

# Artificial Intelligence

## Artificial Neural Networks

Invited lecture by

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**Keywords:** Learning, Machine learning, neural networks, supervised learning, unsupervised learning, text recognition

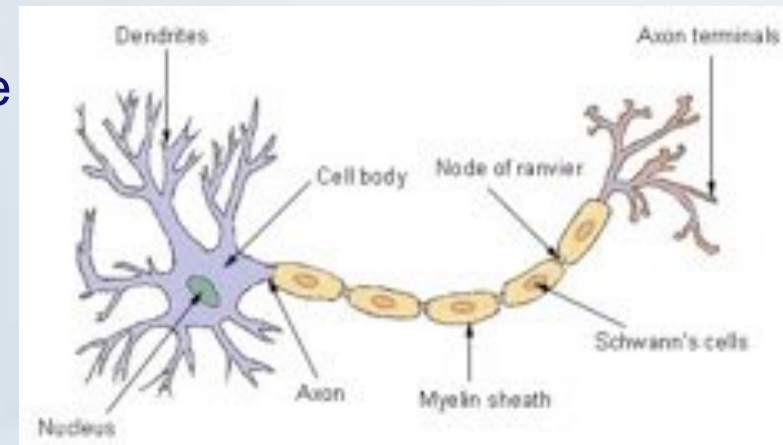
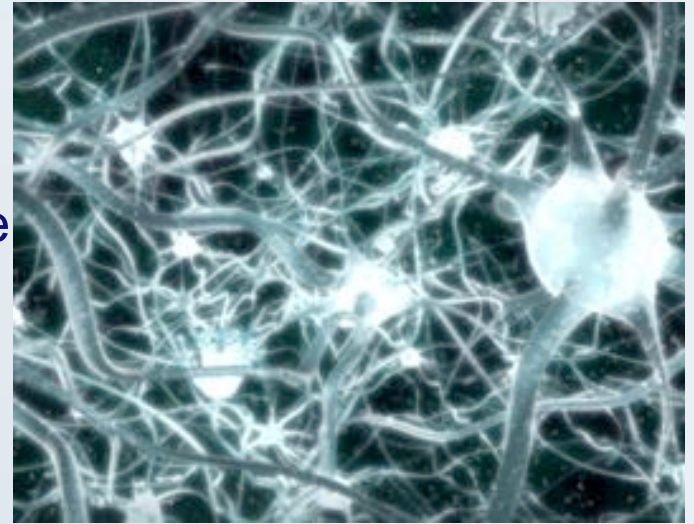
التعلم الآلي، تعليم الآلة، التعليم الموجه، التعليم غير الموجه، الشبكات العصبونية، التعرف على الكتابات

# Introduction

## Biological Neural Networks

A series of interconnected neurons whose activation defines a recognizable linear pathway.

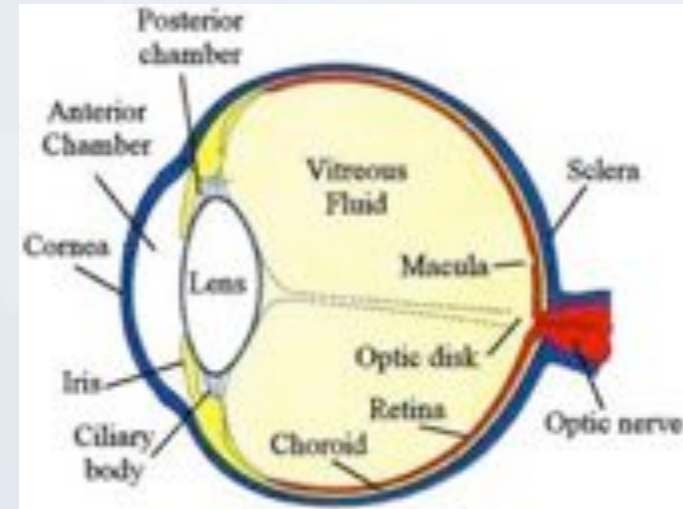
A neuron cell (خلية عصبية) receives the incoming signals through dendrites (تغصنات). It processes the summed inputs and forwards the output signal to other cells through the Axon (محوار). The axon is connected through synapses (نقاط الاشتباك العصبي) to other cells dendrites. [1]



# Introduction

## Human Eye [2]

- Retina (شبكة) measures 5x5 cm and contains  $10^8$  sampling elements of rods (نبوتية) and cones (مخروطية).
- The eye gives a data rate of 2.75 GBytes/s.



