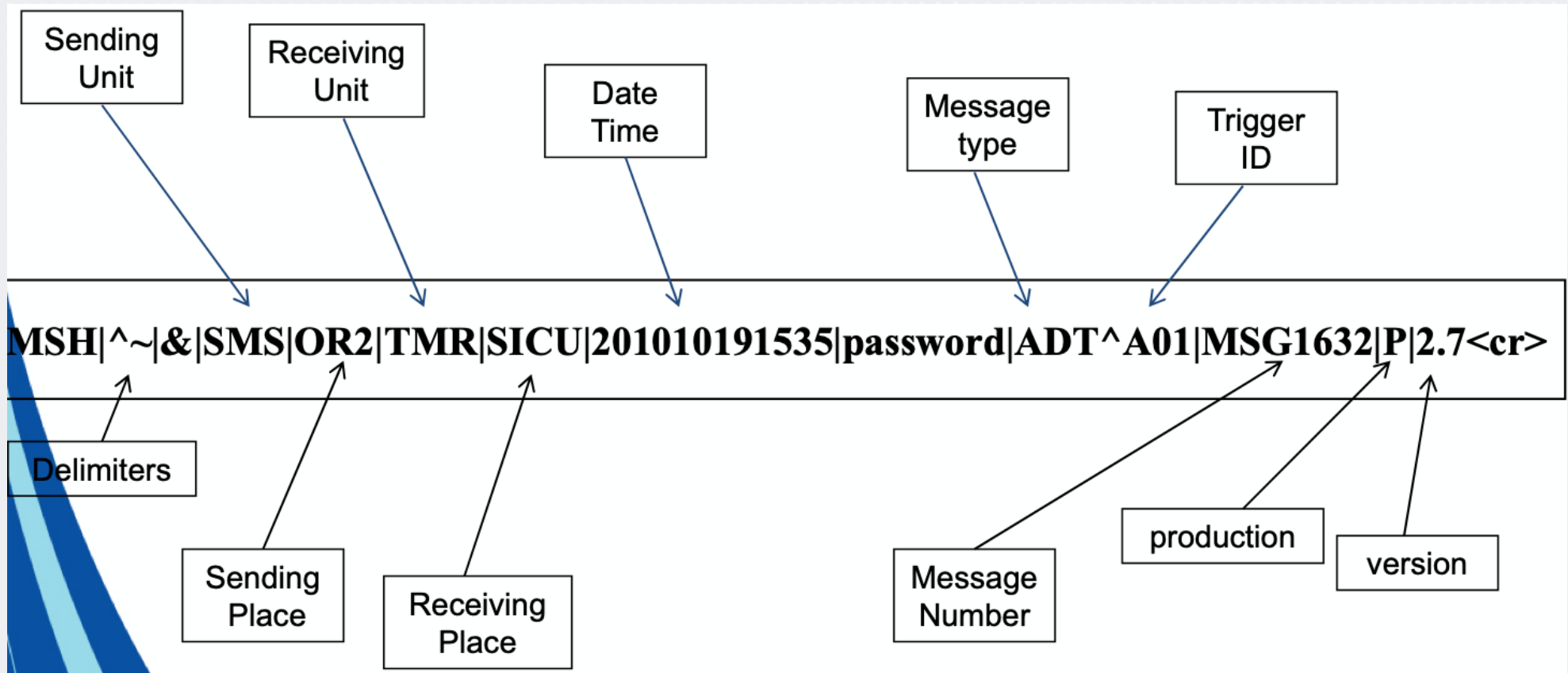
```
- <identifiedPerson classCode="PSN" determinerCode="INSTANCE">
  <!-- optional: Syllabic -->
  - <name use="SYL">
    <given>ชัยวิวัฒน์</given>
    <family>ทองทวีชัยกิจ</family>
  </name>
  <!-- optional: ABC -->
  - <name use="ABC">
    <given>Chaiwivat</given>
    <given />
    <family>Tongtaweechaikit</family>
  </name>
  <telecom use="H" value="020[REDACTED]" />
  <telecom use="MC" value="08688[REDACTED]" />
  <administrativeGenderCode code="M" />
  <birthTime value="198510060000" />
  - <addr use="PUB">
    <houseNumber>41</houseNumber>
    <deliveryAddressLine>นามบัญญัติ</deliveryAddressLine>
    <streetName>ประชาธิปไตย</streetName>
    <subdistrict>10011100</subdistrict>
    <district>10010000</district>
    <county>10000000</county>
    <country>TH</country>
    <postalCode>10200</postalCode>
  </addr>
  - <addr use="H">
    <houseNumber>41</houseNumber>
    <deliveryAddressLine>นามบัญญัติ</deliveryAddressLine>
    <streetName>ประชาธิปไตย</streetName>
    <subdistrict>บางขุนพรหม</subdistrict>
    <district>เขตพระนคร</district>
    <county>กรุงเทพมหานคร</county>
    <country>ไทย</country>
    <postalCode>10200</postalCode>
  </addr>
  <maritalStatusCode code="S" />
</identifiedPerson>
```

HL7 v2: Message Structure

- Each HL7 v2 message consists of segments
- Segements are separated in fields



HL7 v2 Message Segment Header



See video here: <https://vimeo.com/8830861>

HL7 v2: Message

```
MSH|^~\&|KIS|ADT|RIS|ADT|200512151705||ADT^A01^ADT_A01|ADT001|P|2.5^DEU&&HL70399|||AL|
NE|DEU|8859/15|DEU^German^HL70296^^deutsch||
2.16.840.1.113883.2.6.9.38^^2.16.840.1.113883.2.6^ISO
EVN||200512151705|||200512151645
PID||1234567^^^Beta-Klinik^PI||
Vogel^Marianne^^^^L^A^^G~Seeberg^^^^M^A^^G~Vogel^^^^Frau^^D^^^^G||19780521|F|||
Spechtweg 14&Spechtweg&14^^Hamburg^^20355^^H~Spitalstr.
17&Spitalstr.&17^^Hamburg^^20355^^BDL||^PRN^PH^^49^40^7654321^^^^040/7654321|
^WPN^PH^^49^40^5432^555^^^^040/5432-555|DEU^German^HL70296^^deutsch|
M^married^HL70002^^verheiratet|CAT^catholic^HL70006^^katholisch|||Heilig-Geist-
Krankenhaus||DEU^German^HL70171^^deutsch
PV1|1|I|CHI^302^2^IN^^N^A^4|R||432113^GroÃ^Bernhard^^^Dr.^^^Beta-
Klinik^L^^DN^^DN^^G|||0815^^^Beta-Klinik^VN|||
200512151645
PV2|||20040405|4
ZBE|1234^KIS|200512151705||INSERT
```

HL7 v2: Segment

Segment-ID

MSH|^~\&|KIS|Aufn|PDMS||200907110801||ADT^A01|20090711080104|P|2.3||||D

EVN|A01|20090711080104||

PID|1||1234567||Maier^Ingo||19780423

PV1|2||ACH-S-SAUE|||||||||||||20091234567|||||||||||||200907110817

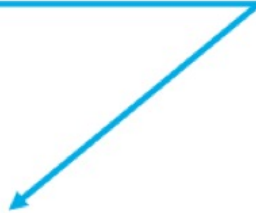
HL7 v2: Segments

| Segment-ID | Description |
|------------|------------------------|
| MSH | Message Header |
| EVN | Event |
| PID | Patient Identification |
| PV1 | Patient Visit |
| OBR | Observation Request |
| OBX | Observation Result |
| DG1 | Diagnosis |
| PR1 | Procedure |
| FT1 | Financial Transaction |

35

HL7 v2: Segment - Delimiter

Delimiter



```
MSH|^~\&|KIS|Aufn|PDMS||200907110801||ADT^A01|20090711080104|P|2.3||||D  
EVN|A01|20090711080104||  
PID|1||1234567||Maier^Ingo||19780423  
PV1|2||ACH-S-SAUE|||||||||||||20091234567|||||||||||||200907110817
```

HL7 v2: Delimiter

| Position | Description | Standard-Symbol |
|----------|------------------------|-----------------|
| 1 | Component Delimiter | ^ |
| 2 | Repeating Delimiter | ~ |
| 3 | Escape Symbol | \ |
| 4 | Subcomponent Delimiter | & |

HL7 v2: Message Type

Message-Type & Trigger Event



```
MSH|^~\&|KIS|Aufn|PDMS||200907110801||ADT^A01|20090711080104|P|2.3||||D  
EVN|A01|20090711080104|||  
PID|1||1234567||Maier^Ingo||19780423  
PV1|2||ACH-S-SAUE|||||||20091234567|||||||200907110817
```

HL7 v2: Message Type

| Segment-ID | Description |
|------------|--------------------------------|
| ACK | Acknowledgement |
| ADT | Admission-Discharge-Transfer |
| BAR | Billing Account Record |
| DFT | Detailed Financial Transaction |
| MDM | Medical Document Management |
| ORM | Order Message |
| ORR | Order Response |
| ORU | Observation Result Unsolicited |

HL7 v2: Trigger Event

| Segment-ID | Description |
|-------------------|-------------------------------|
| A01 | Patient admission |
| A02 | Patient transfer |
| A03 | Patient discharge |
| P01 | Chance patient |
| P03 | Sending financial transaction |
| R01 | Result |

HL7 v2: Message Type & Trigger Event

- Patient admission: ADT^A01
- Sending diagnosis data: BAR^P01
- Sending result: ORU^R01

The number and kind of segments depends on the message type.

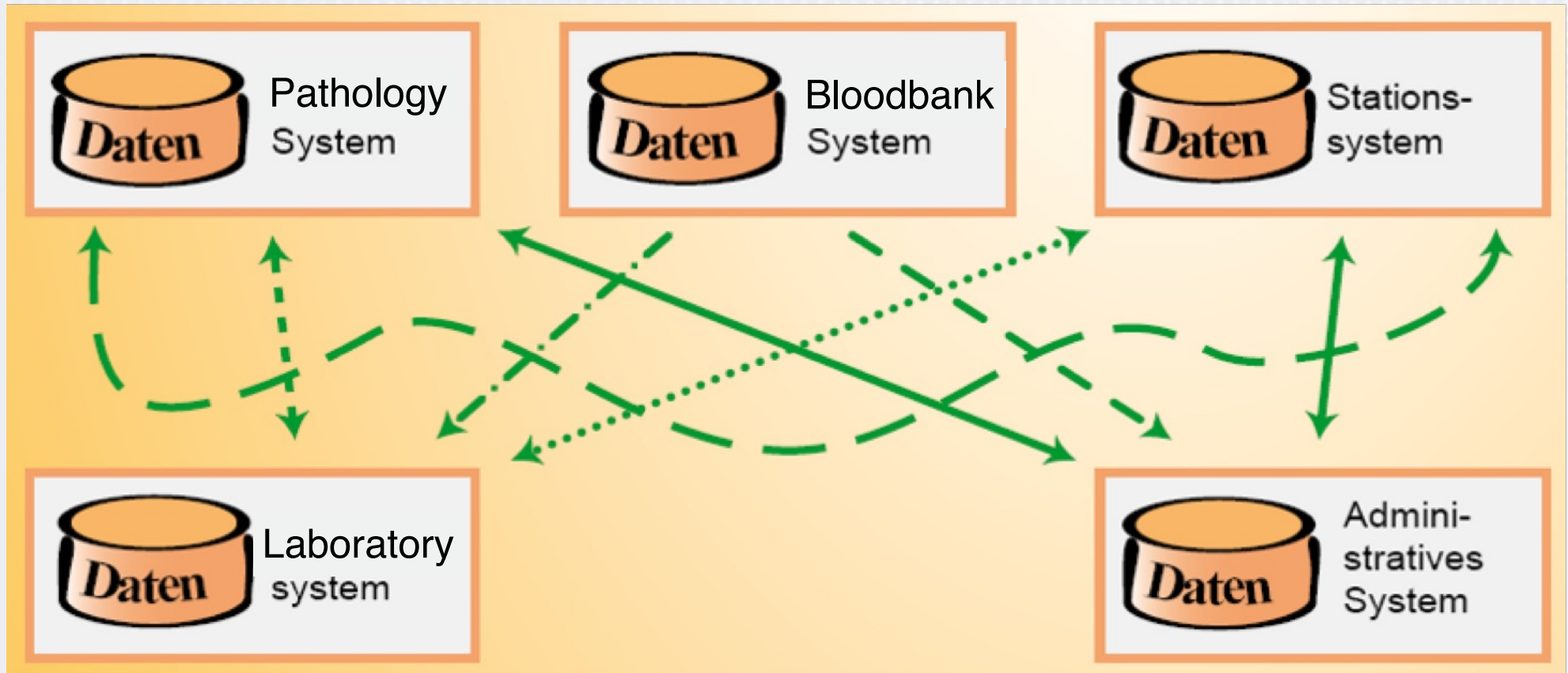
HL7 v2: Message Tools

| SEQ | LEN | DT | OPT | ELEMENT NAME |
|-----|-----|----|-----|-----------------------|
| 1 | 1 | ST | R | Field Separator |
| 2 | 4 | ST | R | Encoding Characters |
| 3 | 180 | HD | O | Sending Application |
| 4 | 180 | HD | O | Sending Facility |
| 5 | 180 | HD | O | Receiving Application |
| 6 | 180 | HD | O | Receiving Facility |
| 7 | 26 | TS | O | Date/Time Of Message |
| 8 | 40 | ST | O | Security |
| 9 | 7 | CM | R | Message Type |

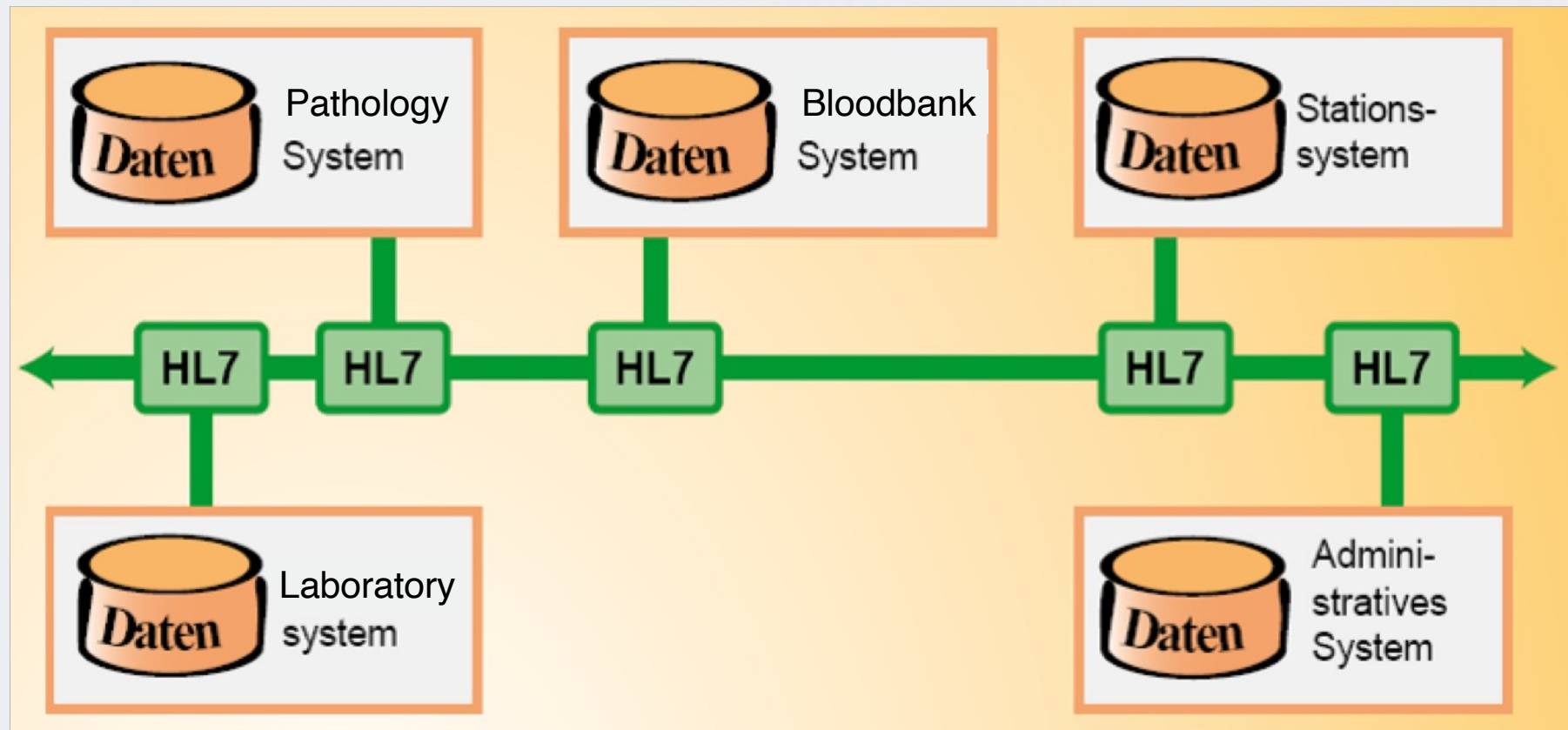
Communication interoperability Server

Mirth Connect (NextGen)

