#### 2016/2017

# **Analysis of Algorithms**

Once an algorithm is given for a problem and decided (somehow) to be correct, an important step is to determine **how much in the way of resources**, such as **time** or **space**, the algorithm will require.

- Space Complexity → memory and storage are very cheap nowadays. \*
- Time Complexity ✓ Different platforms → different time. Absolute time is hard to measure as it depends on many factors.

Example: moving between university buildings: it depends on who are walking, which way he/she use, etc. time is not good measurement. Number of steps is a better one.

#### **Example:**

$$\sum_{k=1}^{n} k = 1 + 2 + 3 + \dots + n$$

Consider the problem of summing

Come up with an algorithm to solve this problem.

Algorithm A	Algorithm B	Algorithm C
sum = 0 for i = 1 to n sum = sum + i	<pre>sum = 0 for i = 1 to n {     for j = 1 to i         sum = sum + 1 }</pre>	sum = n * (n + 1) / 2

# **Counting Basic Operations**

• A basic operation of an algorithm is the most significant contributor to its total time requirement.

	Algorithm A	Algorithm B	Algorithm C
Additions	n	n(n+1)/2	1
Multiplications			1
Divisions			1
<b>Total basic operations</b>	n	$(n^2 + n) / 2$	3

# How to calculate the time complexity?

- Measure execution time. \* Algorithm for small data size will take small time comparing to a large data.
- Calculate time required for an algorithm in terms of the size of input data. \* Does not work as the same algorithm over the same data will not take the same time.

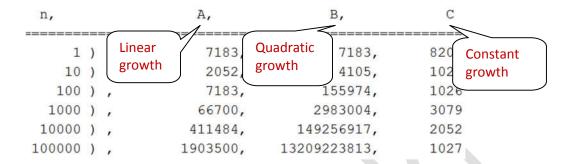
### Run summing code 2 times and compare time

Determine order of growth of an algorithm with respect to the size of input data. ✓



#### Order of time or growth of time:

#### Go back to summing result



In term of time complexity, we say that algorithm C is better than A and B

### **Types of Time Complexity**

- Best case analysis
- x too optimistic
- Average case analysis
- \* too complex (statistical methods)
- Worst case analysis
- ✓ it will not exceed this

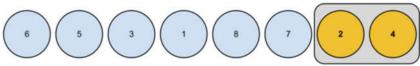
# **RAM** model of computation

We assume that:

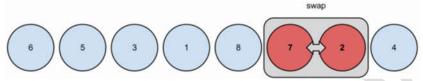
- We have infinite memory
- Each operation (+,-,\*,/,=) takes 1 unit of time
- Each memory access takes 1 unit of time
- All data is in the RAM

### **Bubble Sort:**

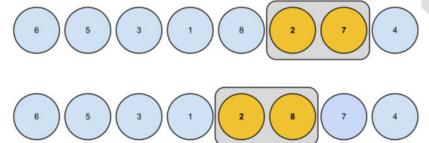
1. Each two adjacent elements are compared:



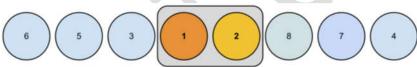
2. Swap with larger elements:



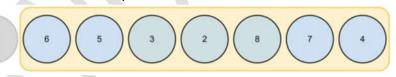
3. Move forward and swap with each larger item:



4. If there is a lighter element, then this item begins to bubble to the surface:



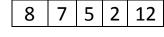
5. Finally the smallest element is on its place:



Make a demo using the following data set

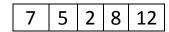
12 8 7 5 2 Worst case analysis

After 1<sup>st</sup> round:





After 2<sup>nd</sup> round:





For whole sorting algorithm: **16+12+8+4** for a data size of 5 elements:

```
= 4(4+3+2+1) = 4(n-1+n-2+....+2+1) = 4(n-1*n/2) = 2*n*(n-1) \rightarrow pn^2 + qn + r \rightarrow p, q, and r are some constant.
```