# Introduction

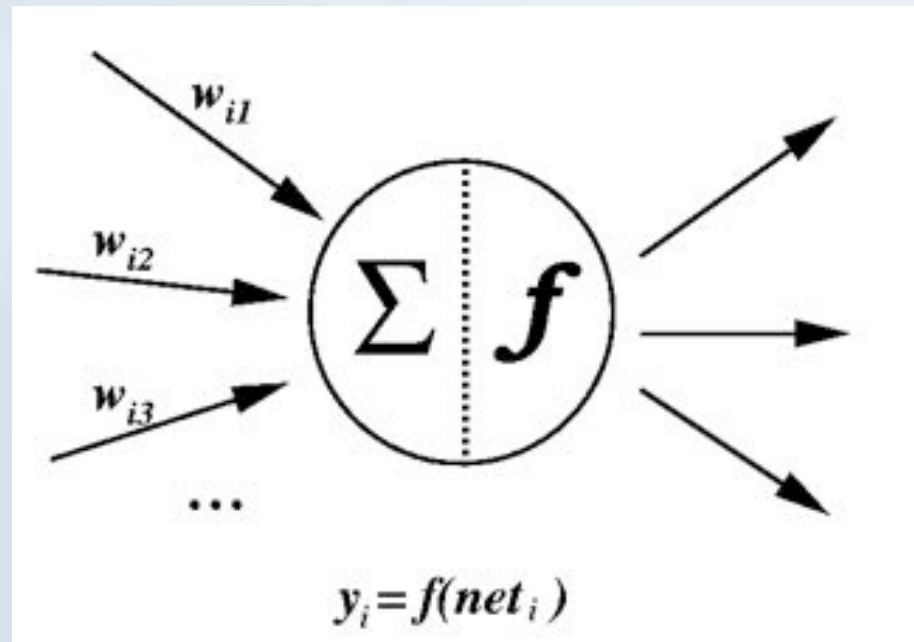
## IT and Biology [2]

- We shouldn't try to copy biology.
- The human nervous system contains 85 billion neurons that are heavily interconnected.
- Computers cannot perform the computations similar to the human brain.
- We do not understand how the brain functions.
- However, we can use the principles in biology instead of the exact implementation.

# Introduction

## Mapping Biology to Machine Learning

- Every neuron sums the input and calculates the output using a transfer function.
- The synapses are represented by real numbers called weights.
- The dendrites are represented by connections between neurons.
- The neural network is represented by layers to simplify the structure.