Communication without HL7



Communication with HL7

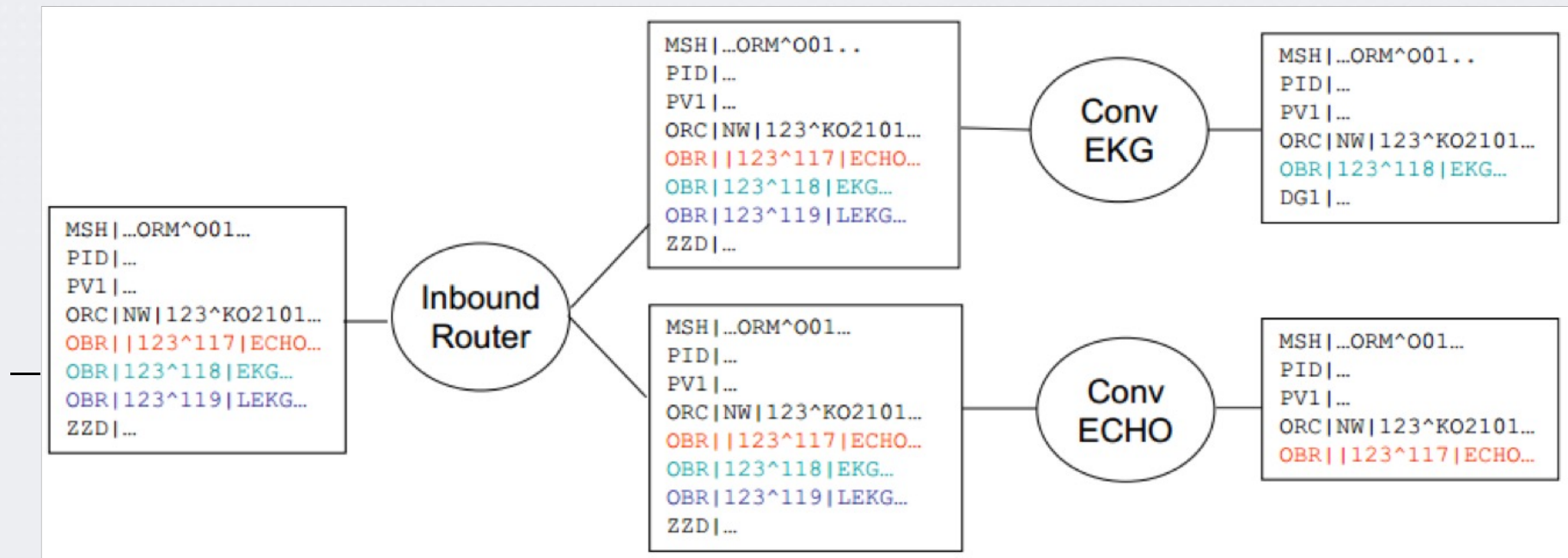


Mirth Connect Server

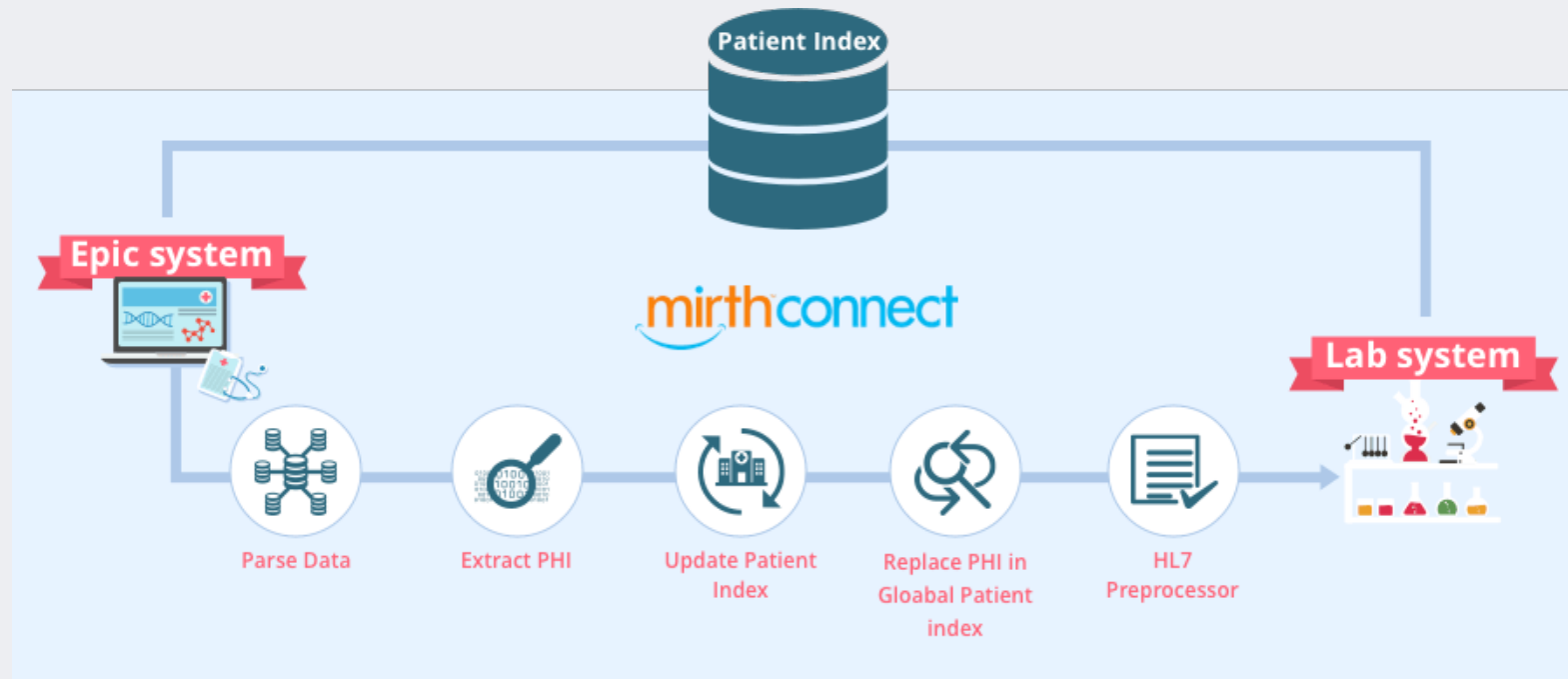
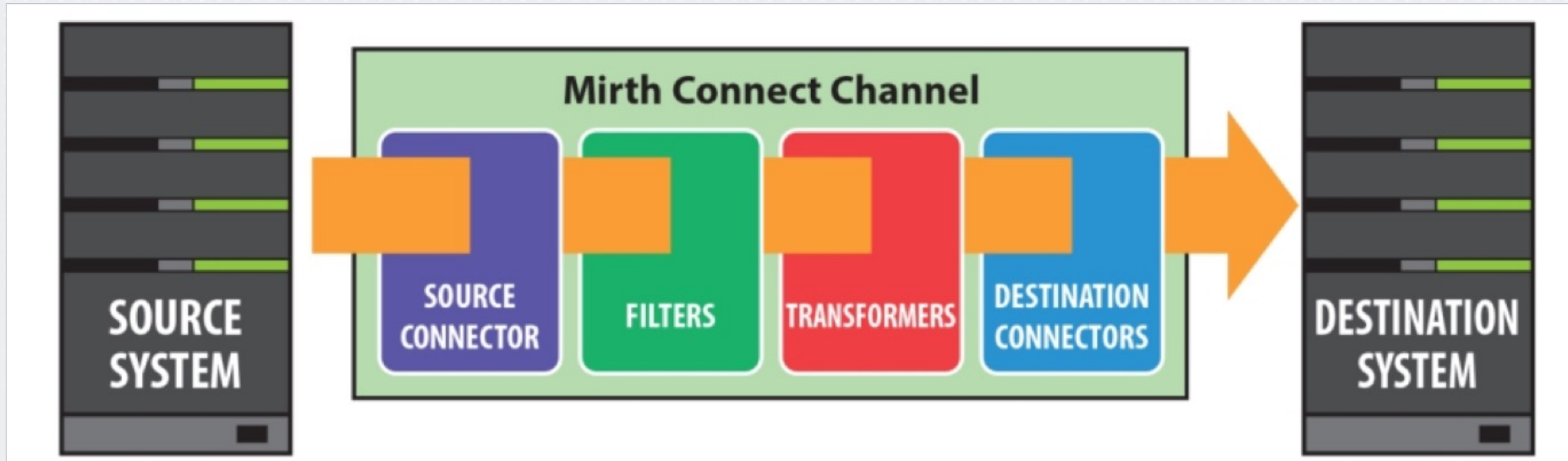


— V

Communication Server



Mirth Connect Server



Mirth Connect: Creating a Channel

Summary | Source | Destinations | Scripts

Channel Properties

| | | | | |
|----------------|-------------------------------------------------|------------------------------------------------------------------------|-------------------------------------------------------|--|
| Name: | <input type="text" value="jiveX"/> | <input checked="" type="checkbox"/> Enabled | Id: 66f7dd82-e4c5-4b5a-a114-71400f004a7f | |
| Data Types: | <input type="button" value="Set Data Types"/> | <input checked="" type="checkbox"/> Clear global channel map on deploy | Revision: 23 | |
| Dependencies: | <input type="button" value="Set Dependencies"/> | Last Modified: 2015-11-26 23:39:22 | | |
| Initial State: | <input type="text" value="Started"/> | | | |
| Attachment: | <input type="text" value="DICOM"/> | <input type="button" value="Properties"/> | <input checked="" type="checkbox"/> Store Attachments | |

Mirth Connect: Specify Source

Summary Source Destinations Scripts

Connector Type: File Reader

- Polling Settings

Schedule Type: Interval Next poll at: Sunday, May 22, 11:15:50 AM

Poll Once on Start: Yes No

Interval: 10 seconds

- Source Settings

Source Queue: ON (Respond before processing)

Queue Buffer Size: 1000

Response: Auto-generate (Before processing)

Process Batch: Yes No

Batch Response: First Last

Max Processing Threads: 1

Edit Channel - File2File

Summary Source Destinations Scripts

Connector Type: File Reader

- Polling Settings

Schedule Type: at:

Poll Once on Start: File Reader

Interval: nds

- Source Settings

Source Queue: fore

Queue Buffer Size: 1000

Response: Auto-generate (Befo

Mirth Connect: Specify Source

Summary Source Destinations Scripts

Connector Type:

Listener Settings

Local Address: All interfaces Specific interface:

Local Port:

Source Settings

Source Queue:

Response:

Process Batch: Yes No

Batch Response: First Last

DICOM Listener Settings

Application Entity:

Max Async operations:

Pack PDV: Yes No

DIMSE-RSP interval period (s): P-DATA-TF PDUs max length sent (KB):

A-RELEASE-RP timeout (s): P-DATA-TF PDUs max length received (KB):

Socket Close Delay After A-ABORT (ms): Send Socket Buffer Size (KB):

ASSOCIATE-RQ timeout (ms): Receive Socket Buffer Size (KB):

DIMSE-RQ timeout (ms): Transcoder Buffer Size (KB):

DIMSE-RSP delay (ms):

Mirth Connect: Specify Destination(s)

Summary | Source | Destinations | Scripts

| Status | Destination | Id | Connector Type | Chain |
|---------|---------------|----|----------------|-------|
| Enabled | Destination 1 | 1 | File Writer | 1 |
| Enabled | Destination 2 | 2 | File Writer | 1 |

Connector Type: **File Writer** Wait for previous destination

Advanced Options: <None>

Directory:

smb:// /

File Name:

Anonymous: Yes No

Username:

Password:

Timeout (ms):

Secure Mode: Yes No

Passive Mode: Yes No

Validate Connection: Yes No

File Exists: Append Overwrite Error

Create Temp File: Yes No

File Type: Binary Text

Encoding:

Template:

Destination Mappings

- Channel ID
- Channel Name
- Message ID
- Raw Data
- Transformed Data
- Encoded Data
- Message Source
- Message Type
- Message Version
- Date
- Formatted Date
- Timestamp
- Unique ID
- Original File Name
- Count
- XML Entity Encoder
- XML Pretty Printer
- JSON Pretty Printer
- CDATA Tag
- DICOM Message Raw Data
- dicom

Mirth Connect: Specify Destination(s)

Summary | Source | Destinations | Scripts

| Status | Destination | Id | Connector Type | Chain |
|------------------------------------------|---------------|----|----------------|-------|
| <input checked="" type="radio"/> Enabled | Destination 1 | 1 | File Writer | 1 |
| <input checked="" type="radio"/> Enabled | Destination 2 | 2 | File Writer | 1 |

Connector Type: **File Writer** Wait for previous destination

Advanced Options: <None>

Directory:

smb:// /

File Name:

Anonymous: Yes No

Username:

Password:

Timeout (ms):

Secure Mode: Yes No

Passive Mode: Yes No

Validate Connection: Yes No

File Exists: Append Overwrite Error

Create Temp File: Yes No

File Type: Binary Text

Encoding:

Template:

Destination Mappings

- Channel ID
- Channel Name
- Message ID
- Raw Data
- Transformed Data
- Encoded Data
- Message Source
- Message Type
- Message Version
- Date
- Formatted Date
- Timestamp
- Unique ID
- Original File Name
- Count
- XML Entity Encoder
- XML Pretty Printer
- JSON Pretty Printer
- CDATA Tag
- DICOM Message Raw Data
- Destination 1
- dicom
- PatID

Exchange Standards: Document exchange

HL7v3-Clinical Document Architecture (CDA)

HL7: Exchange Standards

HL7 Versions

- HL7 Version 2.x messaging
- HL7 Version 3 messaging
- HL7 Clinical Document Architecture (CDA)
- HL7 Fast Healthcare Interoperability Resources (FHIR)

Exchange is a Need in Healthcare



Vast amounts of patient data collected through direct clinical interactions

Medical information such as vitals, orders, prescriptions, discharge summaries, etc. dictated or recorded by hand