#### Implement and test effectiveness of bubble sort algorithm

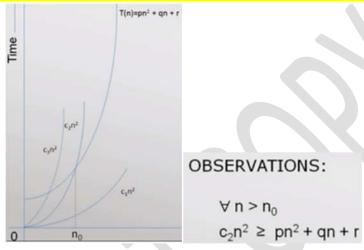
```
for (int i = 0; i < arr.length-1; i++) {</pre>
                                                       i=0
                                                                    j=n-1
                                                                                   n-1
  for (int j = 0; j <arr.length-i-1; j++) {</pre>
                                                       i=1
                                                                    j=n-2
                                                                                   n-2
     if(arr[j+1]<arr[j]){</pre>
        temp = arr[j];
        arr[j] = arr[j+1];
                                                                                    1
                                                     i=n-1
                                                                     j=0
        arr[j+1] = temp;
  }
```

# **The Big-O Notation**

Assume the order of time of an algorithm is a quadratic time as displayed in the graph. Our job is to find an upper bond for this function T(n). Consider a function  $c_1n^2 \leftarrow$  never over take T(n)

 $C_2n^2$  such that its greater than T(n) for  $n>n_0$ . In this case we say that  $C_2n^2$  is an upper bond of T(n)

But we can come up with many functions satisfy this condition. We need to be precise.



Big Oh  $O(n^2)$ : f(n): there exist positive constants c and  $n_0$  such that  $0 \le f(n) \le cn^2$  for all  $n \ge n_0$ . In general

O(g(n)): f(n): there exist positive constants c and  $n_0$  such that  $0 \le f(n) \le cg(n)$  for all  $n \ge n_0$ 

#### Example 1:

$$5n^2 + 6 ∈ O(n^2)$$
 ???   
Find  $cn^2$   $\rightarrow$  c=6 and n<sub>0</sub>=3  
  $\rightarrow$  c=5.1 n<sub>0</sub>=8

#### **Example 2:**

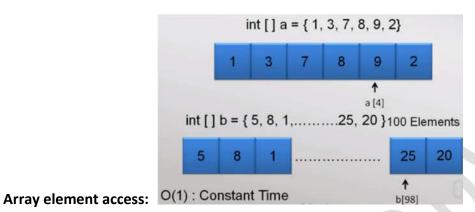
$$5n+6$$
 ∈  $O(n^2)$  ??? ✓  
Find  $cn^2$  →  $c=11$  and  $n_0=1$ 

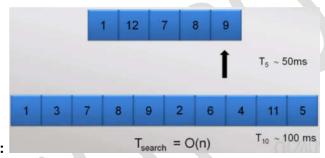
#### Example 3:

$$n^3 + 2n^2 + 4n + 8 \in O(n^2)$$
 ??? ×  
Find  $cn^2 \ge n^3 + 2n^2 + 4n + 8$  ??? ×

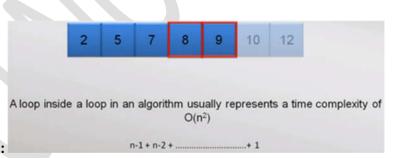
$$a_m n^m + a_{m-1} n^{m-1} - - - - - + a_0 \in O(n^m)$$
  
 $logn \le \sqrt{n} \le n \le n logn \le n^2 \le n^3 \le 2^n \le n!$ 

What does it mean?





Array element search:



**Bubble sort algorithm:** 

# **Asymptotic Analysis**

2016/2017

Asymptotic (مقارب) analysis measures the efficiency of an algorithm as the input size becomes large.

It is actually an **estimation** technique. However, asymptotic analysis has proved useful to computer scientists who must determine if a particular algorithm is worth considering for implementation.

- The critical resource for a program is -most often- running time.
- The **growth rate** for an algorithm is the rate at which the cost of the algorithm grows as the size of its input grows.
  - o cn (for c any positive constant)  $\rightarrow$  linear growth rate or running time.
  - o  $n^2 \rightarrow$  quadratic growth rate
  - o  $2^n \rightarrow$  exponential growth rate.