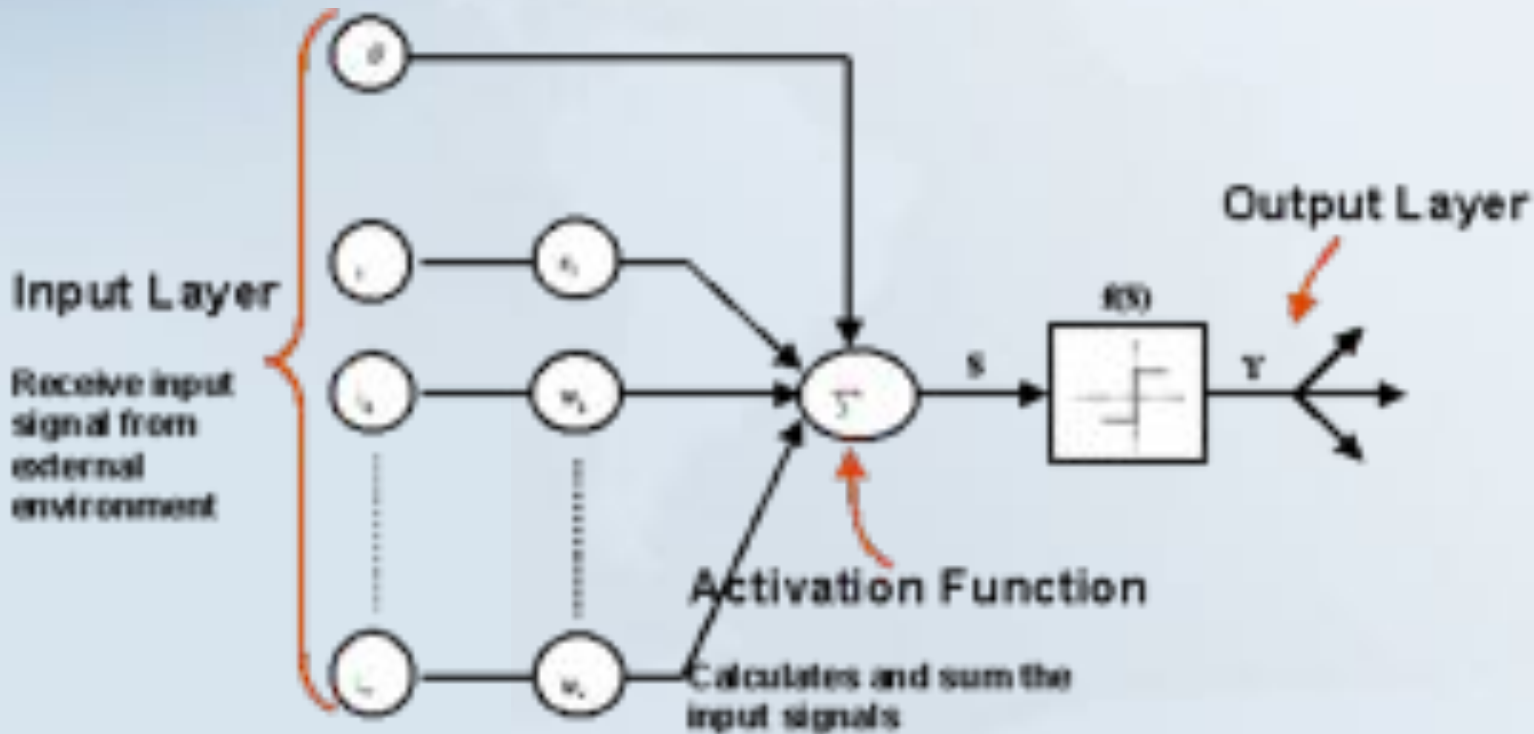




# History

## McCulloch-Pitts Neuron (1943)

- Neurophysiologist Warren McCulloch and mathematician Walter Pitts wrote a paper on how neurons might work.  
(A logical calculus of the ideas immanent in nervous system)





# History

## Hebb Learning Rule (1949)

- Psychologist Donald Hebb wrote a book about how humans can learn. [3]
- His work stated that neural pathways are strengthened each time they are used.
  - If two nerves fire at the same time, the connection between them is enhanced.
- The change of weight between a neuron-i and neuron-j is calculated as:

$$\Delta w_{ij} = \alpha a_i a_j$$

where alpha is a learning constant.

# History

## Rosenblatt's Perceptron (1957) [4,5]

- A Supervised linear classifier invented by Frank Rosenblatt.

- Mathematical definition:

$$f(x) = \begin{cases} 1 & \text{if } w \cdot x + b > 0 \\ 0 & \text{otherwise} \end{cases}$$

- Algorithm:

- Initialize the weights to either 0 or small random value.

- Loop over training set (x, d).

- Calculate the output f(x):

$$y_j(t) = f[\mathbf{w}(t) \cdot \mathbf{x}_j] = f[w_0(t) + w_1(t)x_{j,1} + w_2(t)x_{j,2} + \dots + w_n(t)x_{j,n}]$$

- Calculate the weights:

$$w_i(t+1) = w_i(t) + \alpha(d_j - y_j(t))x_{j,i}$$

- Break loop if the number of iterations have been reached

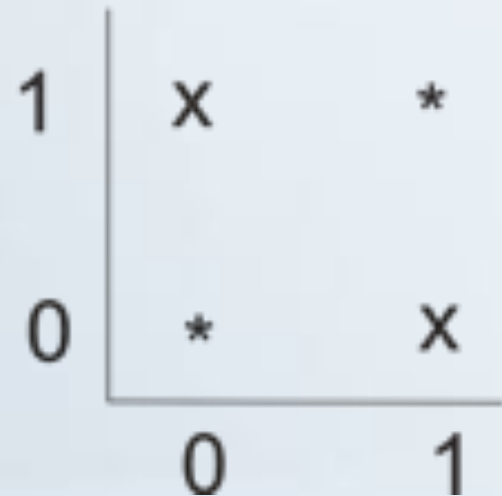
$$\text{or the error is less than: } \frac{1}{s} \sum_j [d_j - y_j(t)]$$