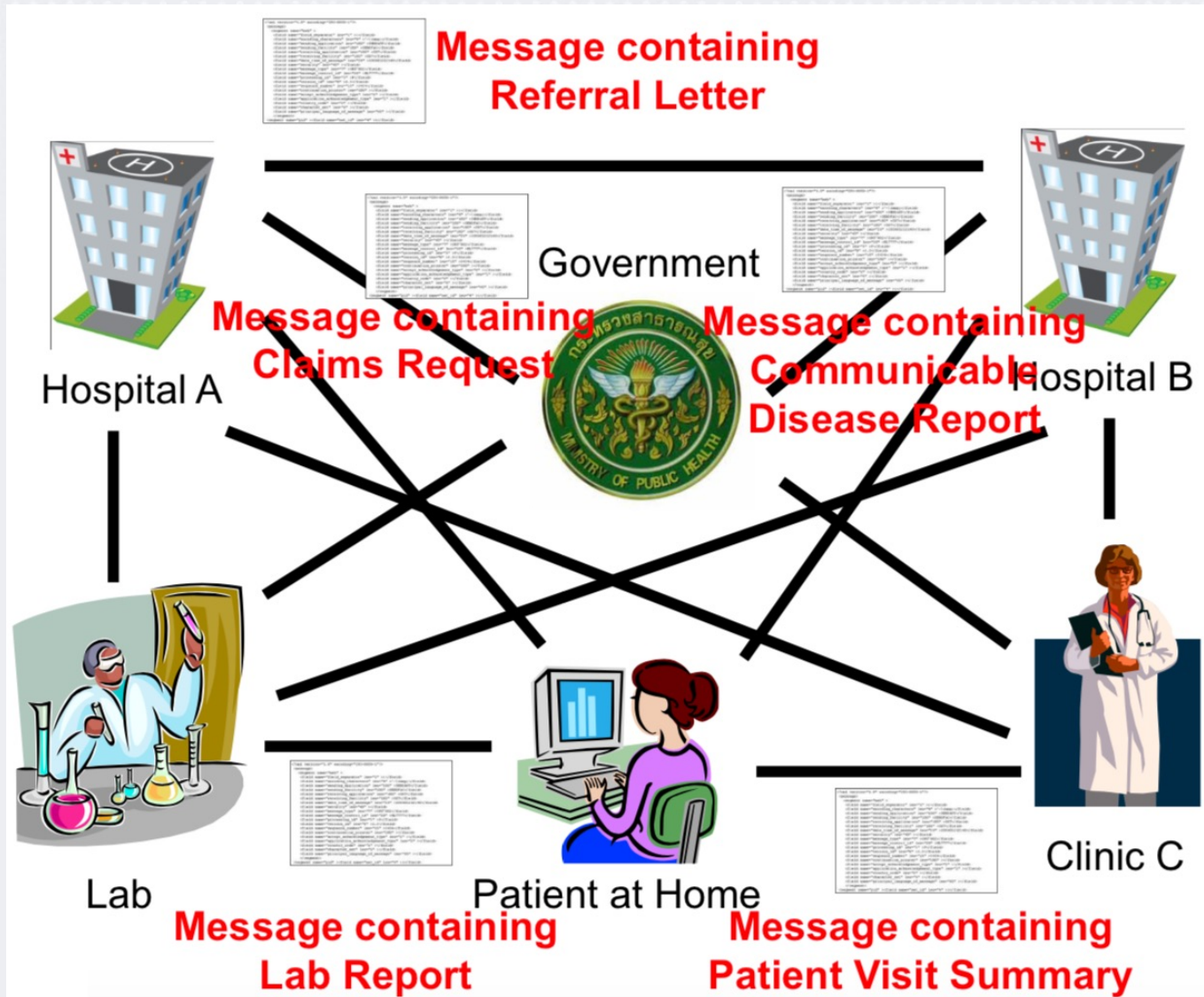
All of this clinical data was stored as paper records (documents) at each point of care

If patient health records needed to be shared between providers, they usually required manual exchange (e.g. fax, "snail mail")

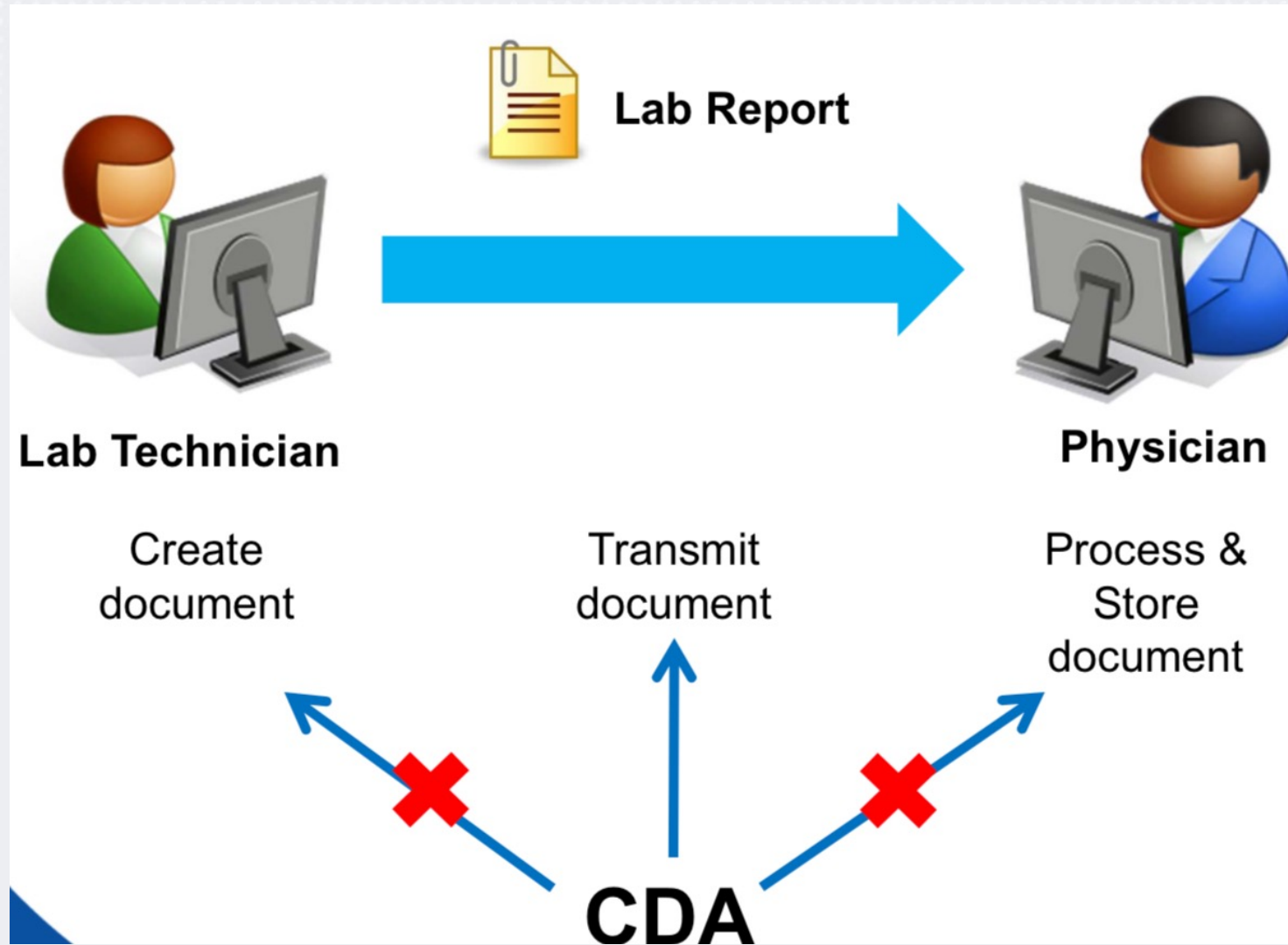


- Coordination of care between providers slow, costly; patient outcomes inconsistent
- Duplicative healthcare services (e.g. labs imaging) frequent

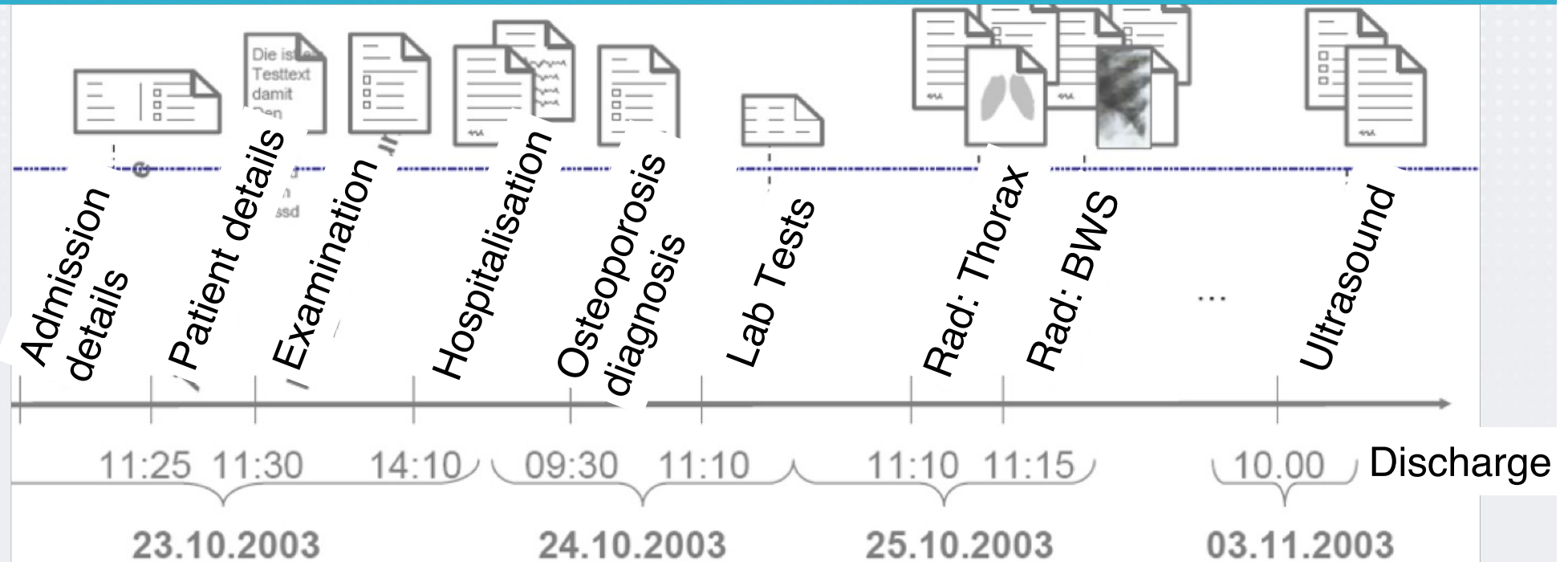
Clinical Document Exchange



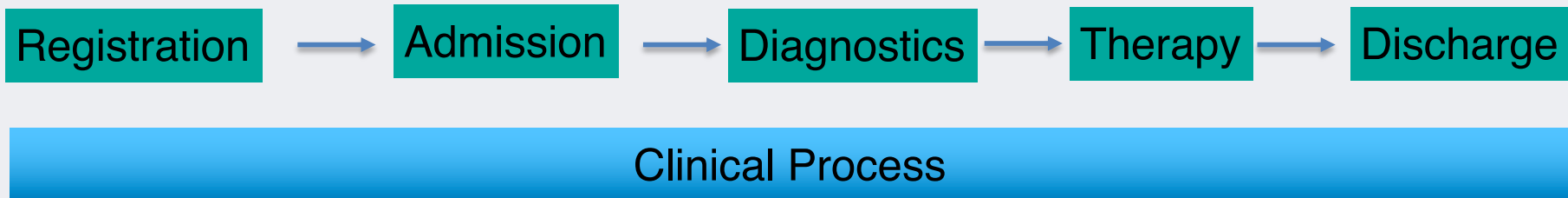
Clinical Documents



Clinical Process vs Clinical Documents



Documents in the clinical process from admission to discharge



HL7: Document Exchange Standards

- HL7 CDA (Clinical Document Architecture)
 - CDA has Release 1 and 2.
 - Provides an **exchange model** for clinical documents e.g. discharge summaries and progress clinical notes
 - Aims at bringing a real-world view to patient medical records in which:
 - Healthcare providers can understand
 - Healthcare applications can **atomically process**
 - HL7 CDA is a **subset** of HL7 v2.x or HL7 v3 message

Clinical Documents: Different formats

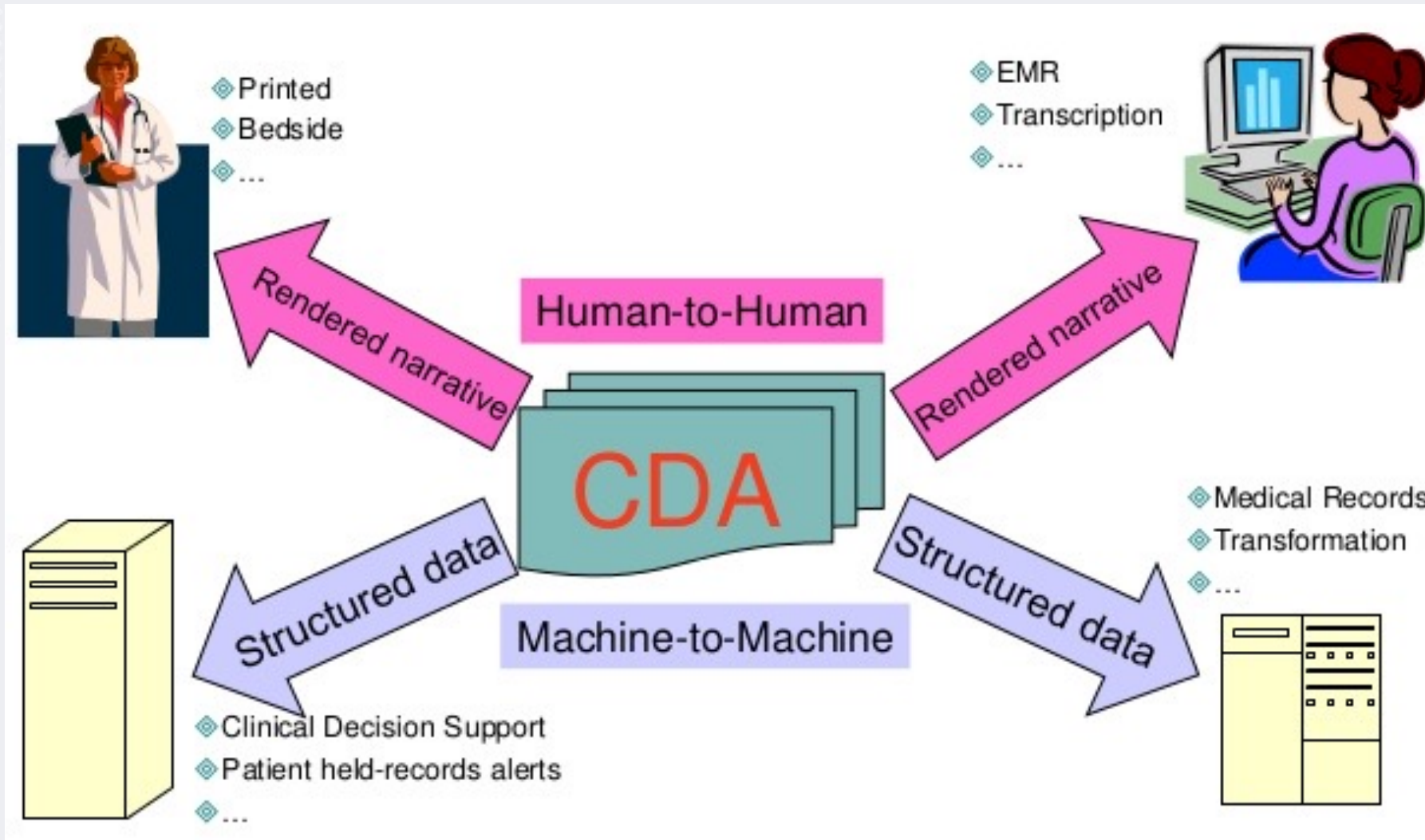
- This is a CD
- and this
- and this
- and this
- and this
- and this



HL7: Document Exchange Standards

- HL7 CDA (Clinical Document Architecture)
 - “ A **document markup standard** that specifies structure & semantics of **clinical documents** for the purpose of exchange”
 - Focuses on **document exchange**,
 - A document is packaged in a message during exchange
 - A patient medical record as an XML-based documents
- ⇒ CDA is not designed for document storage, just exchange?!