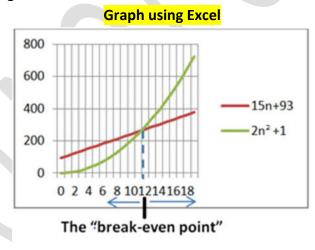
**Worst case?** The advantage to analyzing the worst case is that you know for certain that the algorithm must perform at least that well.

### **Example:**

Assume: Algorithm A: time = 15n + 93

Algorithm B: time =  $2n^2 + 1$ 

which is faster?



We are interested for large **n** 

**Upper bound** for the growth of the algorithm's running time. It indicates the upper or highest growth rate that the algorithm can have. → **big-O notation**.

<sup>\*</sup> For sufficiently large n, algorithm A is faster

<sup>\*</sup> In the long run constants do not mater.



For T(n) a non-negatively valued function, T(n) is in set O(f(n)) if there exist two positive constants c and  $n_0$  such that  $T(n) \le cf(n)$  for all  $n > n_0$ .

• Prove that 15n + 93 is O(n)

• Prove that 2n<sup>2</sup>+1 = O(n<sup>2</sup>)

**Graph using Excel** 

**Example 3.5** For a particular algorithm,  $\mathbf{T}(n) = c_1 n^2 + c_2 n$  in the average case where  $c_1$  and  $c_2$  are positive numbers. Then,  $c_1 n^2 + c_2 n \le c_1 n^2 + c_2 n^2 \le (c_1 + c_2) n^2$  for all n > 1. So,  $\mathbf{T}(n) \le c n^2$  for  $c = c_1 + c_2$ , and  $n_0 = 1$ . Therefore,  $\mathbf{T}(n)$  is in  $O(n^2)$  by the second definition.

The **lower bound** for an algorithm is denoted by the symbol  $\Omega$ , pronounced "big-Omega" or just "Omega."

For T(n) a non-negatively valued function, T(n) is in set  $\Omega(g(n))$  if there exist two positive constants c and  $n_0$  such that  $T(n) \ge cg(n)$  for all  $n > n_0$ .

Prove that 15n+93 is Ω(n)

```
We must show +ve c and n_0 such that 15n+93 \ge c(n) for n \ge n_0
<br/>
```

**Graph using Excel** 

Prove that 2n<sup>2</sup>+1 is Ω(n<sup>2</sup>)

Must show +ve **c** and  $n_0$  such that  $2n^2+1 \ge cn^2$  for  $n \ge n_0$  <br/>because 1 is +ve>



#### **Graph using Excel**

**Example 3.7** Assume  $\mathbf{T}(n) = c_1 n^2 + c_2 n$  for  $c_1$  and  $c_2 > 0$ . Then,

$$c_1 n^2 + c_2 n \ge c_1 n^2$$

for all n > 1. So,  $\mathbf{T}(n) \ge cn^2$  for  $c = c_1$  and  $n_0 = 1$ . Therefore,  $\mathbf{T}(n)$  is in  $\Omega(n^2)$  by the definition.

When the **upper** and **lower bounds** are the same within a constant factor, we indicate this by using **O** (big-Theta) notation.

$$T(n) = \Theta(g(n))$$
 iff  $T(n) = O(g(n))$  and  $T(n) = \Omega(g(n))$ 

Example: Because the **sequential search algorithm** is both in O(n) and in  $\Omega(n)$  in the average case, we say it is O(n) in the average case.