- End Loop

# History

## Minsky & Papert (1969) [6]

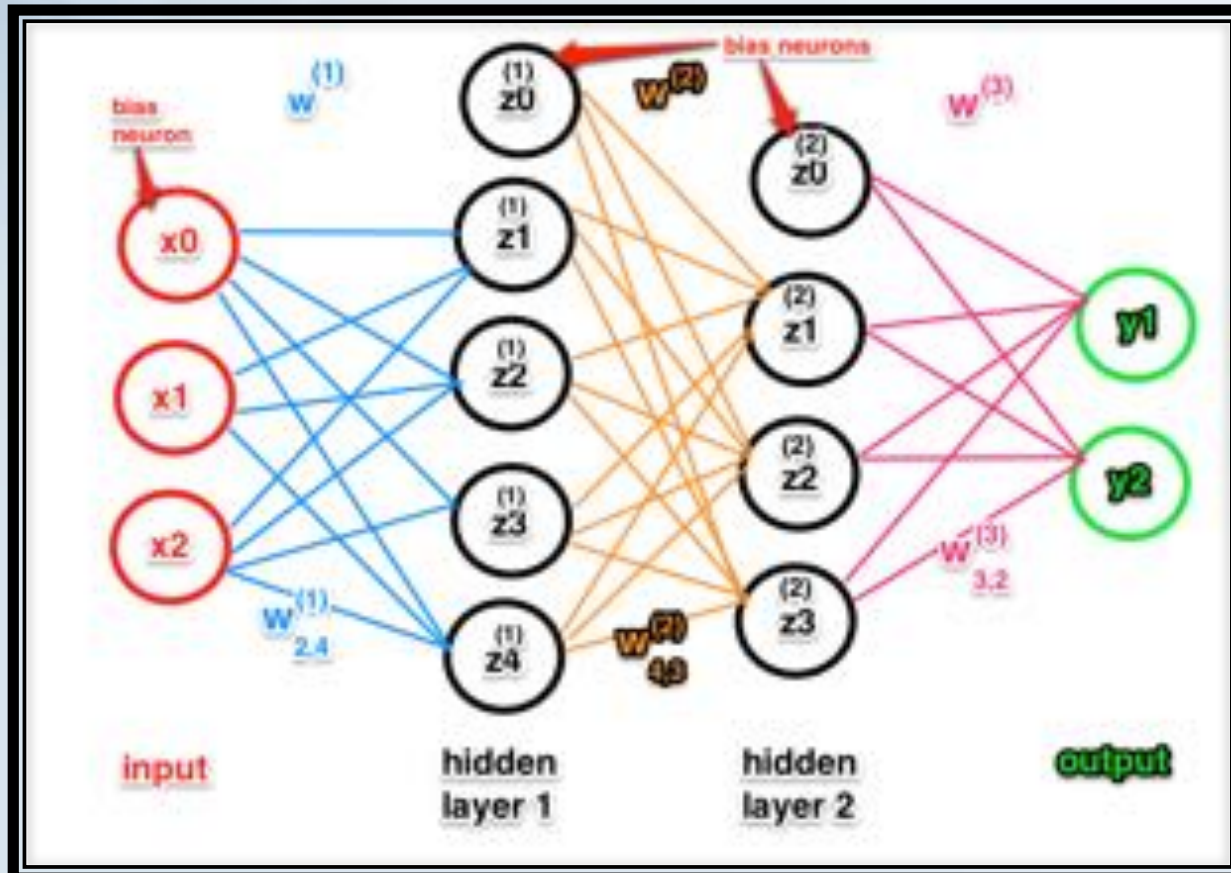
- They published a paper enumerating many of the flaws in neural networks.
- They used the functionality of XOR as an example of how the neural networks fail.
- If a model cannot solve a very simple problem, then we cannot use it in the real world.
- They suggested to use multi-layer neural networks.



1	x	*
0	*	x
	0	1

# Feed-forward Neural Network

- This neural network consists of multiple layers of nodes in a directed graph [7,8,9].
- Each layer is fully connected to the next layer (but not with bias).