HL7: Document Exchange Standards



A Clinical Document

- A CDA document is a complete information record that can include:
 - Text
 - Images
 - Sounds
 - Other information or media
- Clinical content of the document is defined by the HL7 V3 RIM – CDA only standardizes/defines the structure required to exchange documents.

CDA

- CDA = Clinical Document Architecture
 - CDA is a HL7-standard
 - XML-standard to exchange structured documents
-
- A key distinction between **HL7 messages** and **HL7 CDA** documents
 - **messages** are packets of data sent from one system to another, get incorporated into the receiving system.
 - **documents** are basically electronic versions of physical clinical documents

CDA

- An electronic equivalent of a paper document
- Has an author/attester
- Represents a point in time view of data
- Persists as an artifact over time
- Supports simple to very complex document types

ProVation MultiCaregiver

ProVation Medical Center
GI Nurse Note
Procedure(s): Colonoscopy

Patient Name: **Martin, Rebecca**
Patient ID: **56564567889**
Exam Date: **7/17/2013**
Account#:

Level of Consciousness: **Alert and Oriented x 4**
Respiratory assessment: **Breath sounds clear / equal**
Skin assessment: **Warm, Dry, Pink**
Abdominal exam: **Soft**
IV started: **YES**
Attempts: **1**
IV site: **Right hand**
Size: **18 gauge**
IV solution: **Saline Lock, Normal Saline (NS)**
IV rate: **TKO**
Inserted by: **MS**
Time started: **07/18/2013 10:17**

DISCHARGE

User: msmith

Patient transferred by and report received from: **ii**
Siderails up on bed upon receipt of patient? **YES**
Transportation after procedure: **YES**
Driver location: **Waiting Room**
Driver's name/Relationship/Phone: **John/husband/ 891-2712**
May we share the results of the procedure with your driver? **YES**
May we contact you tomorrow for a follow-up call? **YES**

Level of Consciousness: **Alert and Oriented x 4**
Skin assessment: **Warm, Dry, Pink**
Abdominal exam: **Soft**
Does the patient currently have pain? **NO**
Bowel sounds: **Present**
Passing flatus? **YES**

DISCHARGE CRITERIA

Oxygen saturation on room air >=94% or equal to pre-sedation state? **YES**
Able to ambulate independently (or at baseline)? **YES**
Able to take PO fluids? **YES**
IV discontinued: **YES**
IV site assessment: **Drv, intact**
IV removed by: **MS**
Time removed:
Amount IV fluids infused:
Comments:
Patient's valuables returned/reviewed? **YES**
Patient valuables returned to: **Patient**

Patient belongings removed/reviewed in Pre-Procedure

Patient Belongings Removed/Reviewed: **YES**
Patient items removed: **Contact lenses, Hearing Aid**
Patient belongings stored: **Stored with patient**
Patient meets discharge criteria as set by physician and approved by facility? **YES**
Discharge instructions given to: **Patient, Spouse**
Discharged to: **Home**
Discharged via: **Ambulatory**
Discharged under the care of: **Spouse**

CARE PLANS

User: jones

PRE-PROCEDURE

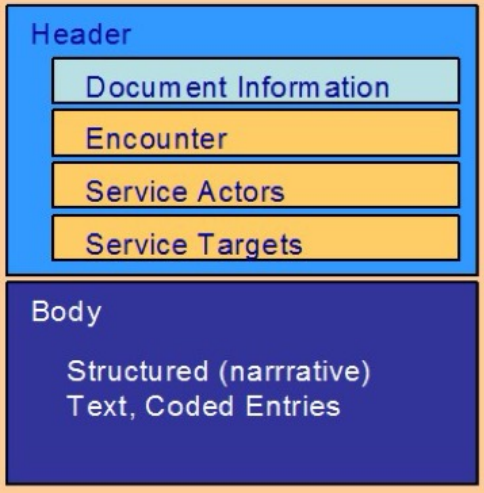
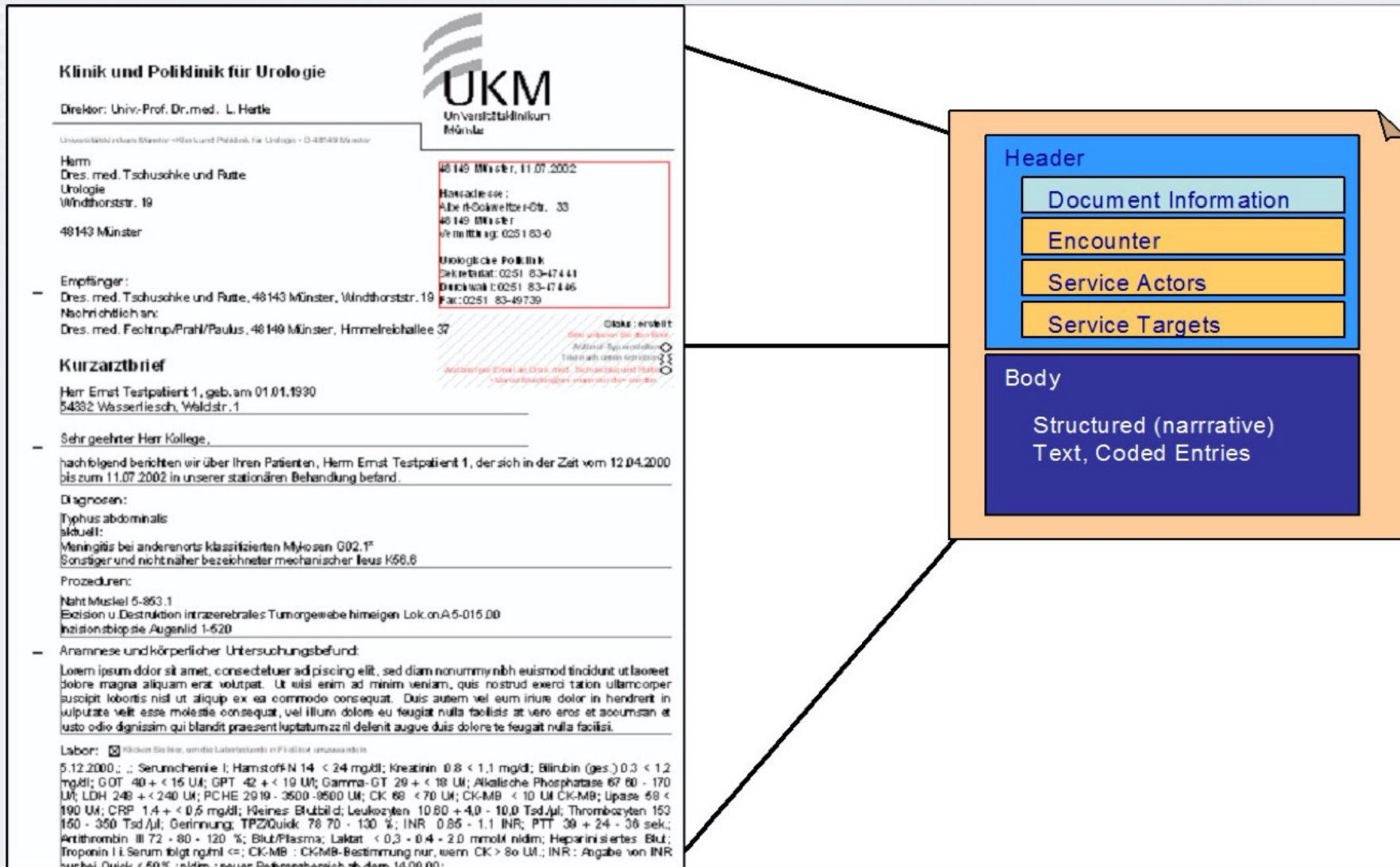
1. Anxiety regarding impending procedure.

Actions: Assess patient for non-verbal clues, listen, clarify questions. Allow use of coping mechanisms. Refer to support system.
Outcomes: Expresses decreased anxiety and increased understanding of procedure:
Status: **MET**

2. Lack of understanding of procedure and medications.

GI Nurse Note Page 3 of 8

Example- Physician Letter as a CDA



Example- medical order as a CDA

TEIL I für die Apotheke zur Verrechnung

| | | | | |
|----------------------------------------|--------------------------------|--------------------------|-----------------------|---------------------|
| Krankenkasse bzw. Kostenträger | | BVG | Apotheken-Nummer / IK | |
| <input type="checkbox"/> Gebihr Pfl | Name, Vorname des Versicherten | <input type="checkbox"/> | <input type="text"/> | |
| <input type="checkbox"/> Geb- pfl | | Zuzahlung | Gesamt-Brutto | |
| <input type="checkbox"/> nicht | | | | |
| Sonst. | geb. am | Pharmazentralnummer | Faktor | Taxe |
| Kassen-Nr. | Versicherten-Nr. | 1. Verordnung | | |
| Betriebsstätten-Nr. | Arzt-Nr. | 2. Verordnung | | |
| | Datum | 3. Verordnung | | |
| | | | | Vertragsarztstempel |

Rp. (Bitte Leerräume durchstreichen)

auf Mann Alle Sicherheitsbestimmungen gemäß der Fachinformation entsprechender Fertigarzneimittel werden eingehalten

auf Kind Dem/der Patient(in) wurde vor Beginn der Behandlung medizinisches Informationsmaterial entsprechend den Anforderungen der Fachinformation entsprechender Fertigarzneimittel sowie die aktuelle Gebrauchsinformation des entsprechenden Fertigarzneimittels ausgehändigt

auf Mann Behandlung erfolgt innerhalb der zugelassenen Anwendungsgebiete (In-Label)

auf Mann Behandlung erfolgt außerhalb der zugelassenen Anwendungsgebiete (Off-Label)

444 r | Abgabedatum in der Apotheke: | T-Rezeptnummer: | Datum, Unterschrift des Arztes

Header

Document Information

Encounter

Service Actors

Service Targets

Body

Structured (narrative)
Text, Coded Entries

Example: CCD (Continuity of Care Document)

555,555-1010

| | |
|-------------------------------|------------------------------------------------------------------------------|
| Document maintained by | Good Health Clinic |
| Contact info | Work Place: 17 Daws Rd. Blue Bell, MA 02368, USA Tel: (555)555-1212 |

Table of Contents

- [Allergies, Adverse Reactions, Alerts](#)
- [Medications](#)
- [Problems](#)
- [Procedures](#)
- [Results](#)
- [Advance Directives](#)
- [Encounters](#)
- [Family history](#)
- [Immunizations](#)
- [Medical Equipment](#)
- [Insurance Providers](#)
- [Plan of Care](#)
- [Social History](#)
- [Vital Signs](#)

Allergies, Adverse Reactions, Alerts

| Substance | Reaction | Status |
|------------|----------|--------|
| Penicillin | Hives | Active |
| Aspirin | Wheezing | Active |
| Codeine | Nausea | Active |

Medications

| Medication | Directions | Start Date | Status | Indications | Fill Instructions |
|--------------------------------------------|--------------------------|------------|--------|---------------------------------|------------------------------|
| Proventil 0.09 MG/ACTUAT inhalant solution | 2 puffs QID PRN wheezing | 2011-03-01 | Active | Bronchitis (32398004 SNOMED CT) | Generic Substitution Allowed |

Problems

1. Pneumonia: Resolved in March 1998
2. ...

Procedures

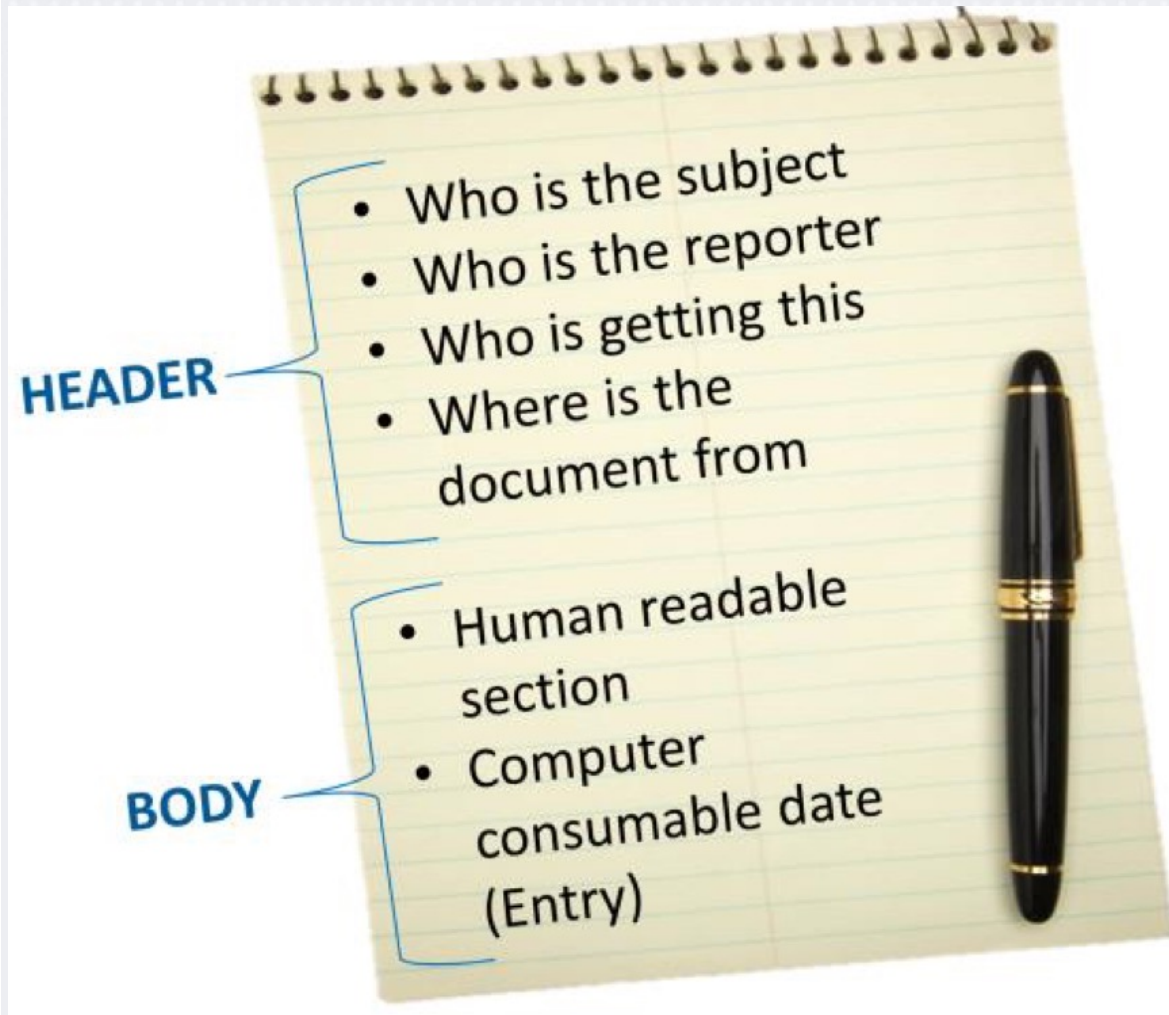
Example: CCD -underlying XML

```
*****
CDA Body
*****
-->
- <component>
- <structuredBody>
  <!-- ***** -->
  - <!--

*****
Allergies, Adverse Reactions, Alerts
*****

-->
- <component>
- <section>
  <templateId root="2.16.840.1.113883.10.20.22.2.6.1" />
  <!-- Alerts section template -->
  <code code="48765-2" codeSystem="2.16.840.1.113883.6.1" />
  <title>Allergies, Adverse Reactions, Alerts</title>
- <text>
  - <table border="1" width="100%">
    + <thead>
    - <tbody>
      - <tr>
        <td>Penicillin</td>
        - <td>
          <content ID="reaction1">Hives</content>
        </td>
        <td>Active</td>
      </tr>
    </tbody>
  </table>
  </text>
- </component>
```