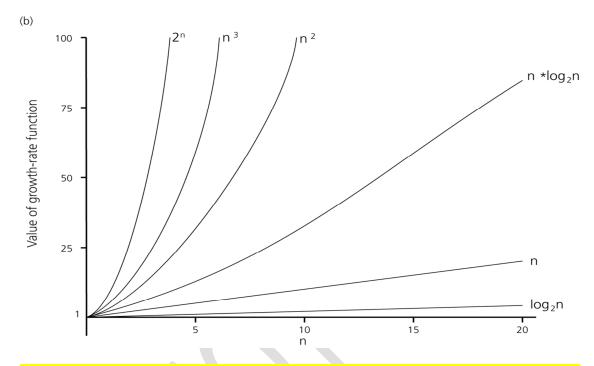
# **Simplifying Rules**

- **1.** If f(n) is in O(g(n)) and g(n) is in O(h(n)), then f(n) is in O(h(n)).
- **2.** If f(n) is in O(kg(n)) for any constant k > 0, then f(n) is in O(g(n)).
- 3. If  $f_1(n)$  is in  $O(g_1(n))$  and  $f_2(n)$  is in  $O(g_2(n))$ , then  $f_1(n) + f_2(n)$  is in  $O(\max(g_1(n), g_2(n)))$ .
- **4.** If  $f_1(n)$  is in  $O(g_1(n))$  and  $f_2(n)$  is in  $O(g_2(n))$ , then  $f_1(n)f_2(n)$  is in  $O(g_1(n)g_2(n))$ .
- Rule (2) is that you can ignore any multiplicative constants.
- Rule (3) says that given two parts of a program run in sequence, you need to consider only the more expensive part.
- Rule (4) is used to analyze simple loops in programs.

Taking the first three rules collectively, you can ignore all constants and all lower-order terms to determine the asymptotic growth rate for any cost function.

## Order of growth of some common functions:

# $O(1) \le O(\log_2 n) \le O(n) \le O(n \log_2 n) \le O(n^2) \le O(n^3) \le O(2^n)$



If the problem size is always small, you can probably ignore an algorithm's efficiency

# Limitations of big-O analysis:

- Overestimate.
- Analysis assumes infinite memory.
- Not appropriate for small amounts of input.
- The constant implied by the Big-Oh may be too large to be ignored (2N log N vs. 1000N)

# **Analyzing Algorithm Examples**

### **General Rules of analyzing algorithm code:**

#### Rule 1 - for loops:

The running time of a **for** loop is at most the running time of the statements inside the **for** loop (including tests) **times** the number of iterations.

#### Rule 2 — Nested loops:

Analyze these **inside out**. The total running time of a statement inside a group of nested loops is the running time of the statement multiplied by the product of the sizes of all the loops.

#### **Rule 3 — Consecutive Statements:**

These just add (which means that the maximum is the one that counts.

### Rule 4 - if/else:

```
if( condition )
S1
else
S2
```

The running time of an **if/else** statement is never more than the running time of the **test** plus the larger of the running times of **S1** and **S2**.

#### Rule 5 — *methods call*:

If there are method calls, these must be analyzed first.

# **Sorting Algorithm**

# 1- Bubble Sort (revision) → O(n²)

```
public static void bubble(int[] arr){
  int temp;
  for (int i = 0; i < arr.length-1; i++) {
    for (int j = 0; j < arr.length-i-1; j++) {
      if(arr[j+1]<arr[j]){
        temp = arr[j];
        arr[j] = arr[j+1];
      arr[j+1] = temp;
    }
  }
}</pre>
```

2- Selection Sort (revision) → O(n²): named selection because every time we select the smallest item.

```
public static void selection (int[] arr){
  int temp, minIndex;
  for (int i = 0; i < arr.length-1; i++) {
     minIndex = i;
     for (int j = i+1; j < arr.length ; j++) {
        if(arr[j] < arr[minIndex]){
            minIndex=j;
        }
     }
     if(i!= minIndex){
        temp = arr[i];
        arr[i] = arr[minIndex];
        arr[minIndex] = temp;
     }
}</pre>
```

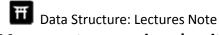
3- Insertion sort  $\rightarrow$  O(n<sup>2</sup>):

```
public static void insertion (int[] arr){
    int j, temp, current;
    for (int i = 1; i < arr.length; i++) {
        current = arr[i];
        j=i-1;
        while (j>=0 && arr[j]>current){
            arr[j+1] = arr[j];
            j--;
        }
        arr[j+1]=current;
    }
}
```