# Feed-forward Neural Network

- Only one input layer per network. The number of nodes depends on the data.
- Only one output layer per network.
  - Regression mode: one node only per output (i.e. price gets one node).
  - Classification mode:
    - One node per output. (i.e. one node for spam filter. 0 for spam and 1 for non-spam)
    - One node per label (i.e. two nodes for spam filter).
- Number of hidden layers is a variable. (refer to [9])
  - Number of units per hidden layer is also a variable. (refer to [9])
- The neural networks have two phases:
  - Training Phase
  - Execution Phase

# Execution Phase

- The execution phase returns the output of a given input.
- The output of every neuron is computed as follows (one hidden layer):
  - Calculate the activations of the first layer

$$a_j = \sum_{i=1}^D w_{ji}^{(1)} x_i + w_{j0}^{(1)}$$

- Transform using an activation function

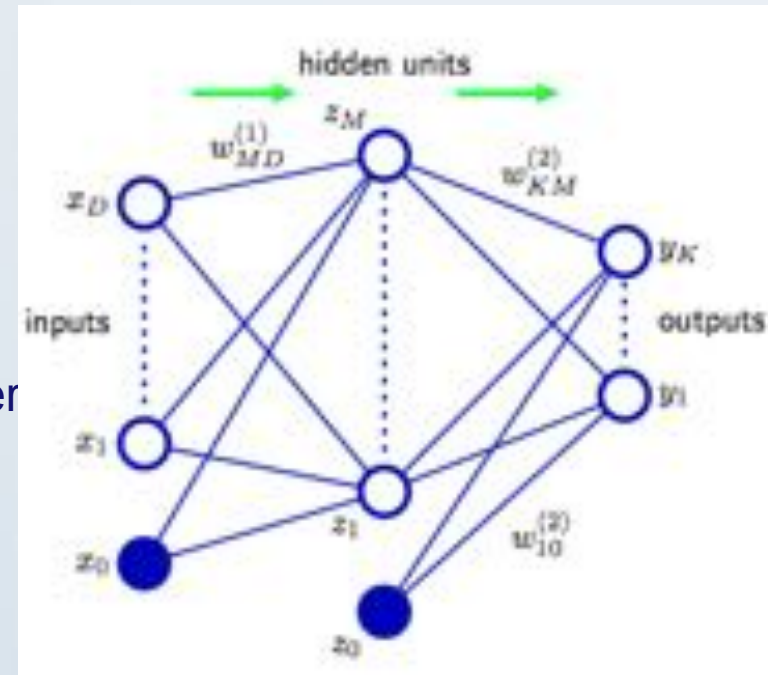
$$z_j = h(a_j)$$

- Find the activations of the second layer

$$a_k = \sum_{j=1}^M w_{kj}^{(2)} z_j + w_{k0}^{(2)}$$

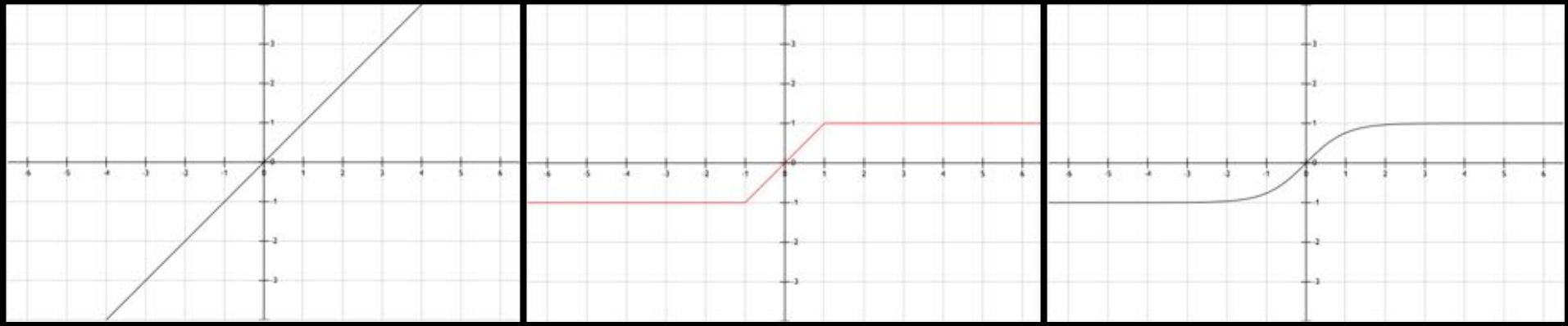
- Transform using an activation function