CDA- Structure



- The **header component** provides information about:
 - The type of CDA and the basic structure
 - Who the document is about
 - Who “wrote” it
 - Where it came from
 - Where it is going
 - When it was created
- The **body section** of a document is composed of :
 - Narrative block information, which is text that a human can read in a browser.
 - The Entry block then contains the same data described in the Narrative block, but in a structured format that a computer can read

CDA- Structure

A CDA-Document consists of two parts

- CDA-Header:
 - Document
 - Author
 - Patient
- CDA-Body:
 - Clinical Observations
 - Diagnoses, Procedures

CDA- Structure

Header

structured and coded

Body

structured content with coded „sections“

- Salutation
- Problem/Subjective
- History

- Family History
- Past Medical History

- Physical/Objective
- Diagnoses

- Admit diagnoses
- Intermediate diagnoses
- Discharge diagnoses

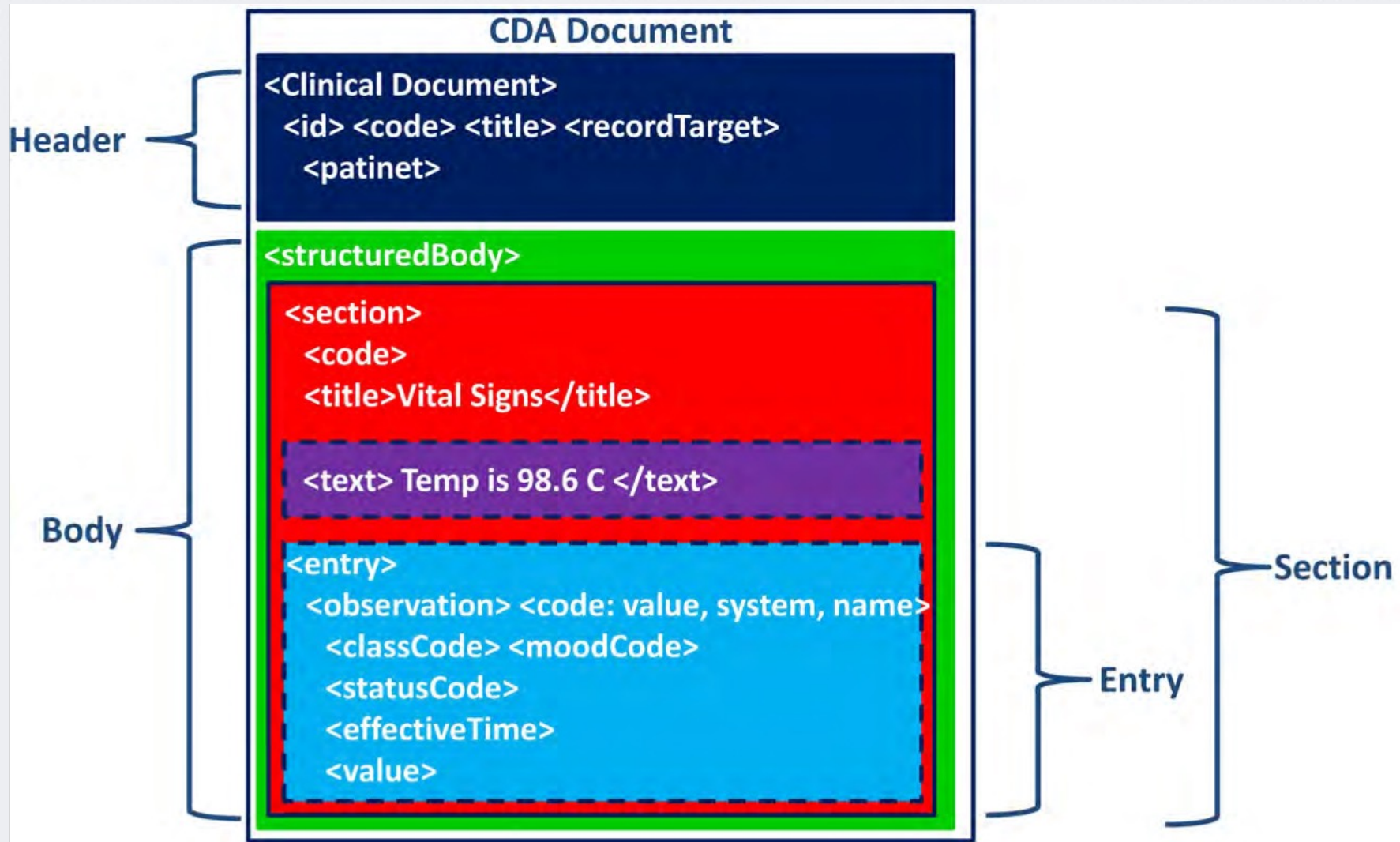
coded (e.g. ICD 10)

- Epicrisis
- Plan
-

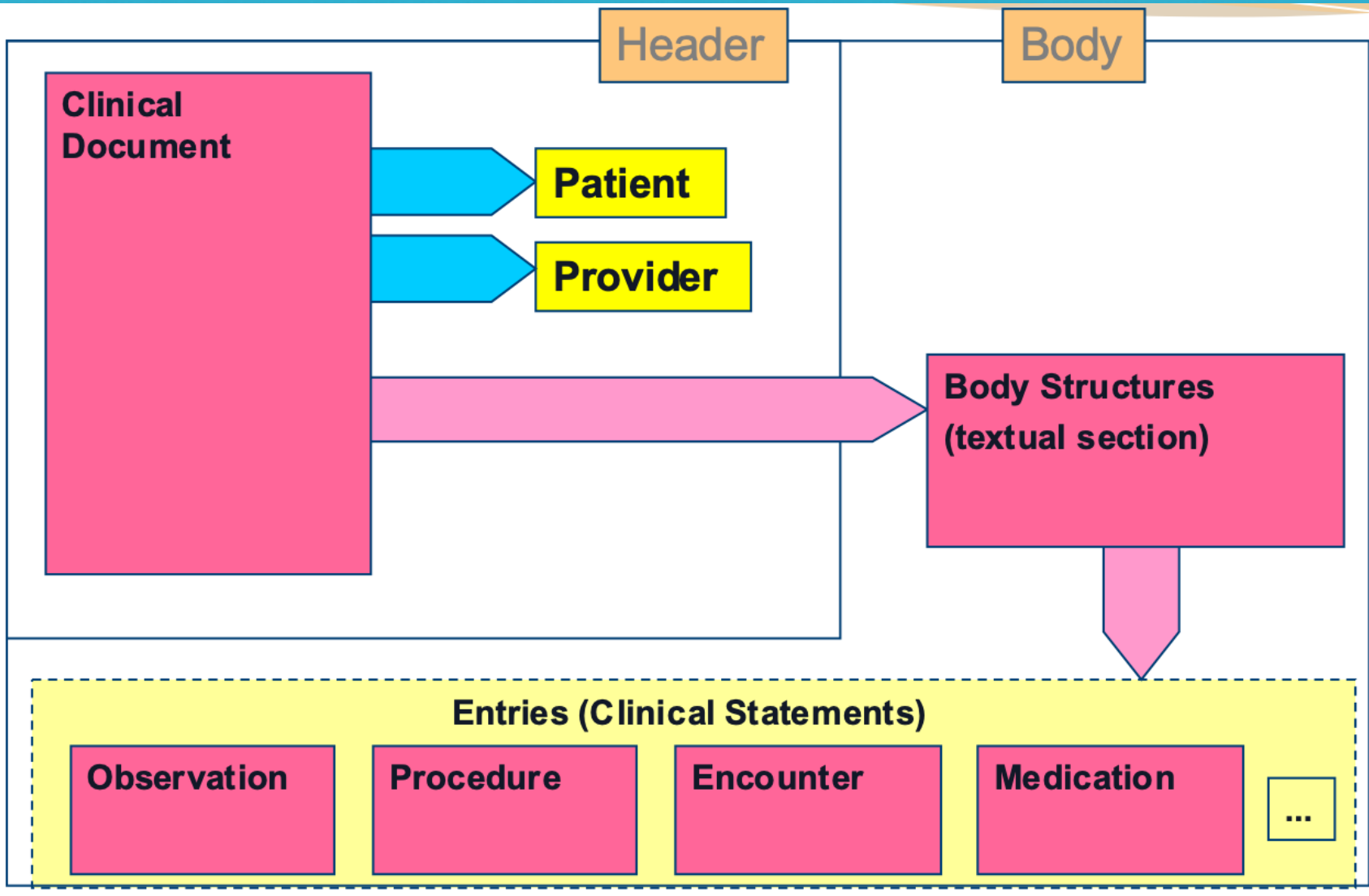
Form

- A header provides the context:
 - To facilitate the exchanges and the management of the documents, their compilation in the patient record
- A body
 - clinical information, ordered into sections, paragraphs, lists, tables, ...
- Encoded in XML
 - Comprehensive/readable for the human...
 - ...and for the computers
 - – can be validated by a schema

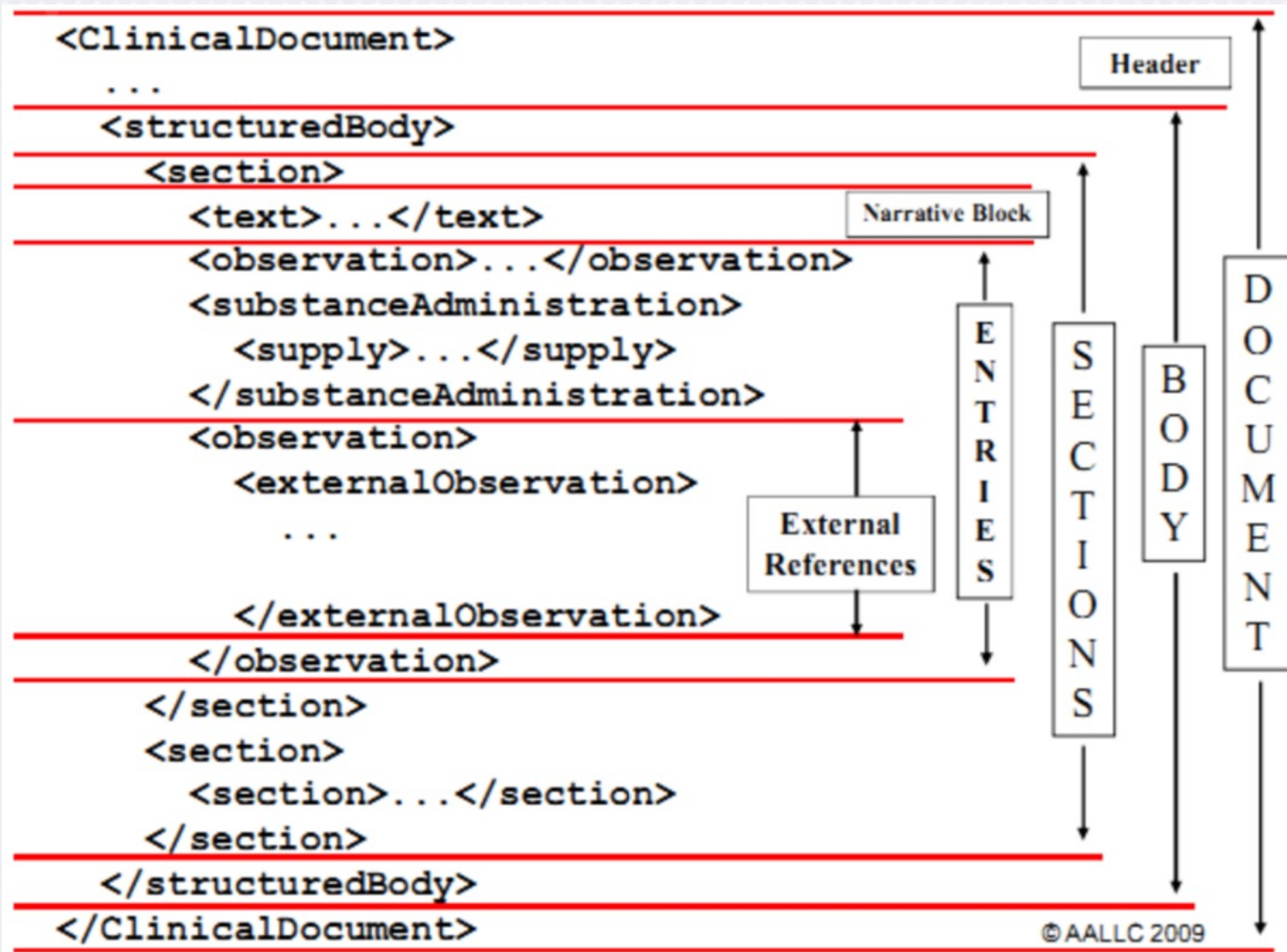
CDA- Structure-Example



CDA- Structure



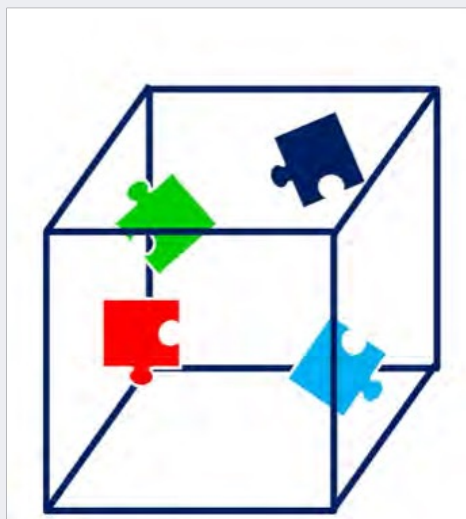
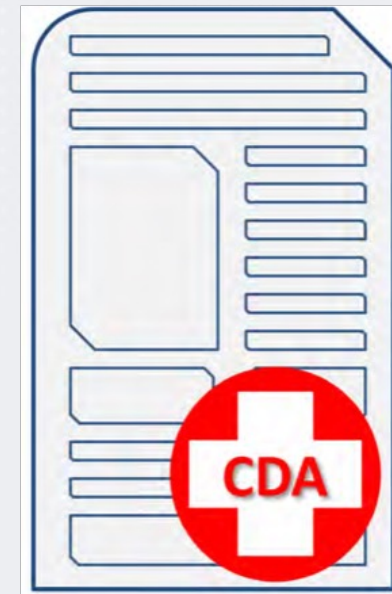
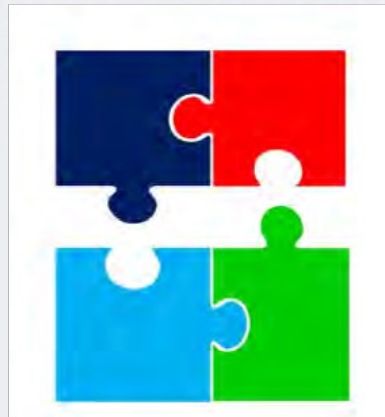
CDA (Major Components): Example