# O(n<sup>2</sup>) sorting algorithms comparison:

(run demo @ http://www.sorting-algorithms.com/)

<b>Bubble Sort</b>	Selection Sort	Insertion Sort	
	<ul> <li>Better than bubble sort</li> </ul>	<ul> <li>Relatively good for small lists</li> </ul>	
Very inefficient	<ul> <li>Running time is independent</li> </ul>	<ul> <li>Relatively good for partially</li> </ul>	
	of ordering of elements	sorted lists	

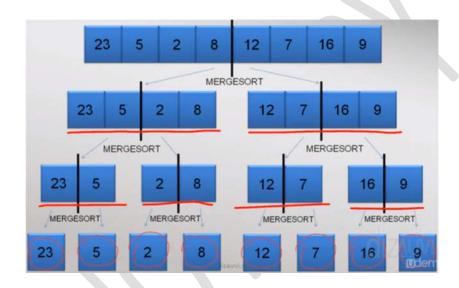


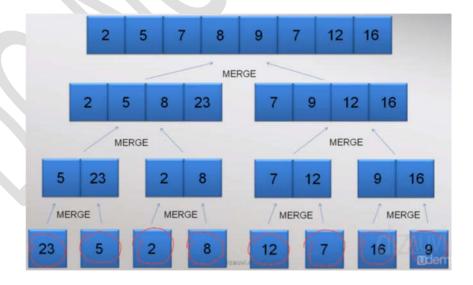
Merge sort: recursive algorithm

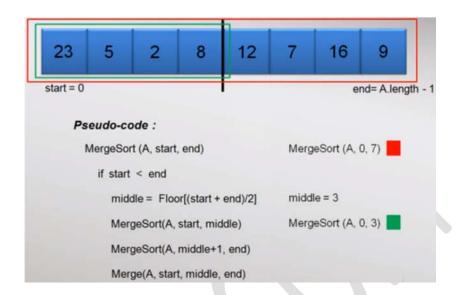
Merge: take 2 sorted arrays and merge them together into one.



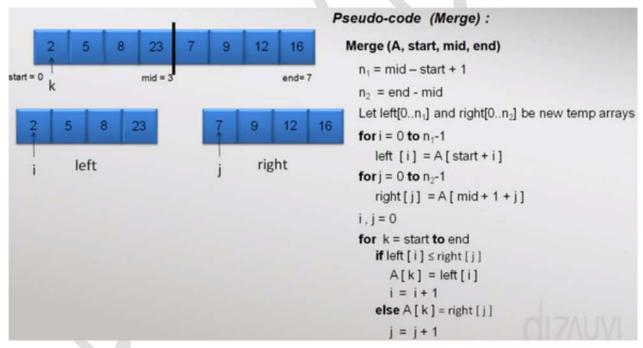
## Example:





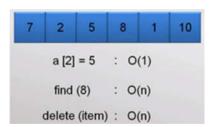


#### Pseudo code:

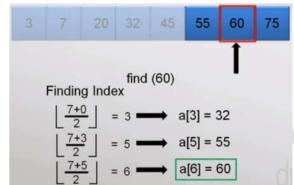


### Make sure of array boundaries

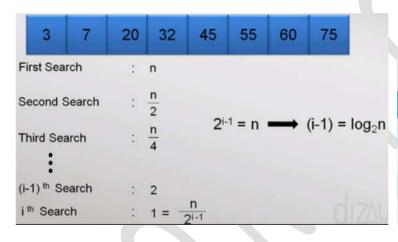
H.W: implement merge sort your own



**Case 1: unordered array:** 



Case 2: ordered array: -Binary search-



find (item) = $O(\log_2 n)$		
n	log <sub>2</sub> n	
2	1	
1024	10	
1048576 (Million)	20	
1099511627776 (Trillion)	40	

# Inserting and deleting items from ordered array