$$y_k = \sigma(a_k)$$



# Execution Phase

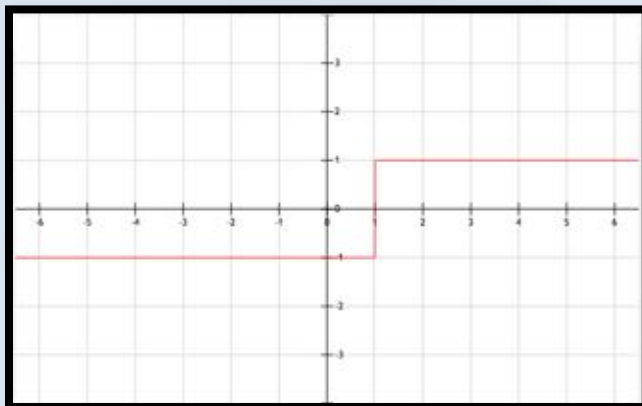
- Some types of activation (transfer) functions



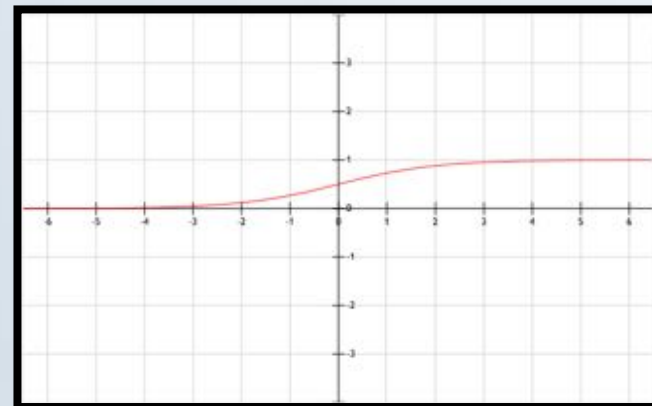
**linear**

**Piecewise linear**

**tanh**



**Step function**



**Sigmoid**



# Training Phase

- This phase aims to calculate the weights that minimize the error [8]:

$$E(\mathbf{w}) = \frac{1}{2} \sum_{n=1}^N \|\mathbf{y}(\mathbf{x}_n, \mathbf{w}) - \mathbf{t}_n\|^2.$$

- It is a minimization problem with updates as:  $\mathbf{w}^{(\tau+1)} = \mathbf{w}^{(\tau)} - \eta \nabla E(\mathbf{w}^{(\tau)})$

- Initialize the weights randomly
- Do
  - Loop over training data (x,t)
    - Calculate  $y = \text{execution\_phase}(x)$
    - Calculate error between t and y
    - Calculate delta for all weights between hidden and output
    - Calculate delta for all weights between input and hidden.
    - Update the weights
  - End loop
- Until error is less than a threshold or number of epochs are succeeded

# Training Phase

- Delta is defined as follows [8]:

$$\delta_j \equiv \frac{\partial E_n}{\partial a_j}$$

- Delta for weights between hidden and output:

$$\delta_k = y_k - t_k$$

- Delta for weights between input and hidden:

$$\delta_j = h'(a_j) \sum_k w_{kj} \delta_k$$

For more information about the derivations of delta, refer to [8]

# Other Neural Networks

- Radial Basis Function Network ([Wikipedia](#))
- Self-Organizing Networks ([Wikipedia](#))
- Learning Vector Quantization ([Wikipedia](#))
- Recurrent Neural Networks ([Wikipedia](#))
- Modeling Neural Networks ([Wikipedia](#))

# Text Recognition

- Text Recognition is the conversion of images into written words.
- Character Recognition can be classified into groups:
  - By Acquisition
    - Offline Character Recognition
    - Online Character Recognition
  - By Script
    - Handwritten Text
    - Printed Text

# Text Recognition

- Text Recognition is divided to five different stages:
  - Preprocessing
  - Segmentation
  - Feature extraction
  - Recognition
  - Segmentation

# Text Recognition

## Preprocessing

- Noise Reduction
  - Remove the noise that is available in the input image
  - Removing Gaussian noise is done by Gaussian filter
  - Removing Salt and Pepper noise is done by