CDA- Methodology: made up of Blocks

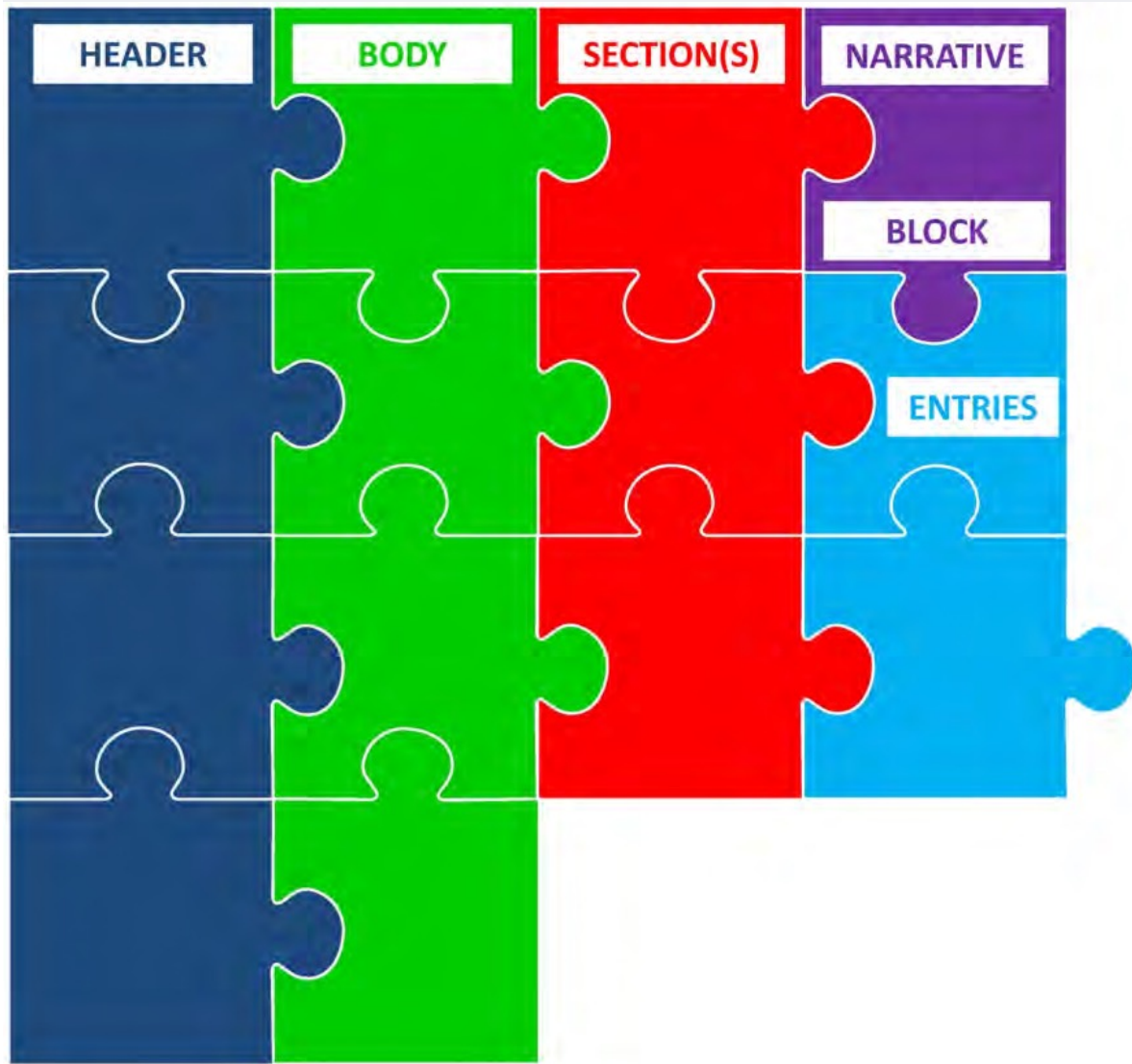
CDA defines building blocks which can be used to contain healthcare data elements that can be captured, stored, accessed, displayed and transmitted electronically for use and reuse in many formats

Sets of these CDA standardized building blocks can be arranged for whatever needs exist



This approach offers tremendous flexibility; it allows for the creation of a comprehensive variety of clinical documents which share common design patterns and use a single base standard

CDA- Structure



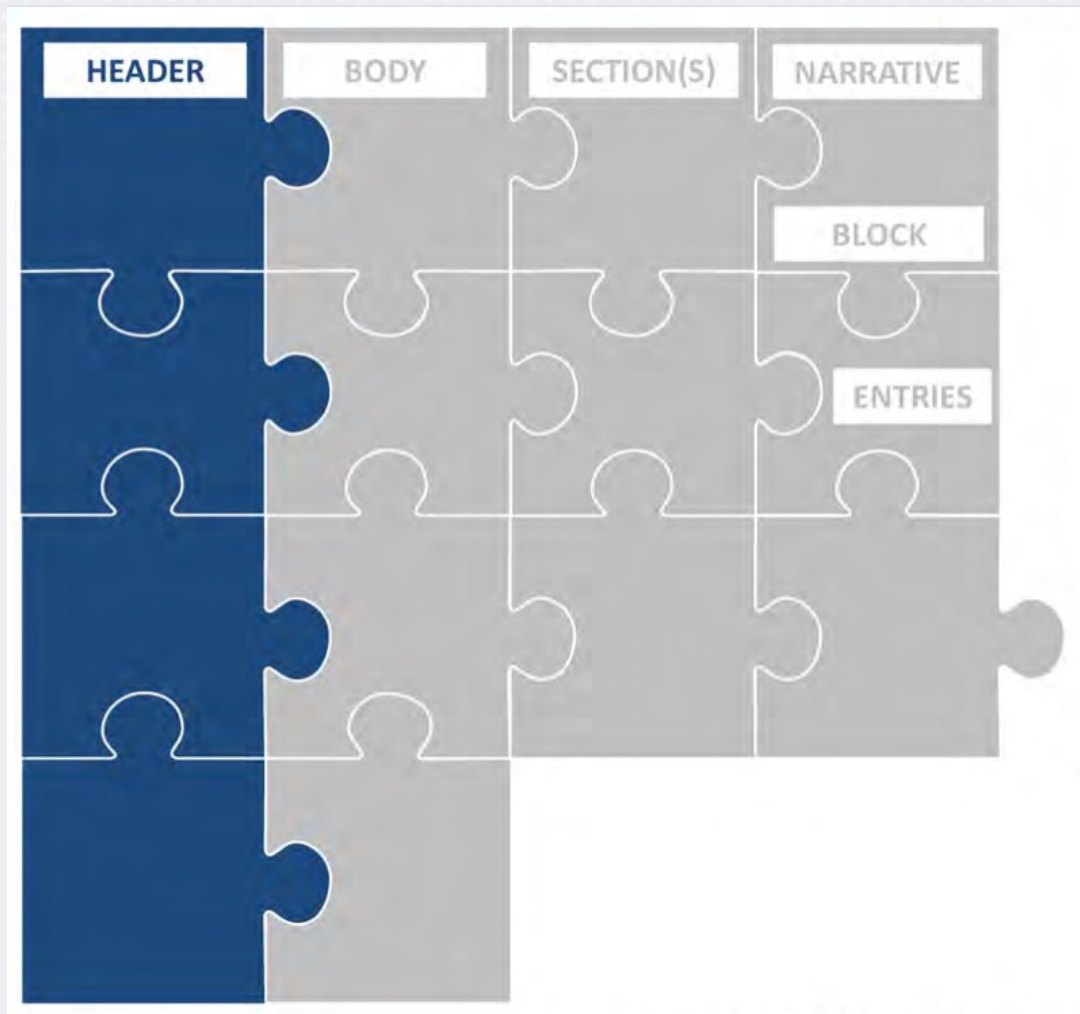
Every CDA document must have AT LEAST a **Header** AND a **One Section**.

XML enables both human and machine readability.

The XML structure for a CDA document nests data in the following way:

- » Header
- » Body
- » » Section(s)
- » » » Narrative Block
- » » » Entry(s)

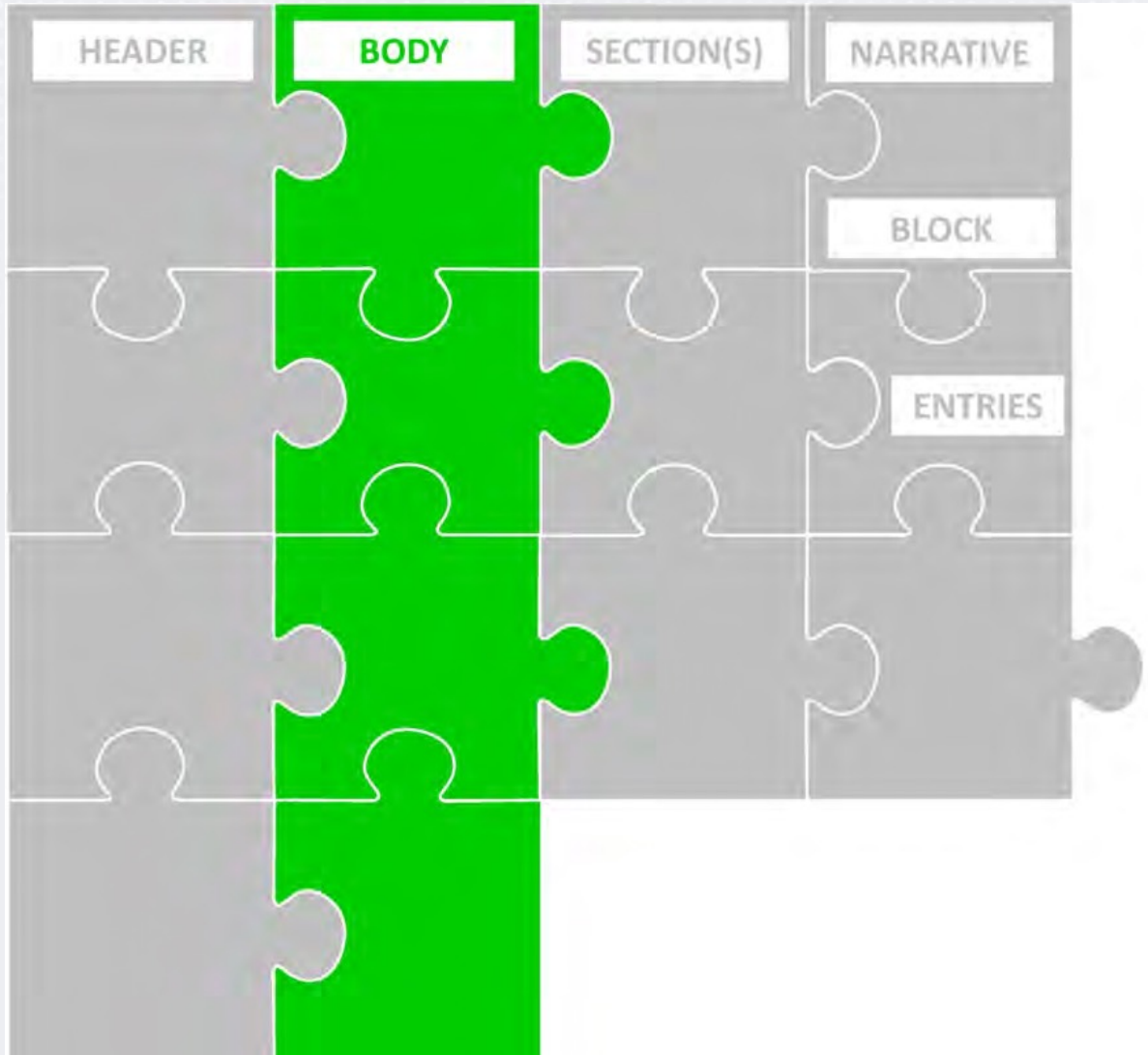
CDA Structure- Header



The **Header** sets the context for the clinical document as a whole and:

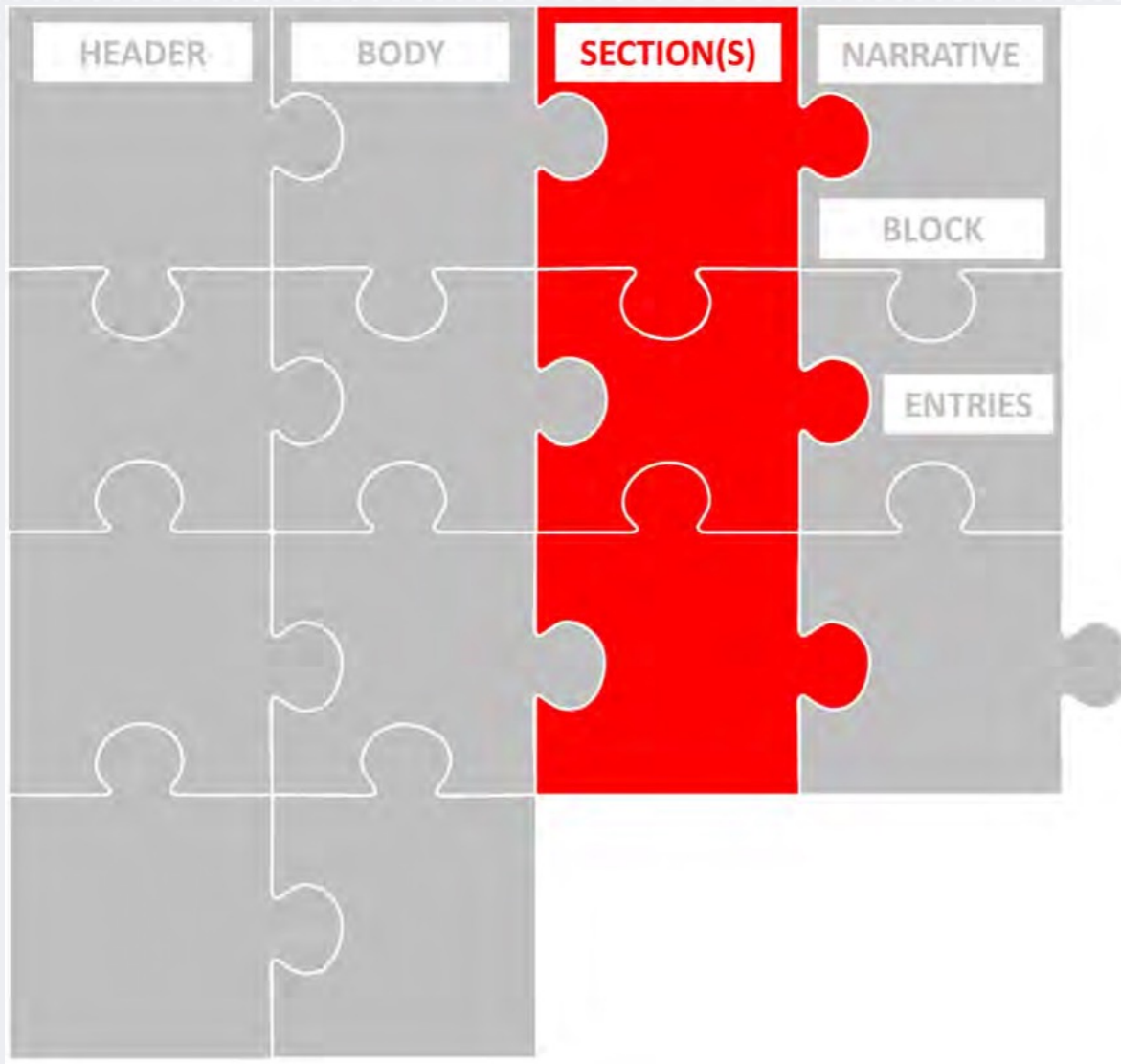
- enables clinical document exchange across and within institutions;
- facilitates clinical document management ; and
- facilitates compilation of an individual patient's clinical documents into a electronic patient record.

CDA Structure- Body



The **Body** contains the clinical report and can contain an unstructured “blob” or structured content organizes in one or more **Sections**.

CDA XML Structure- Section(s)

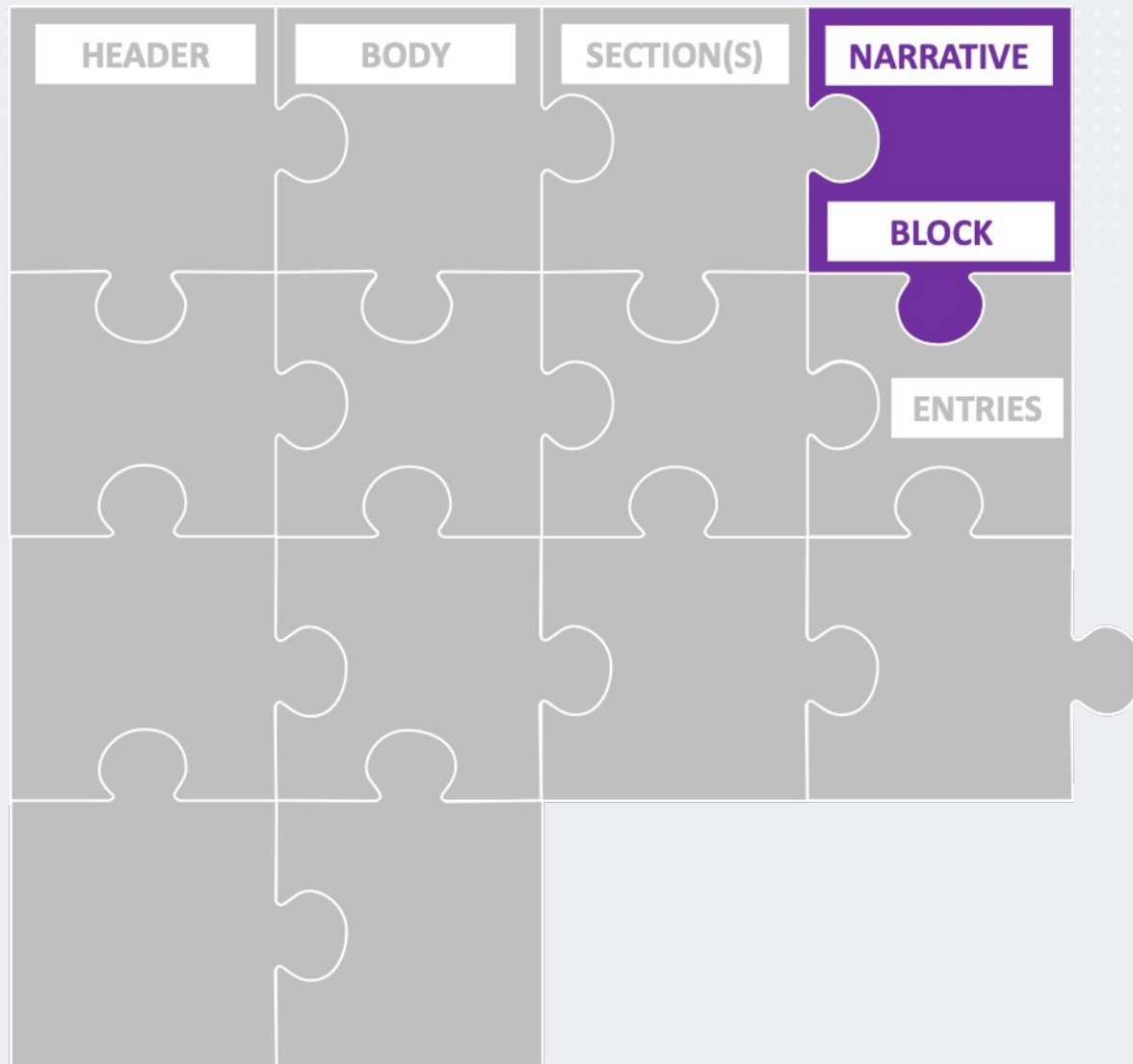


Each **Section** contains one **Narrative Block** and zero to many coded **Entries**.

Examples include:

- **Allergies**
- **Meds**
- **Problems**
- **Immunizations**
- **Vital Signs**

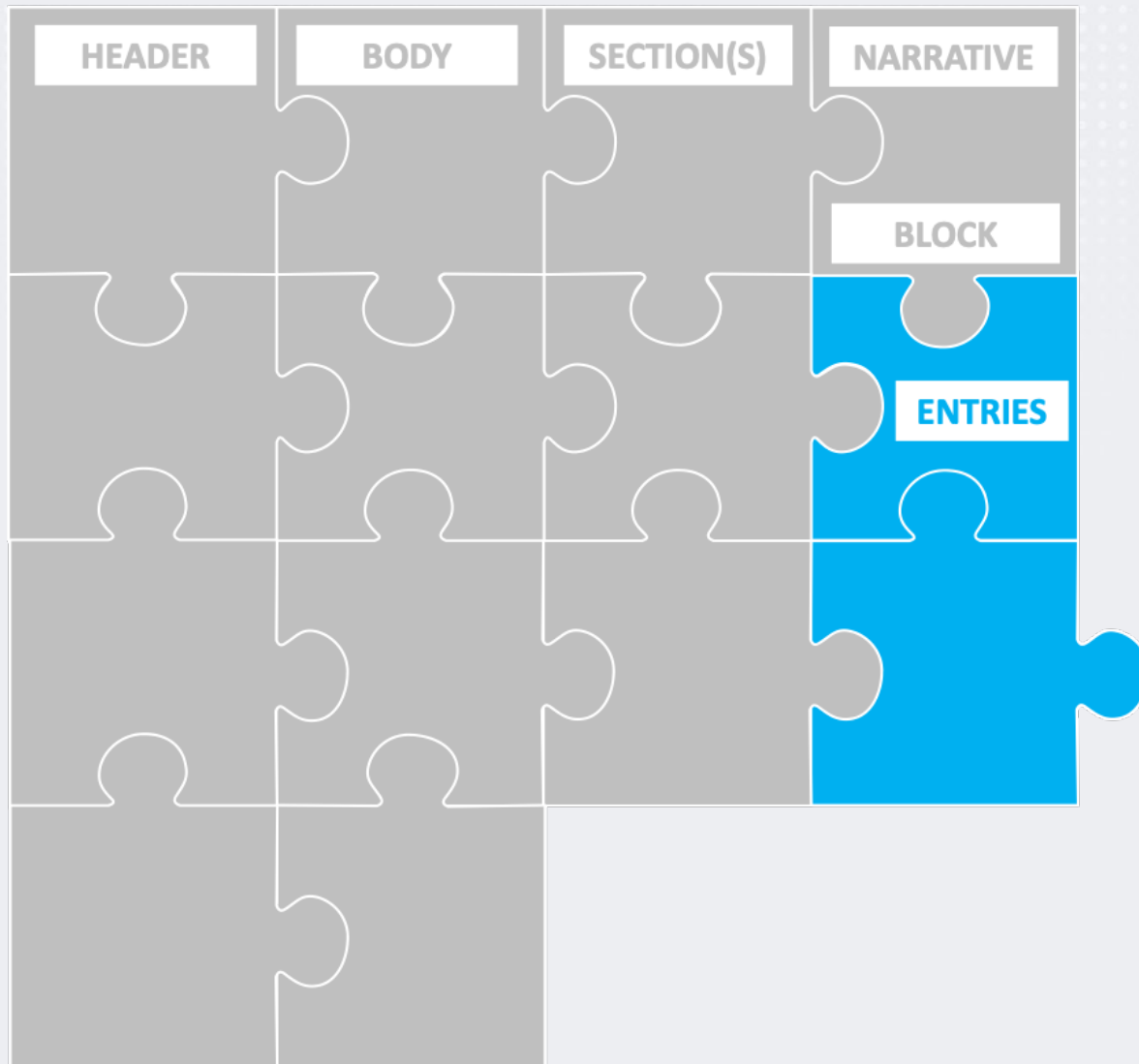
CDA XML Structure- Narrative Block(s)



Narrative Blocks allows “human-readability” of a CDA document. Within a document section, the narrative block represents content to be rendered for viewing.

The **Narrative Block** has fixed markup, and must be populated by the document originator.

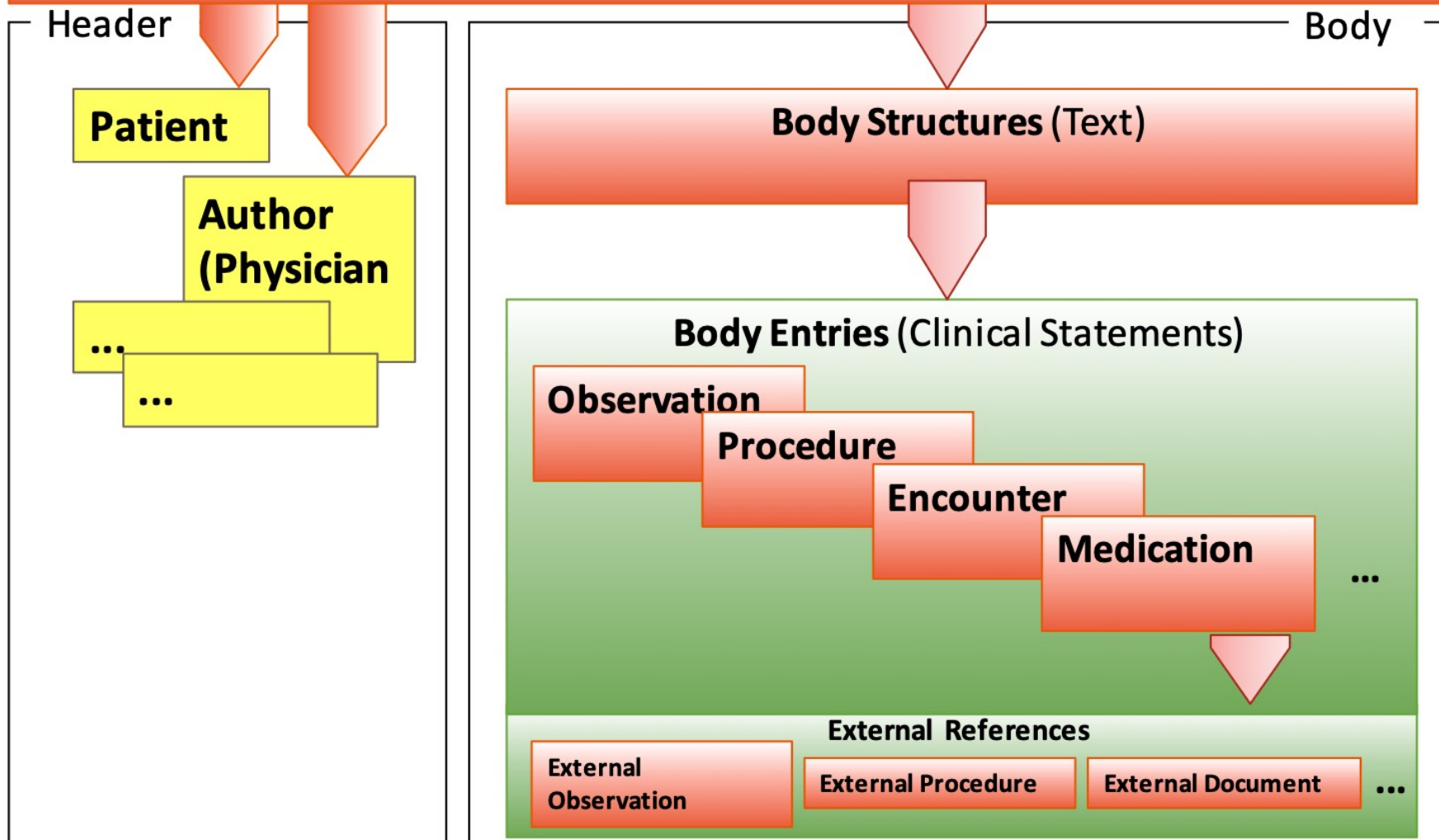
CDA XML Structure- Entries



Entries allows “machine-readability” (e.g. decision support applications). Within a document section, an entry represents structured content for further computer processing.

CDA Structure: Entries

Clinical Document (Document Information)



CDA XML Structure: Stylesheets, as document

| | | | |
|--------------------|--------------------------------------------------------------------------------------------------------|-------------|-----------------|
| Patient: | Paul Pappel | Patient-Nr: | 6245 |
| Kontakt: | Riedemannweg 59 13627 Berlin Tel: 030.456.345345 (zu Hause) | | |
| geb.: | 17. Dezember 1955 | Geschlecht: | männlich |
| Behandelnder Arzt: | Dr. med. Theo Phyllin Krankenhausstraße 240 51371 Leverkusen Tel: 0214.2127070 (Arbeitsplatz) | Erzeugt am: | 29. August 2005 |

Arztbrief auf der Basis von CDA Release 2

29.08.2005: Anamnese

Sei Jahren wiederholt **chronische Bronchitiden** besonders bei kalter Luft. Bei Anstrengung expiratorische Atemnot. Kontakt mit Haustieren.

29.08.2005: Befund

- o Pulmo: Basal diskrete RGs
- o Cor: oB
- o Abdomen: weich, Peristaltik: +++
- o Muskulatur: atrophisch
- o Mundhöhle: Soor, Haarleukoplakie
- o Haut: blass, seborrhoisches Ekzem, Schleimhäute blass, Hauttrugor herabgesetzt
- o Neuro: herabgesetztes Vibrationsempfinden der Beine, distal betont, Parästesien der Beine, PSR, AST oB und seitengleich.