# Text Recognition

## Preprocessing

- Convert to binary
  - Convert the image to gray scale from colored image
  - Use a threshold to convert it to binary image (0,1)

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# Text Recognition

## Preprocessing

- Thin the image
  - We want to deal with thinned letters in order to find features
  - Can be done by thinning algorithms for skeletonizations

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# Text Recognition

## Segmentation

- Line Segmentation
  - Break pages into lines
- Word Segmentation
  - Break Lines into words
- Character Segmentation
  - Break words into characters or sub-characters



# Text Recognition

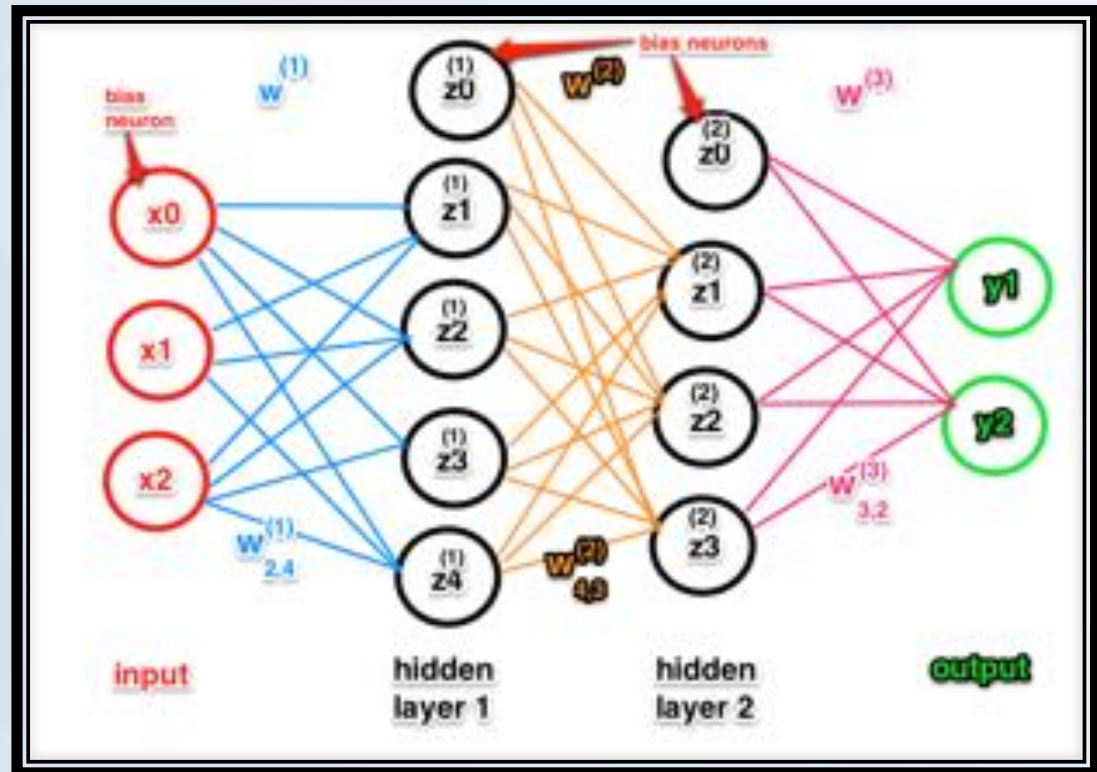
## Feature extraction

- Features can be divided to two categories:
  - Local Features (lines, concave part, convex part, edges, branches)
  - Global features (dimensions, number of holes)
- We can either learn the features using machine learning or have a static set.
- In this example, we can use statically defined local features such as lines (horizontal, vertical, diagonal), curves and global features as holes.
- The following letters have the corresponding features (that we try to learn):
  - E: 3 horizontal lines + 1 vertical line
  - A: 1 horizontal lines + 2 diagonal lines
  - O: 1 hole

# Text Recognition

## Recognition

- The features extracted in the last step are used as inputs
- The number of input nodes equals the number of features
- The number of output nodes equals the number of characters or sub-characters.



# Text Recognition

## Classification

- The following stage decides the resulting text from the characters we got in the recognition stage.
- It can either be statically defined if the combinations are clear or using machine learning technique if it is complicated.



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