29.08.2005: Pricktest

- o Birke +++
- o Haselstrauch ++
- o Erle ++
- o *Keine Reaktion auf weitere Pollen, Katzen-/Hundehaare, Schimmelpilze*

29.08.2005: Diagnosen mit ICD 10

| Diagnose | ICD Code | Lokalisation | Zusatz |
|-------------------------------------------|----------|--------------|--------|
| Allergisches Asthma | J45.0 | -- | G |
| <i>Ausschluss Lungenernphysem</i> | J43.9 | -- | A |
| V.a. Allergische Rhinopathie durch Pollen | J31.1 | -- | V |

Stylesheets:
e.g. XSLT (Extensible
Stylesheet Language
Transformations) for
XML documents

CDA Structure: Header- Elements

- The **header component** provides information about:
 - The type of CDA and the basic structure
 - Who the document is about
 - Who “wrote” it
 - Where it came from
 - Where it is going
 - When it was created

Header- Elements

- ID
- Title
- Time-Information
- Confidentiality
- Patient

CDA Structure: Header- Author

```
<author>
  <time value="2000040714"/>
  <assignedAuthor>
    <id extension="KP00017" root="2.16.840.1.113883.19"/>
    <assignedPerson>
      <name>
        <given>Robert</given>
        <family>Dolin</family>
        <suffix>MD</suffix>
      </name>
    </assignedPerson>
    <representedOrganization>
      <id extension="M345" root="2.16.840.1.113883.19"/>
    </representedOrganization>
  </assignedAuthor>
</author>
```

Header- Elements

- ID
- Title
- Time-Information
- Confidentiality
- Patient

CDA Structure: Header- Record Target

```
<recordTarget>
  <patientRole>
    <id extension="12345" root="2.16.840.1.113883.19"/>
    <patient>
      <name>
        <given>Henry</given>
        <family>Levin</family>
        <suffix>the 7th</suffix>
      </name>
      <administrativeGenderCode code="M" codeSystem="2.16.840.1.113883.5.1.4.1.1.1"/>
      <birthTime value="19320924"/>
    </patient>
    <providerOrganization>
      <id extension="M345" root="2.16.840.1.113883.19"/>
    </providerOrganization>
  </patientRole>
</recordTarget>
```

Header- Elements

- ID
- Title
- Time-Information
- Confidentiality
- Patient

CDA Structure: Header- Legal Authenticator

```
<legalAuthenticator>
  <time value="20000408"/>
  <signatureCode code="S"/>
  <assignedEntity>
    <id extension="KP00017" root="2.16.840.1.113883.19"/>
    <assignedPerson>
      <name>
        <given>Robert</given>
        <family>Dolin</family>
        <suffix>MD</suffix>
      </name>
    </assignedPerson>
    <representedOrganization>
      <id extension="M345" root="2.16.840.1.113883.19"/>
    </representedOrganization>
  </assignedEntity>
</legalAuthenticator>
```

Header- Elements

- ID
- Title
- Time-Information
- Confidentiality
- Patient

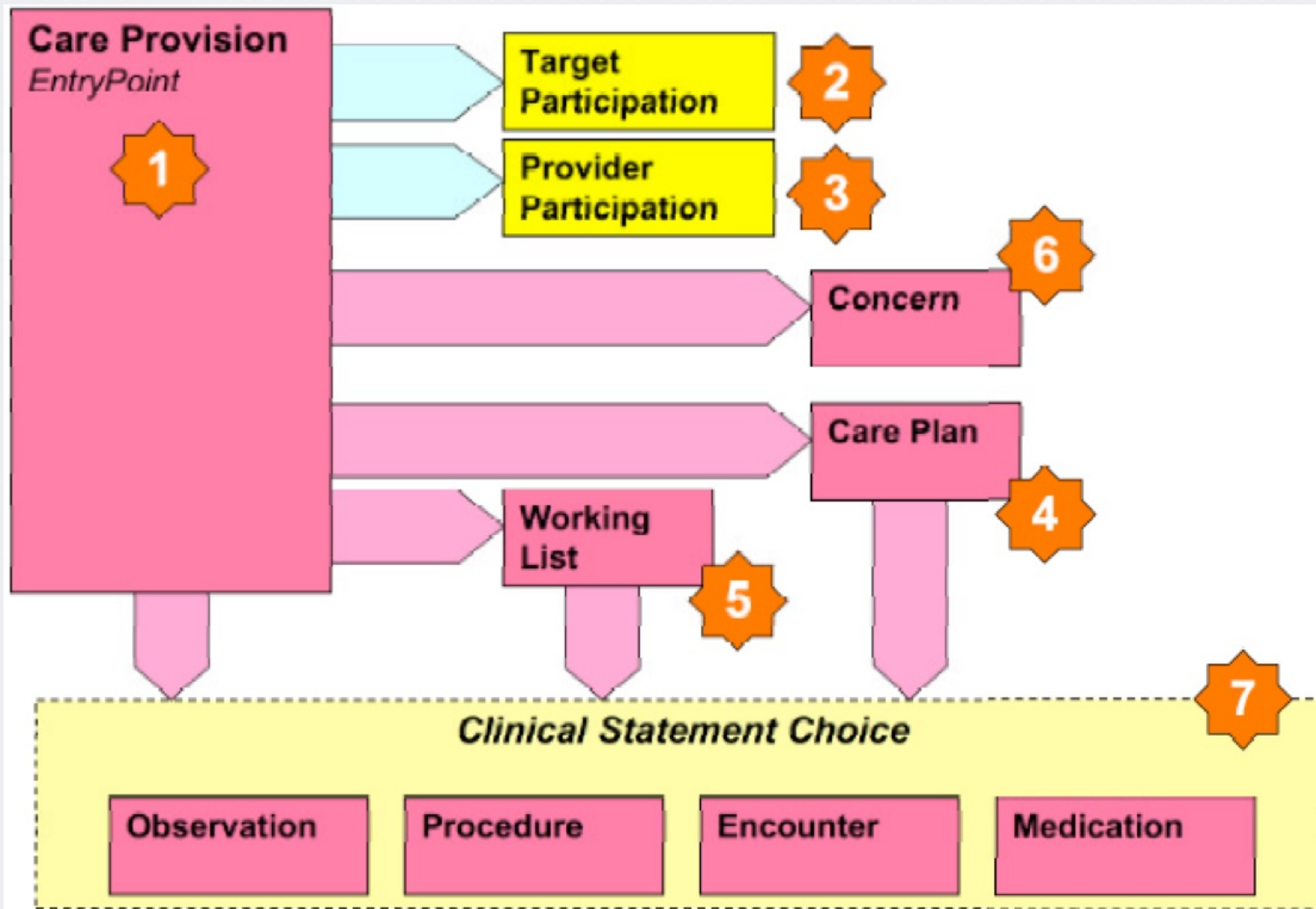
CDA Structure: Header- Custodian

```
<custodian>
  <assignedCustodian>
    <representedCustodianOrganization>
      <id extension="M345" root="2.16.840.1.113883.19"/>
      <name>Good Health Clinic</name>
    </representedCustodianOrganization>
  </assignedCustodian>
</custodian>
```

Header- Elements

- ID
- Title
- Time-Information
- Confidentiality
- Patient

e.g. CDA-Order Form



Building
order of HL7
CDA

Data Integration

IHE - Integrating the Healthcare Enterprise

IHE – Integrating the Healthcare Enterprise

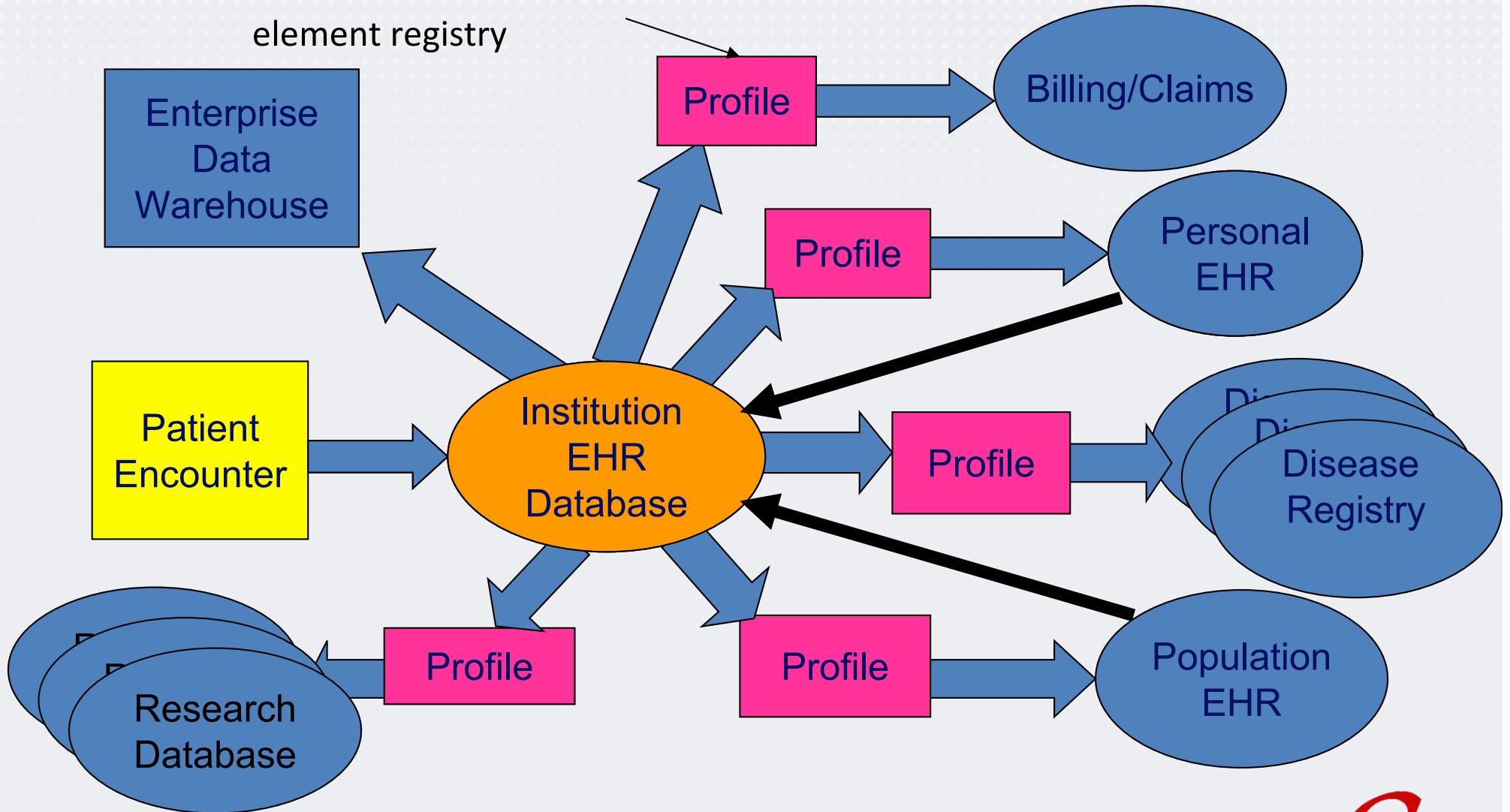
- Founded in 1998
- addresses the process-level
- IHE defines use-cases for relevant IT-processes, these are referred to as profiles,
- Defines and provides integration profiles and services:
 - Cross-Enterprise Document Sharing (XDS.b)
 - Patient Identifier Referencing (PIX)
 - Patient Demographics Query (PDQ)
- Tested in Connectathon

IHE - Profiles and Medical Domains

- Using one profile for all medical domain will create a very complex data profile.
 - Such profile will also include unnecessary non meaningful data elements for individual clinical transfers.
- IHE takes the approach that each medical domain has its specific data requirements for easier data integration and data completeness.
- IHE developed specific data integration profiles for a set of medical domains.

IHE: Integration using Profiles

Derived from master data
element registry



IHE - Domains

- IHE developed specific profiles for specific medical domains. IHE medical domains include:
 - Anatomic Pathology
 - Cardiology
 - Eye Care
 - IT Infrastructure
 - Laboratory
 - Patient Care Coordination
 - Patient Care Device
 - Pharmacy
 - Quality, Research and Public Health
 - Radiation Oncology
 - Radiology

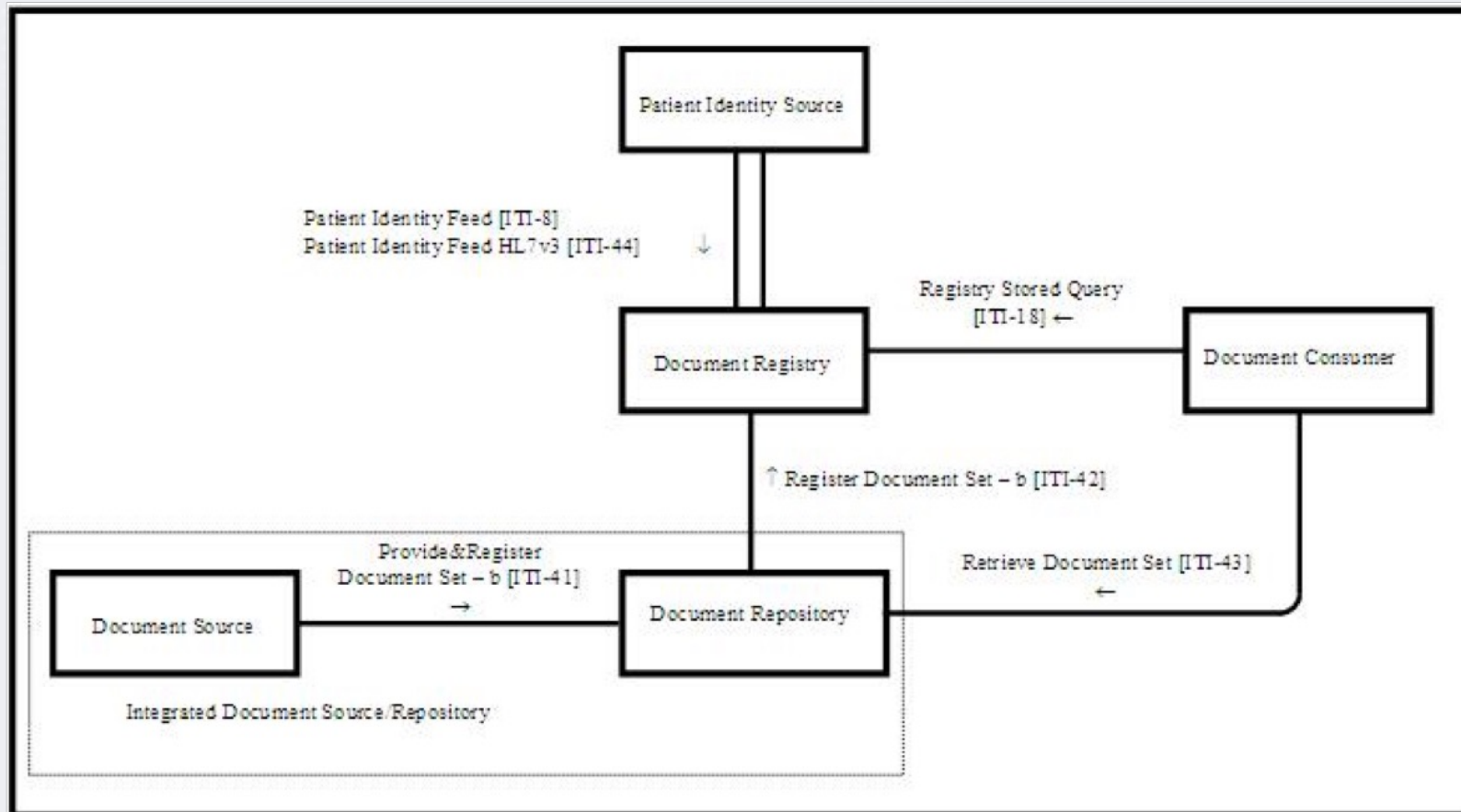
IHE - Profiles (Examples)

Cross-Enterprise Document Sharing (XDS.b)

is focused on providing a standard-based specification for managing the sharing of documents between any healthcare enterprise

- A **Document Repository** is responsible for storing documents in a transparent, secure, reliable and persistent manner and responding to document retrieval requests.
- A **Document Registry** is responsible for storing information about those documents.
- **Documents** are provided by one or more **Document Sources**.
- They are then accessed by one or more **Document Consumers**.

IHE - Profiles (Examples)



IHE - Profiles (Examples)

Patient Identifier Referencing (PIX)

supports the cross-referencing of patient identifiers from multiple Patient Identifier Domains by:

- Transmitting patient identity information from an identity source to the Patient Identifier Cross-reference Manager.
- Providing the ability to access the list(s) of cross-referenced patient identifiers either via a query/ response or via an update notification.

IHE - Profiles (Examples)

Patient Demographics Query (PDQ)

- Allows a Patient Demographics Supplier actor to receive a Patient Demographics Query request from the Patient Demographics Consumer actor, and returns demographics information.
- When the Patient Demographics Supplier Actor is grouped with actors in other IHE profiles that perform patient information reconciliation activities, the PDQ Supplier Actor may use the updated information to respond to PDQ Queries.
- Patient Demographics Supplier Actor: is an actor (e.g., healthcare organisation, a patient Doctor) that is allowed to access patient personal and demographic information.

IHE - Connectathon

- Developed profiles tested into annually organised connectathons.
- In connectathons, developer test IHE profiles on real data for different medical domains.



Image Exchange Standards

*DICOM- Digital Imaging and
Communication in Medicine*